

# First Measurement of $\sin^2 2\theta_{13}$ at Daya Bay

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On behalf of the Daya Collaboration

大亚湾反应堆中微子实验站  
Daya Bay Reactor Neutrino Experiment Station

**References**

**Result:** arXiv:1203.1669

**Detector:** arXiv:1202.6181

**Proposal:** hep-ex/0701029

# Outline

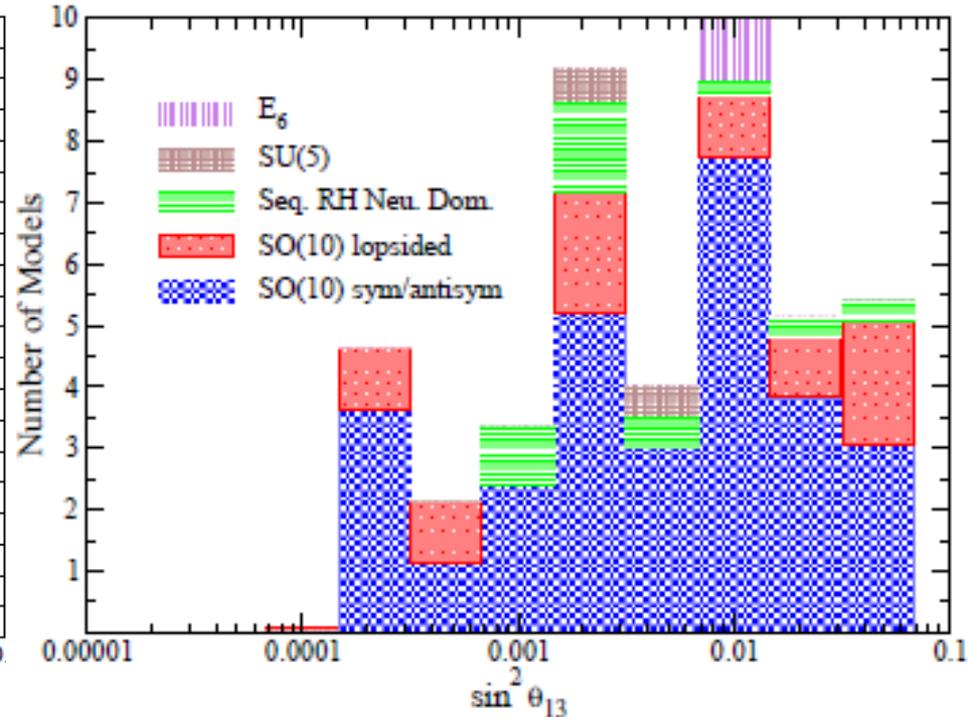
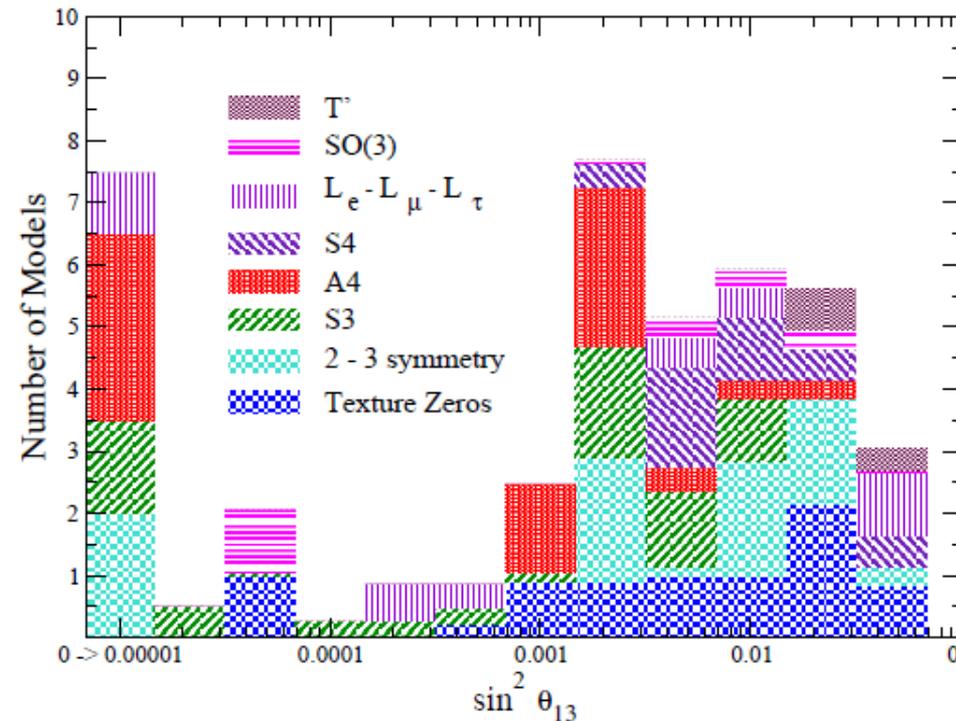
- **Overview of  $\theta_{13}$ : from theories to experiments**
- **Daya Bay Experiment**
- **Data analysis**
- **Determination of  $\sin^2 2\theta_{13}$**
- **Summary and outlook**

# Overview of $\theta_{13}$ : from theories to experiments

# Model Predications for $\theta_{13}$

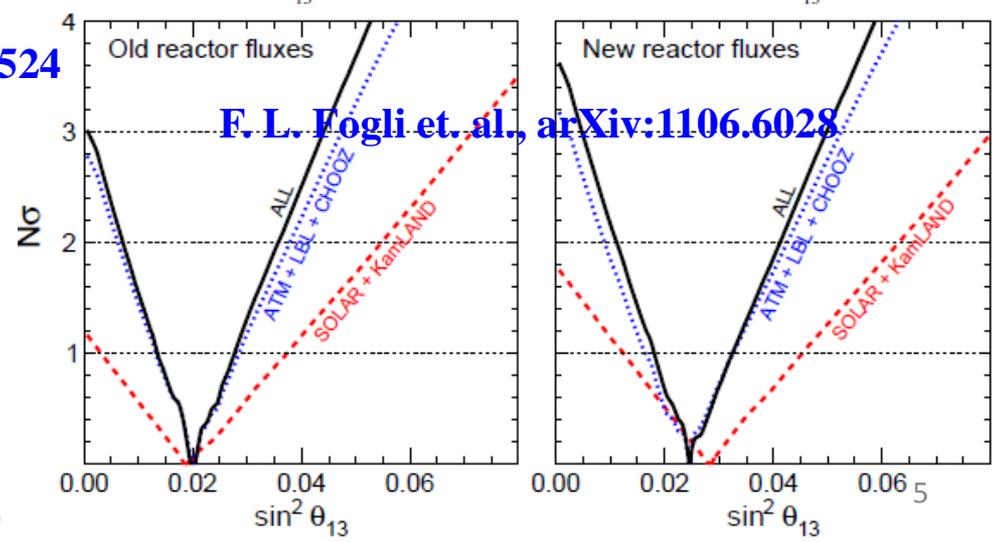
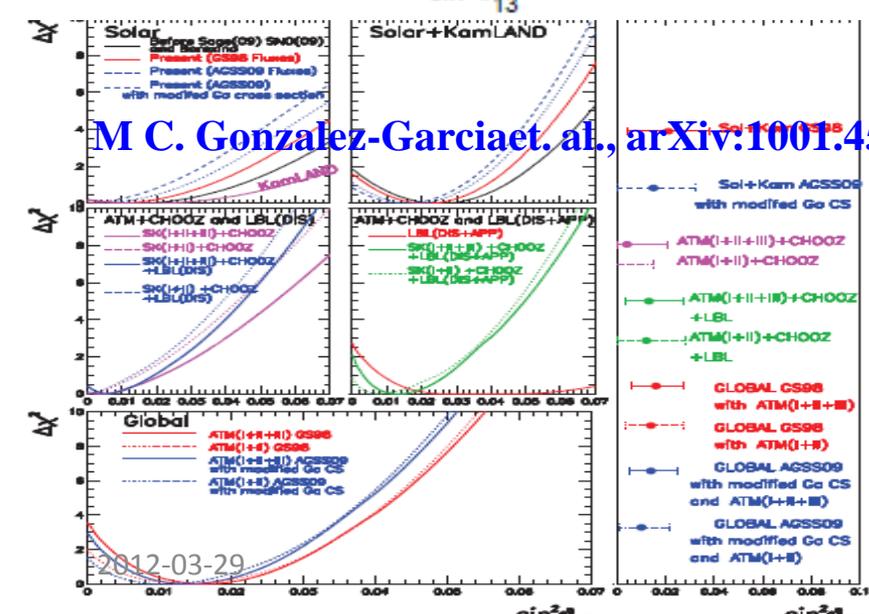
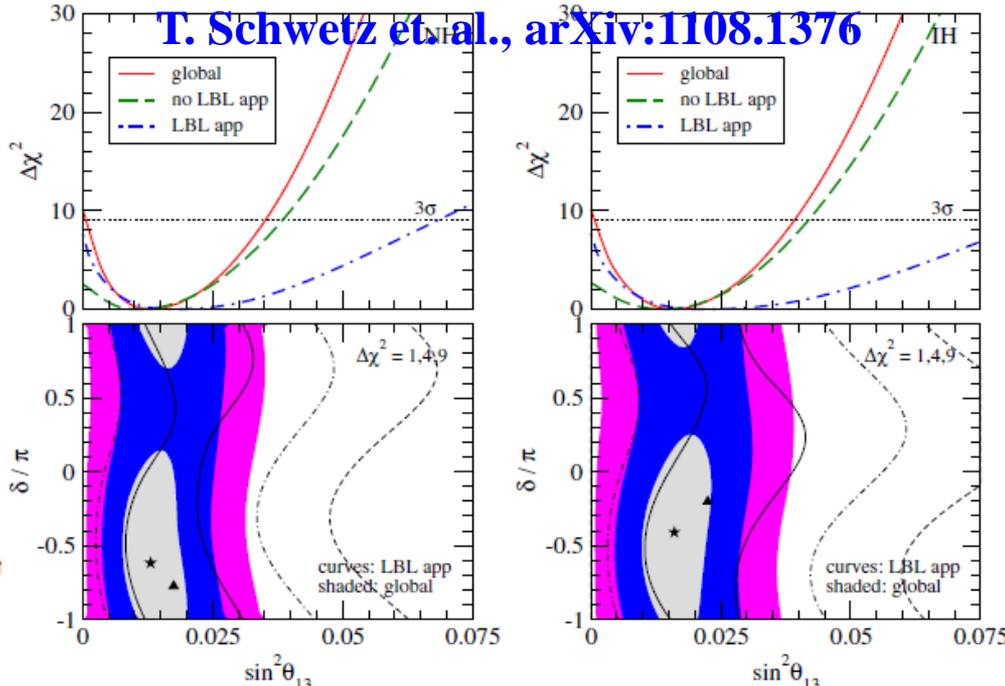
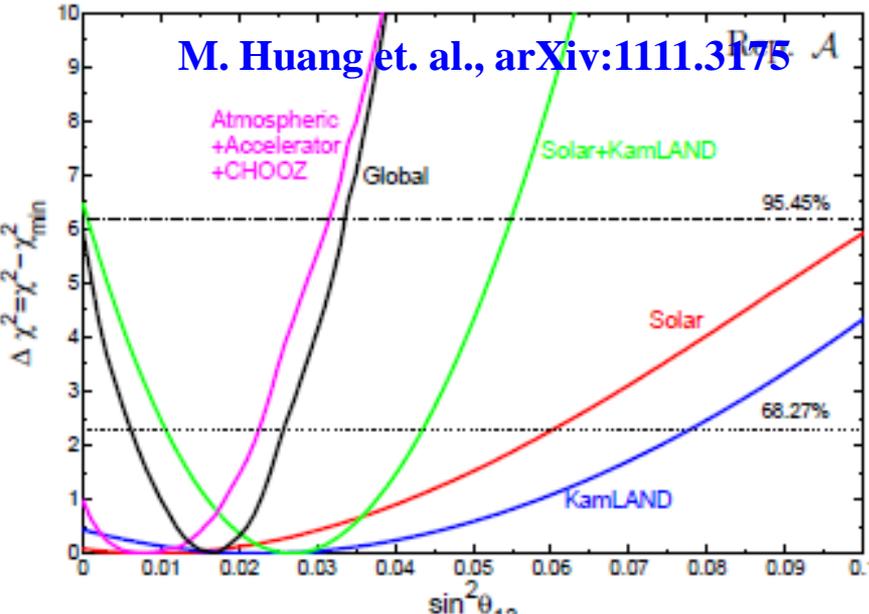
## Lepton flavor models

## GUT models



Up to 2009, more than 86 models for  $\theta_{13}$  predications.

# Hints of $\theta_{13} \neq 0$ from Global Fits



2012-03-29

# Hints of $\theta_{13} \neq 0$ from Experiments

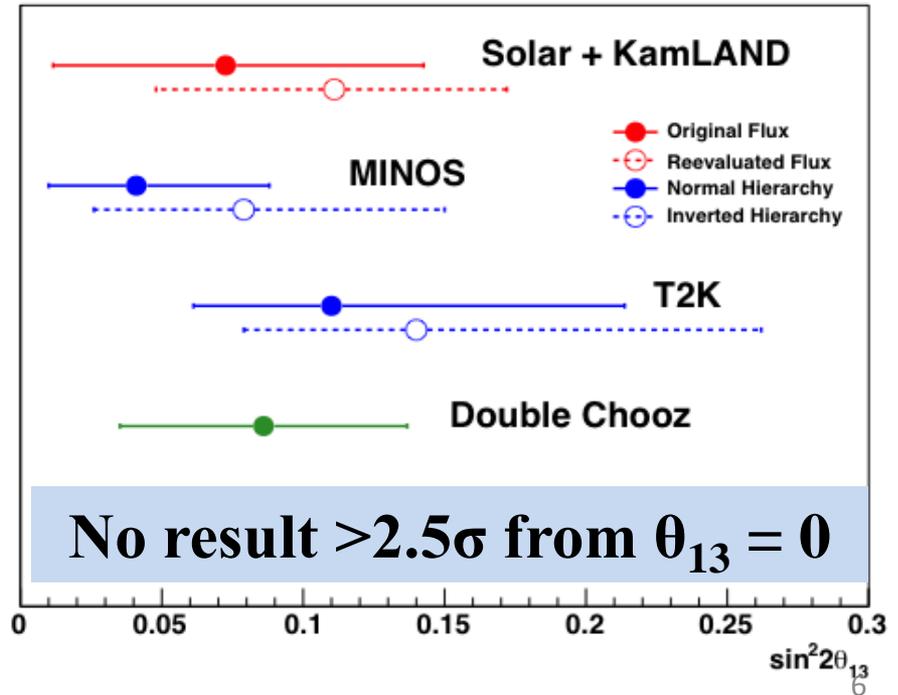
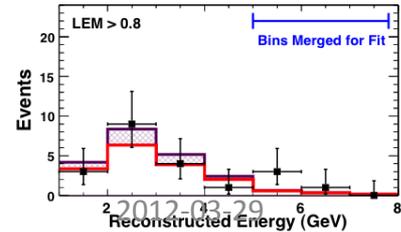
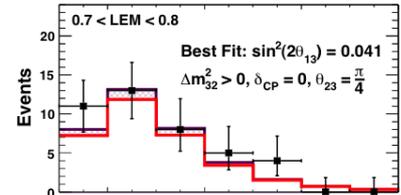
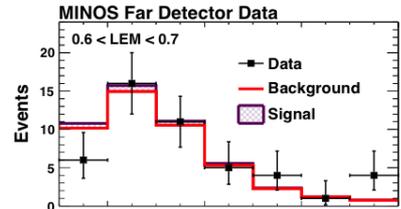
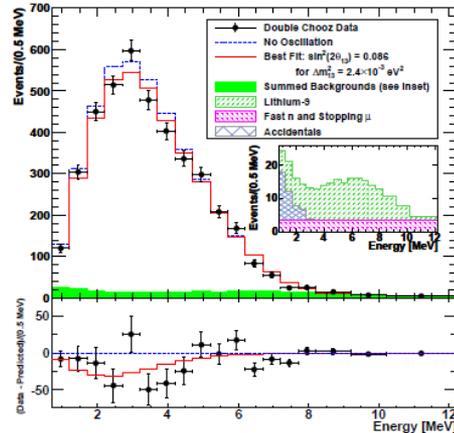
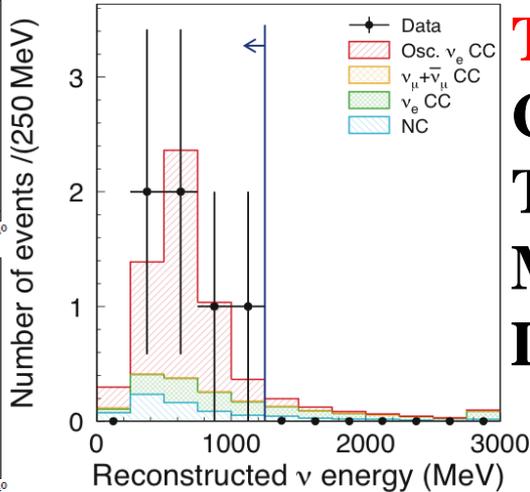
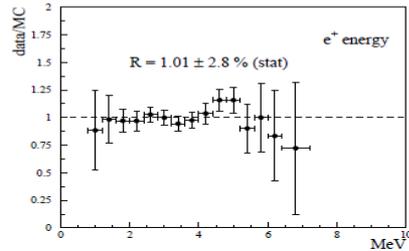
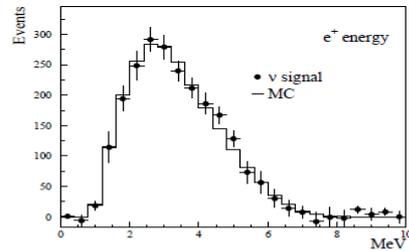
**Title highlights**

**Chooz(2003): Search...**

**T2K(2011): Indication...**

**MINOS(2011): Improved Search...**

**DC(2011): Indication...**



# Initiate DayaBay Project in China

Meeting brief for the **250<sup>th</sup> Xiangshan (Fragrant Hill Hotel ) Scientific Meeting (2005)**

...

- 2. Neutrino mixing angle  $\theta_{13}$  is one of the fundamental parameters in nature,...a key issue to be resolved.**
- 3....have mature technology and get strong support from Daya Bay Nuclear Power Plant. ... get preparations ... to complete this experiment.**
- 4. International competition in determining  $\theta_{13}$  is very vigorous,...getting the project approved promptly is a key to win the competition.**



# Daya Bay Experiment

# Daya Bay Power Plant Complex

- ❑ Three-pair reactor cores:  $2.9 \times 6 = 17.4 \text{ GWth}$
- ❑ Each core produces  $6 \times 10^{20}$  anti- $\nu_e$ 's/s
- ❑ Mountains near by



# Reactor Anti- $\bar{\nu}_e$ oscillation

**Survival probability:**

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$$

$$= 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( 1.267 \cdot \Delta m_{21}^2 \cdot \frac{L}{E} \right) \\ - \cos^2 \theta_{12} \sin^2 2\theta_{13} \sin^2 \left( 1.267 \cdot \Delta m_{31}^2 \cdot \frac{L}{E} \right) - \sin^2 \theta_{12} \sin^2 2\theta_{13} \sin^2 \left( 1.267 \cdot \Delta m_{32}^2 \cdot \frac{L}{E} \right) \\ + \frac{1}{2} \sin^2 2\theta_{13} \sin^2 \theta_{12} \left[ \cos \frac{1.267(\Delta m_{31}^2 - \Delta m_{21}^2)L}{2E} - \cos \frac{1.267\Delta m_{31}^2 L}{2E} \right]$$

**Approximated to**

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$$

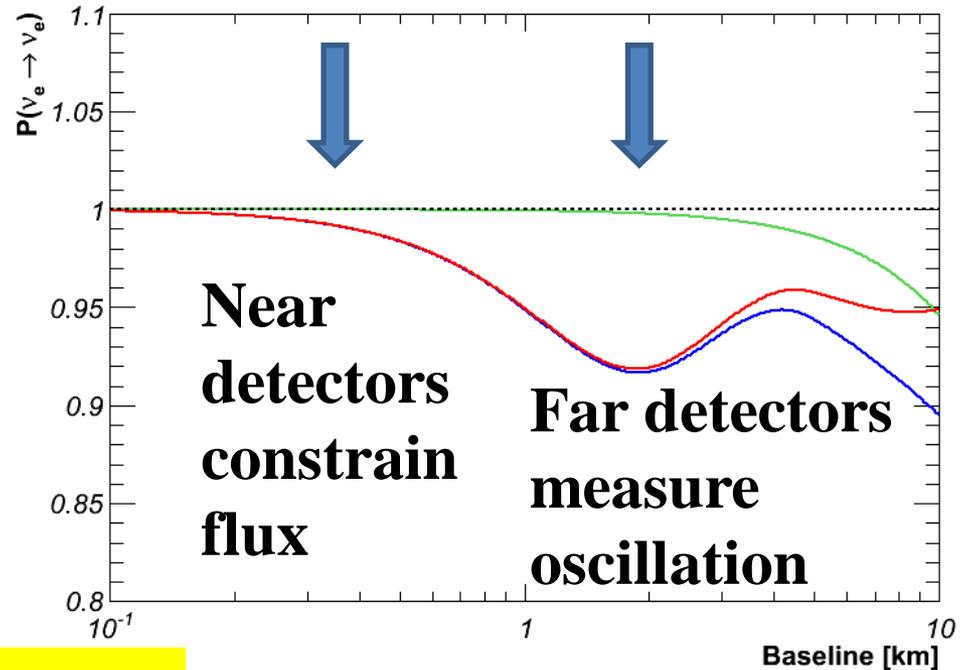
$$\simeq 1 - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \left( 1.267 \cdot \Delta m_{21}^2 \cdot \frac{L}{E} \right) - \sin^2 2\theta_{13} \sin^2 \left( 1.267 \cdot \Delta m_{32}^2 \cdot \frac{L}{E} \right)$$

**Well measured by KamLAND**

**Well measured by MINOS, SK**

# How to Measure $\sin^2 2\theta_{13}$ ?

- Use relative measurement
- Avoid large uncertainty from predicted reactor flux
- First proposed by L. A. Mikaelyan and V.V. Sinev, PAN 63 1002 (2000)
- Key inputs



**Base lines**

$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left[ \frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)} \right]$$

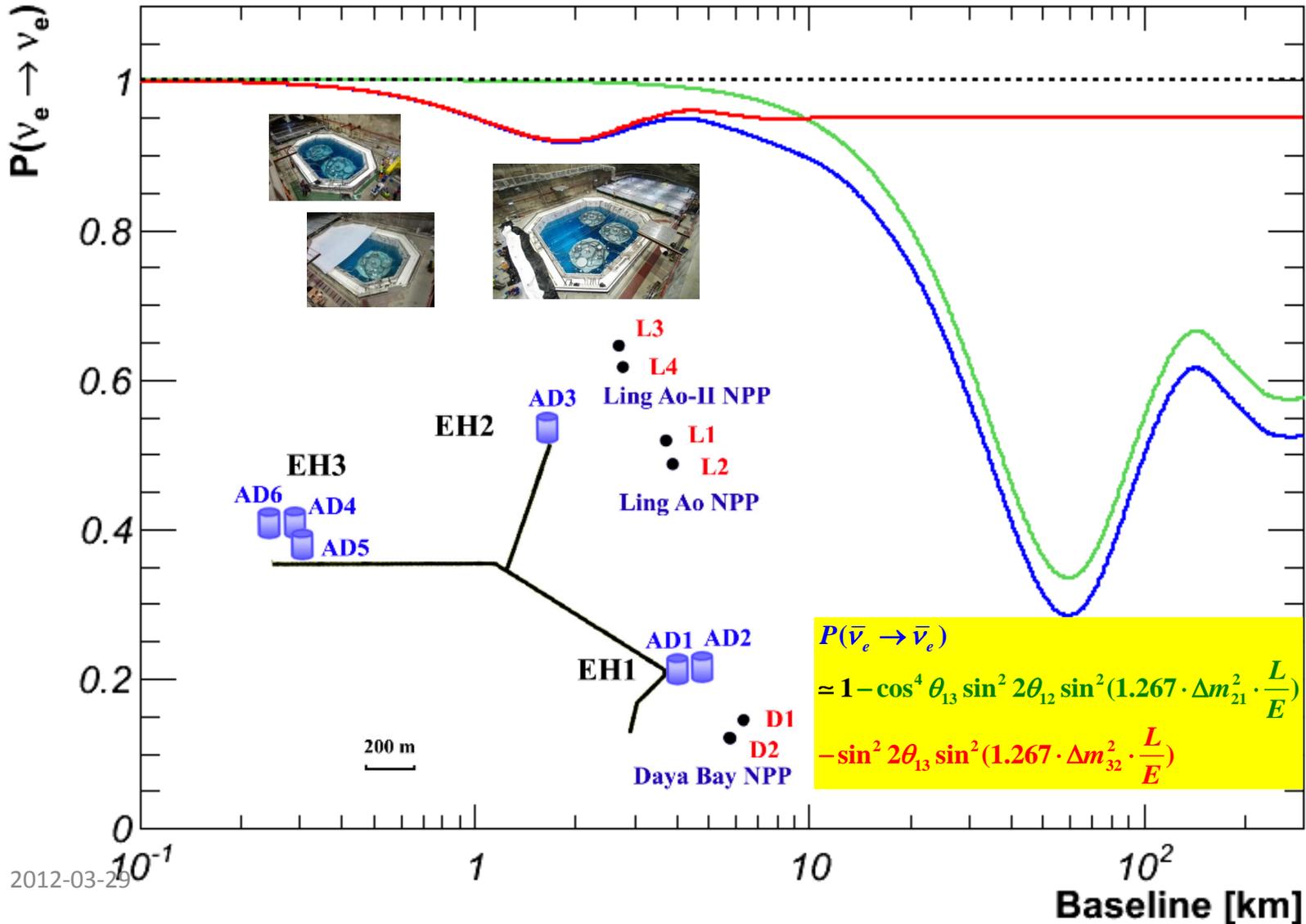
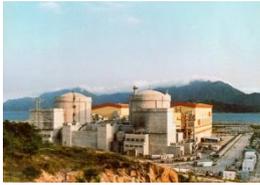
**Far/near  
 $\nu_e$  counts**

**Detector  
Target Masses**

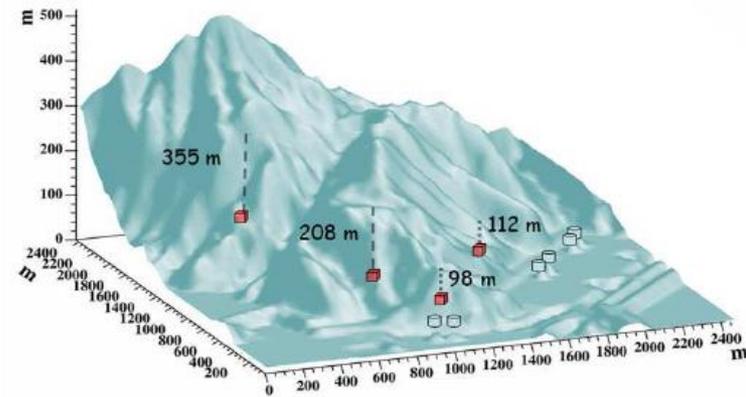
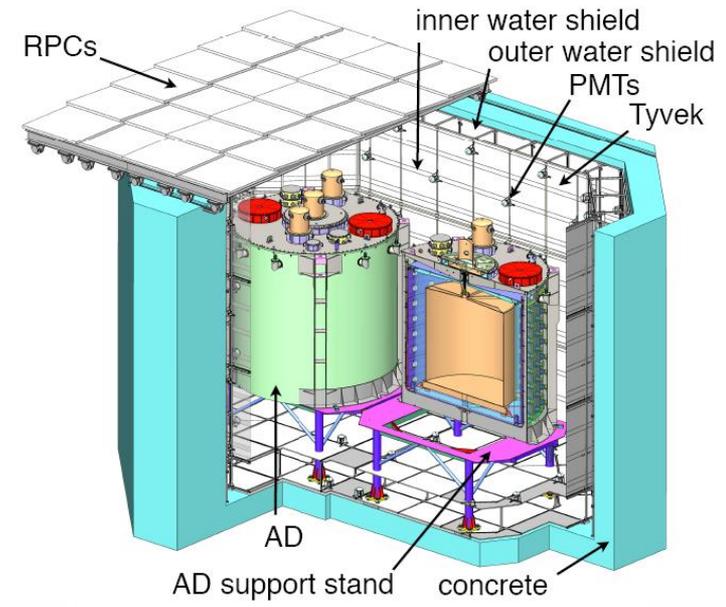
**Detector  
efficiencies**

**Oscillation  
deficit**

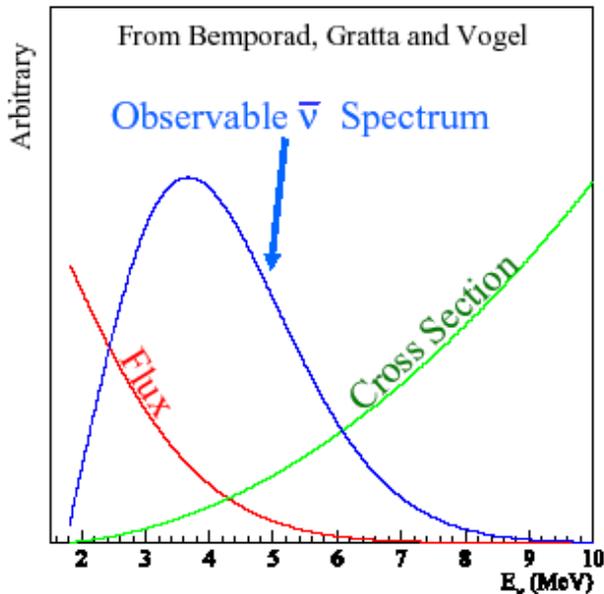
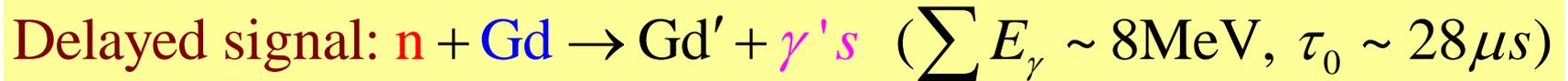
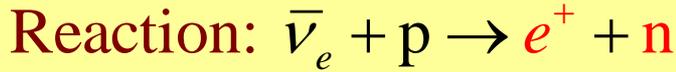
# Baseline Selection



# Experimental Layout



# Neutrino Detection at DayaBay



**Neutrino energy:** Threshold=1.8 MeV

$$E_{\bar{\nu}} \cong T_{e^+} + T_n + (M_n - M_p) + m_{e^+}$$

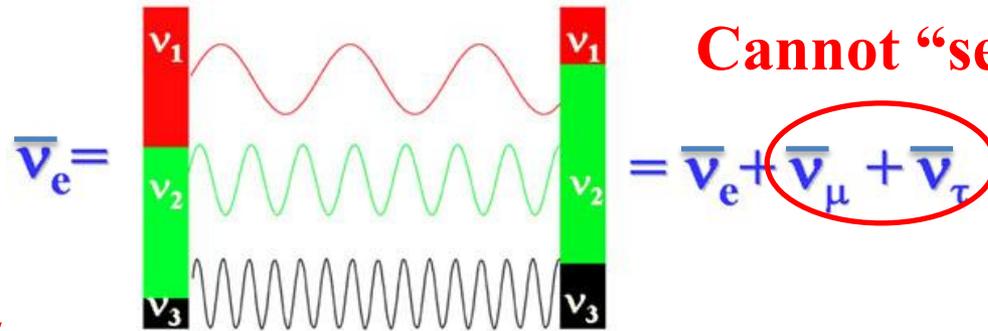
## Antineutrino Interaction Rate

(events/day per AD module, 100%eff.)

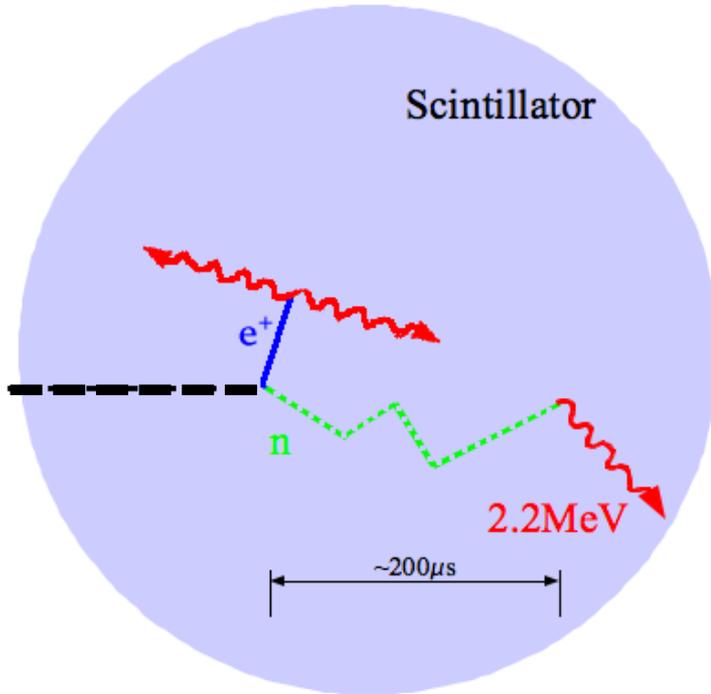
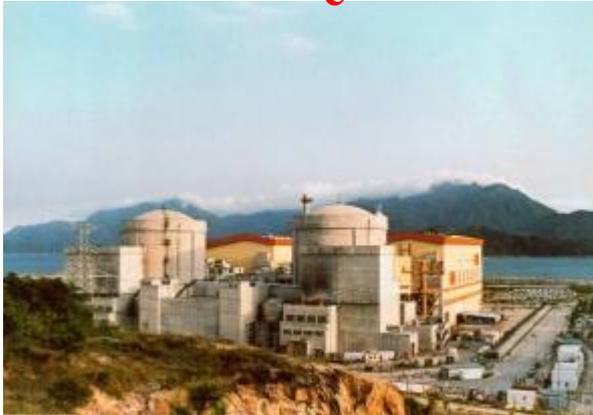
Daya Bay near site	960
Ling Ao near site	760
Far site	90

# Signature of an IBD Signal

Cannot "see" at Daya Bay



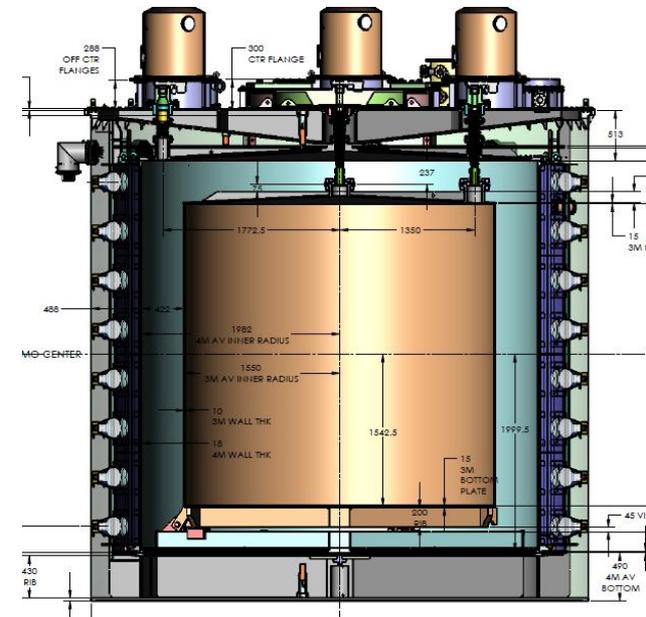
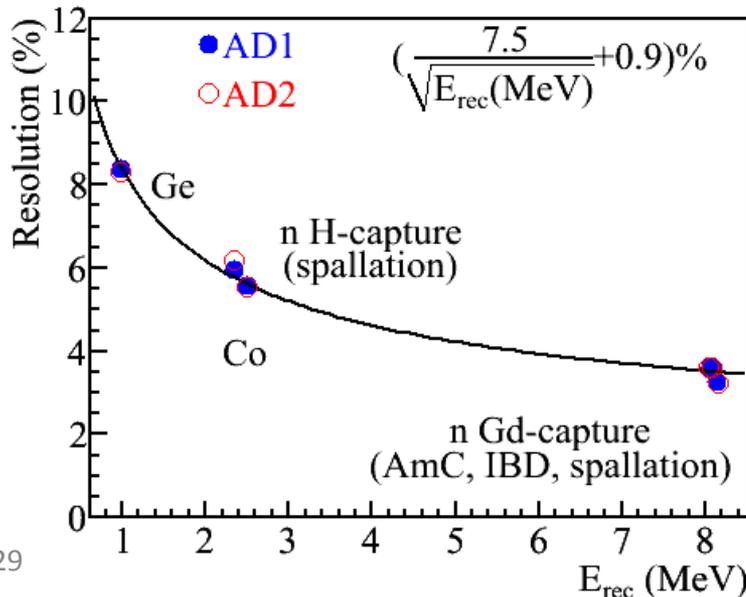
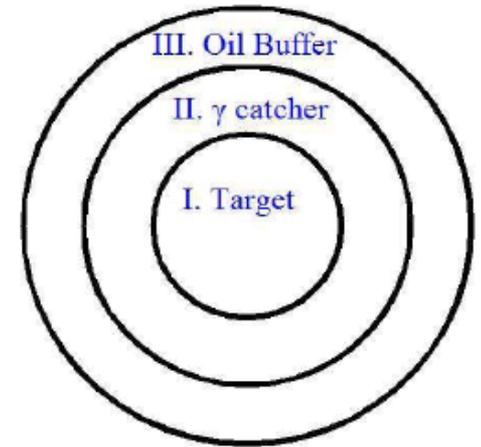
$6 \times 10^{20} \bar{\nu}_e$ 's/s/core



- ✓ LS+Gd zone:  $e^+ + n$  (n/Gd + n/H)
- ✓ LS zone:  $e^+ + n$  (n/Gd + n/H)
- ✓ Uniform scintillation light

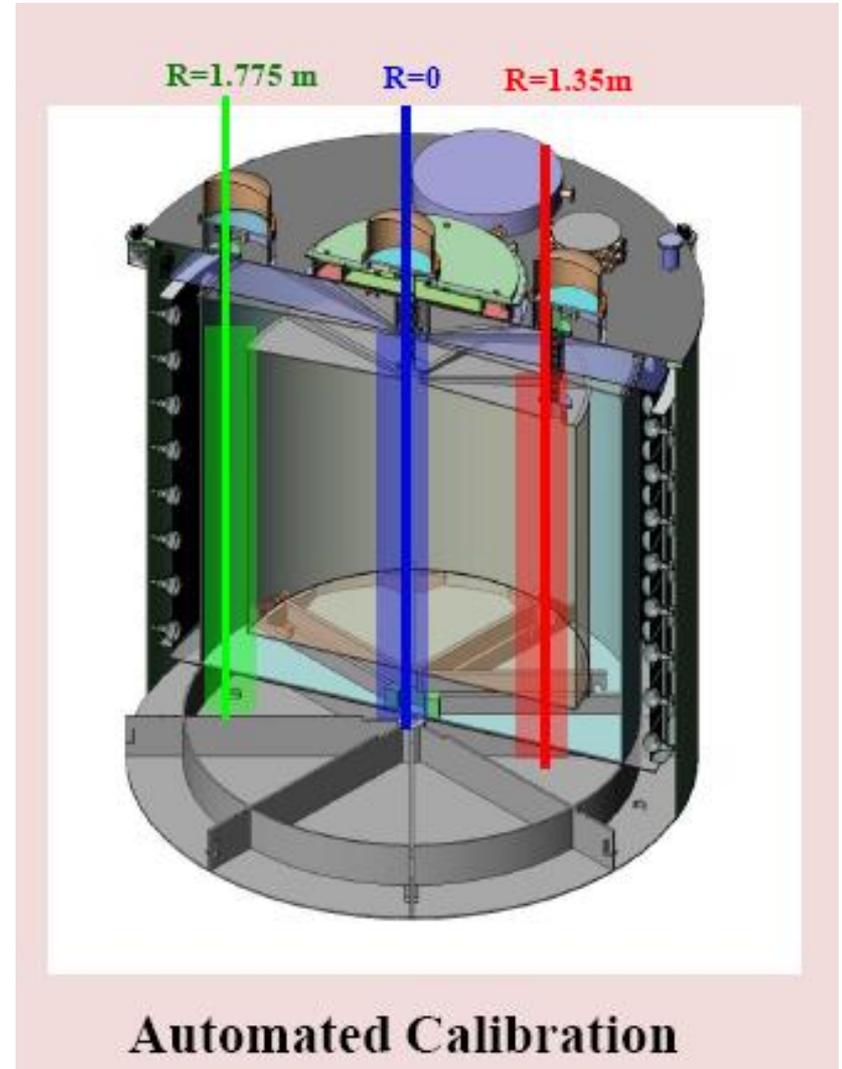
# Anti-neutrino Detector

- ❑ Three zones modular structure:
  - Target: 20t, 1.6m Gd-loaded scintillator
  - $\gamma$ -catcher: 20t, 45cm normal scintillator
  - Buffer shielding: 40t, 45cm oil
- ❑ Reflector at top and bottom
- ❑ 192 8" PMT/module
- ❑ PMT coverage: 12%(with reflector)



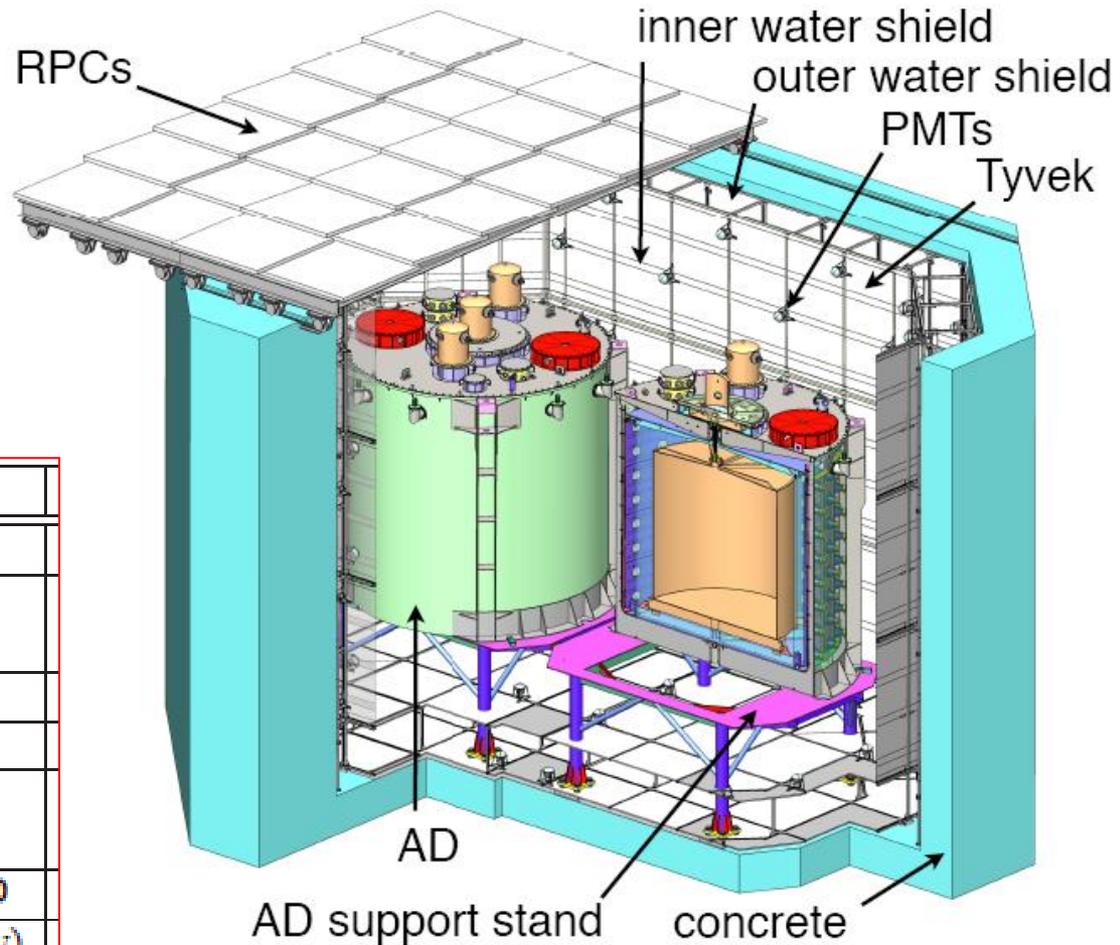
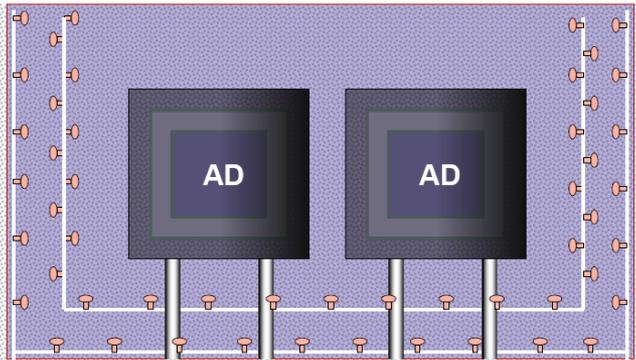
# Automatic Calibration Unit

- **Three Z axis:**
  - Center, edge,  $\gamma$ -catcher
- **Each axis with 3 sources:**
  - LED
    - $t_0$ , gain and relative QE
  - $^{68}\text{Ge}$  ( $2 \times 0.511$  MeV  $\gamma$ 's)
    - Threshold & non-linearity...
  - $^{241}\text{Am}$ - $^{13}\text{C}$  +  $^{60}\text{Co}$  (1.17+1.33 MeV  $\gamma$ 's)
    - Neutron capture time, ...
    - Energy scale, response function, ...
- **Once per week**



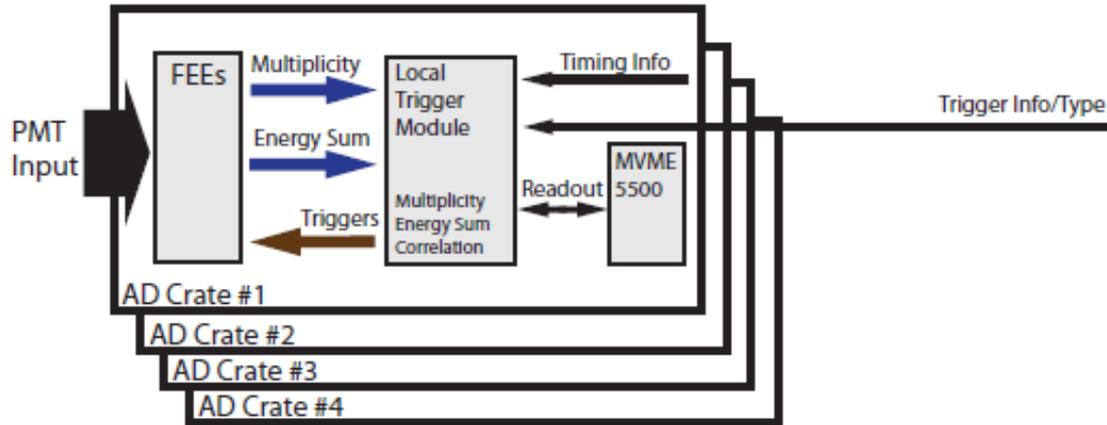
# Muon Veto Detector

RPCs



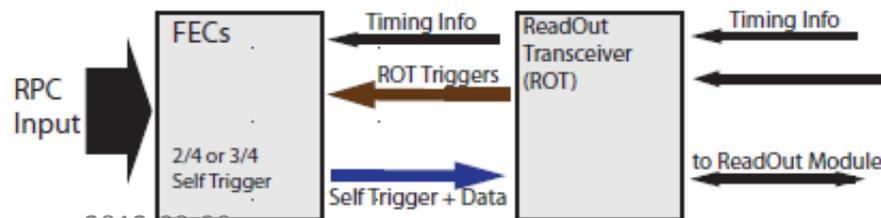
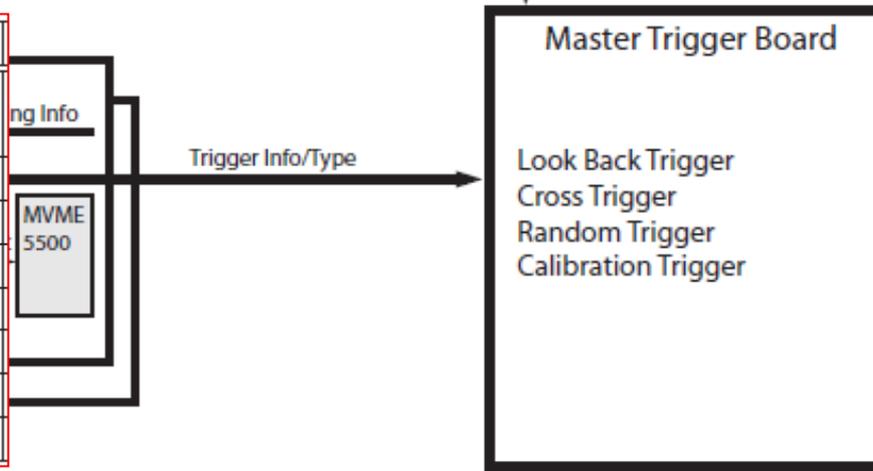
Item	Requirement
Thickness of water shield	$\geq 2$ m
Total inefficiency for detecting muons	$\leq 0.5\%$
Uncertainty of efficiency	$\leq 0.25\%$
Random veto downtime	$\leq 15\%$
Uncertainty in random veto downtime	$\leq 0.05\%$
Position resolution	0.5–1 m near AD
Timing resolution	$\pm 2$ ns (Cherenkov) $\pm 25$ ns (RPCs)

# FEE and Trigger System



Item	Requirement
Efficiency	>99%
Time of Trigger	13 ns
Energy Threshold	~0.7 MeV
Flexibility	dynamic algorithms
Reproducibility	< a few ns
Redundancy	>1 algorithm

Item	Requirement
Dynamic Range	0-4000 p.e.
Resolution	<0.1 p.e. @ 1 p.e.
Noise	<10% @ 1 p.e.
Time range	0-500 ns
Timing resolution	<1 ns
Sampling rate	≥40 MHz
Channels/module	≥12
VME standard	VME64xp-340 mm



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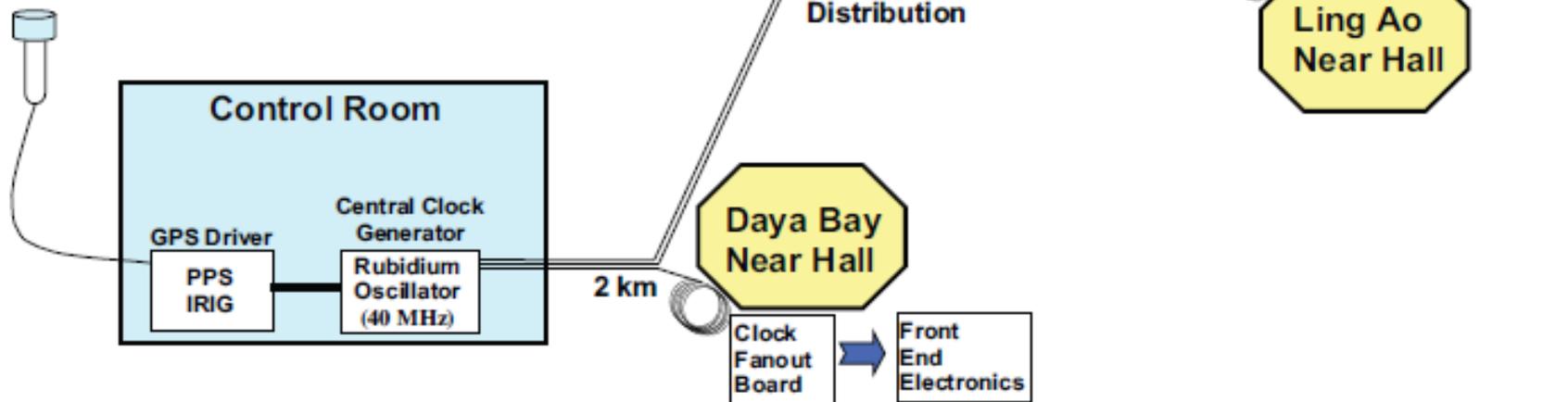
RPC System

# Clock System



GPS

- GPS provides 1 PPS and absolute time
- Rubidium clock provides 10 MHz -> 40 MHz
- Timing signals distributed from master to each site
- Each site has a timing module with a backup oscillator

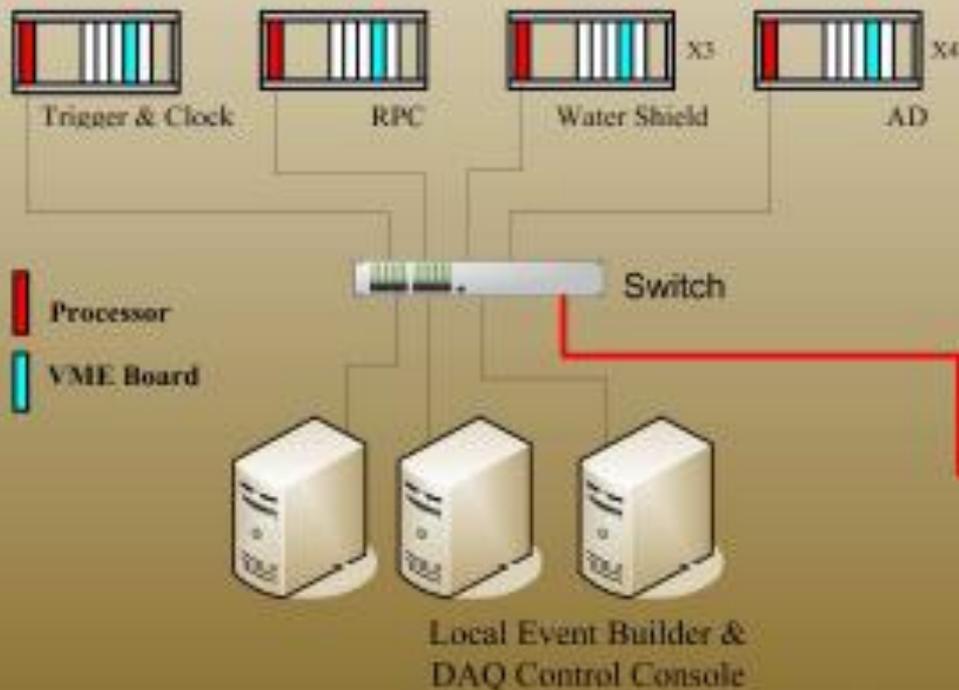


# Data Acquisition System

DYB Site

LA Site

Far Site



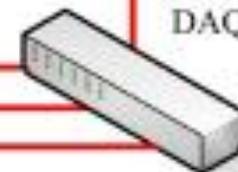
Mass Storage



WAN



Remote Data Logger & DAQ Control Console

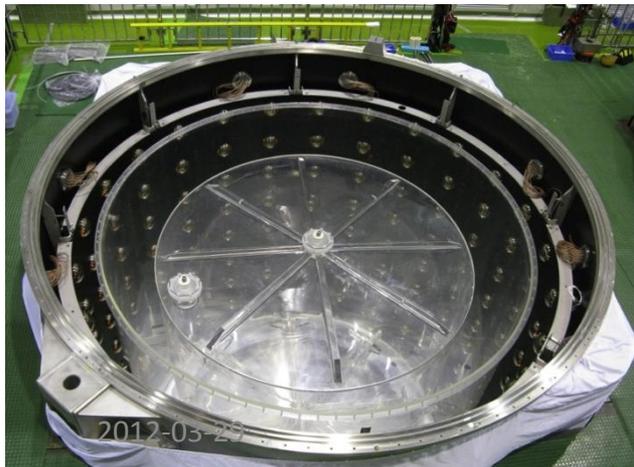
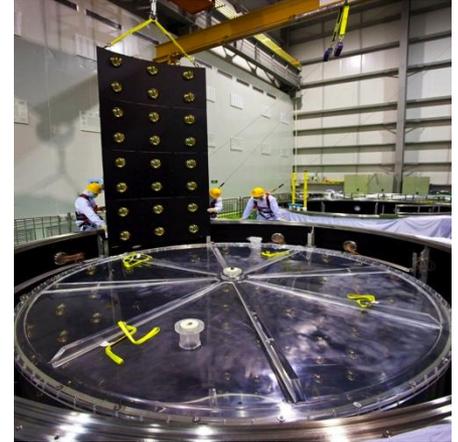
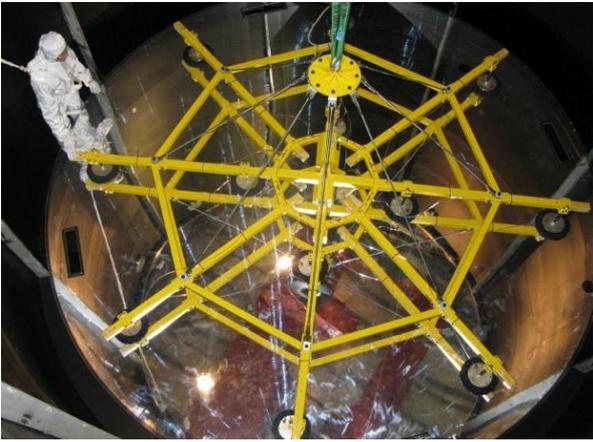
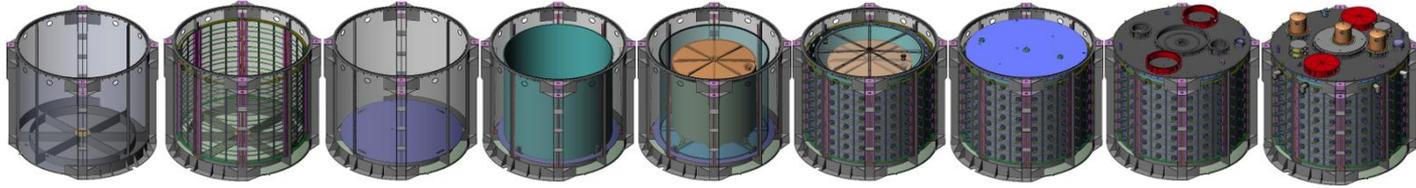


Switch

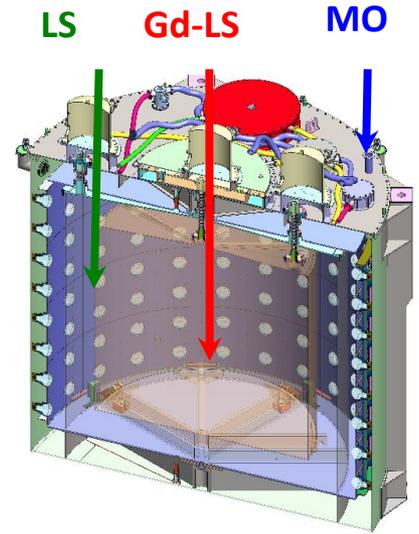
— Optical Fiber

— Twisted Cable

# Antineutrino Detector Assembly



# Detector Filling

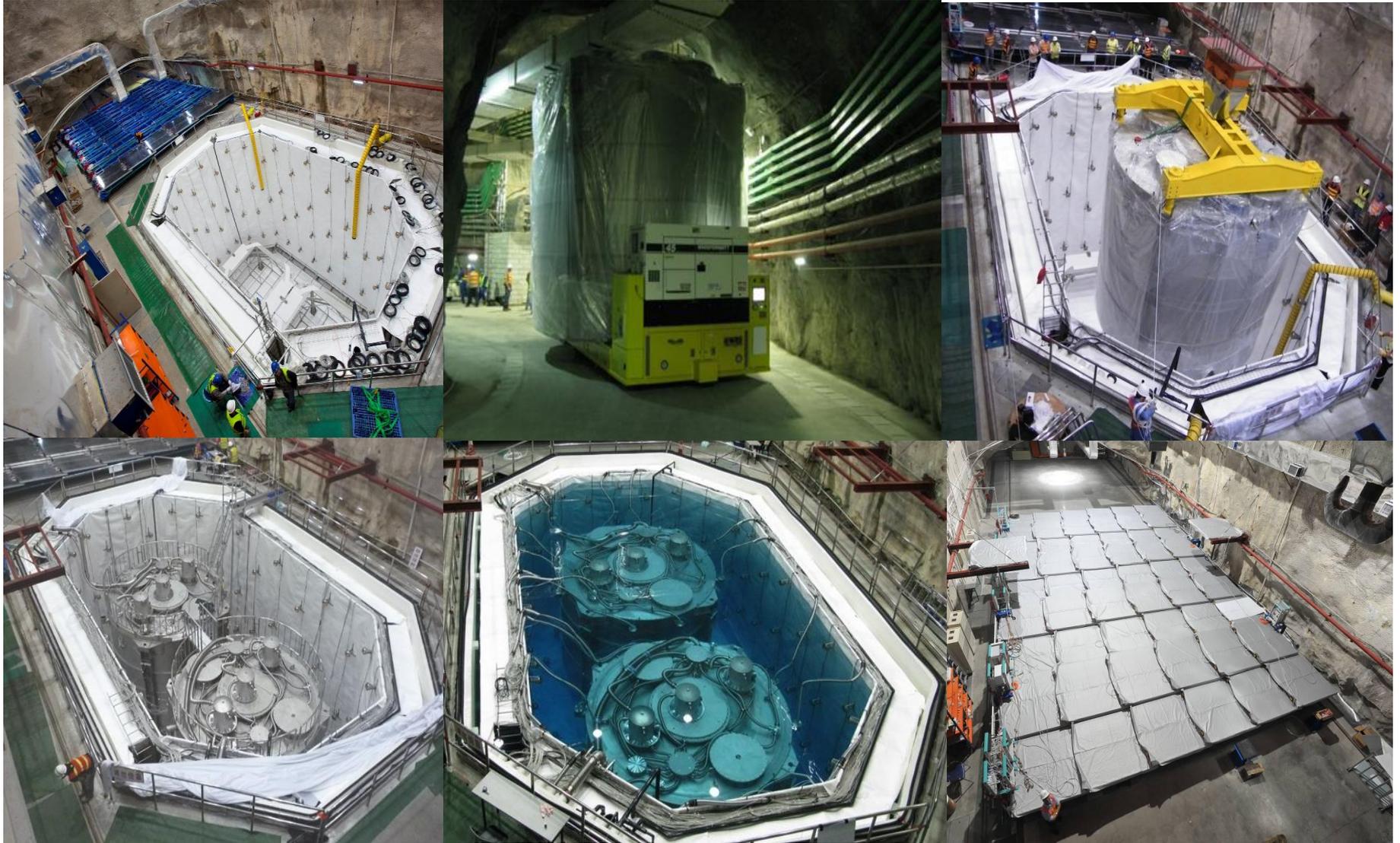


Detectors are filled from same reservoirs “in-pairs” within < 2 weeks.

Target mass determination error  $\pm$  3kg out of 20,000 <0.03% during data taking period

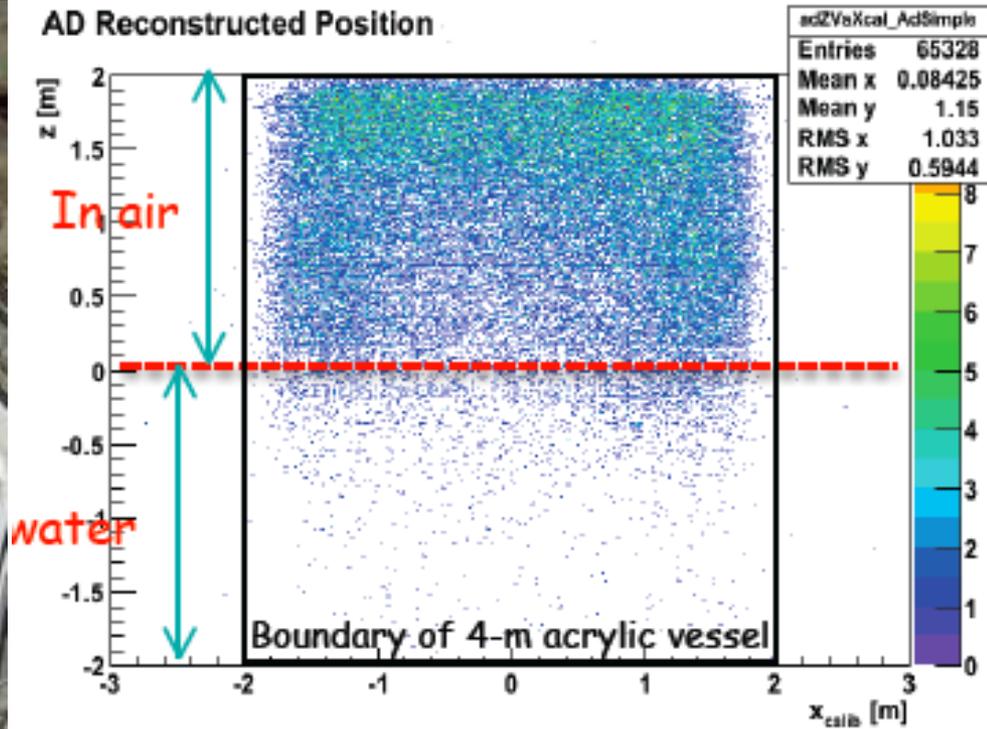
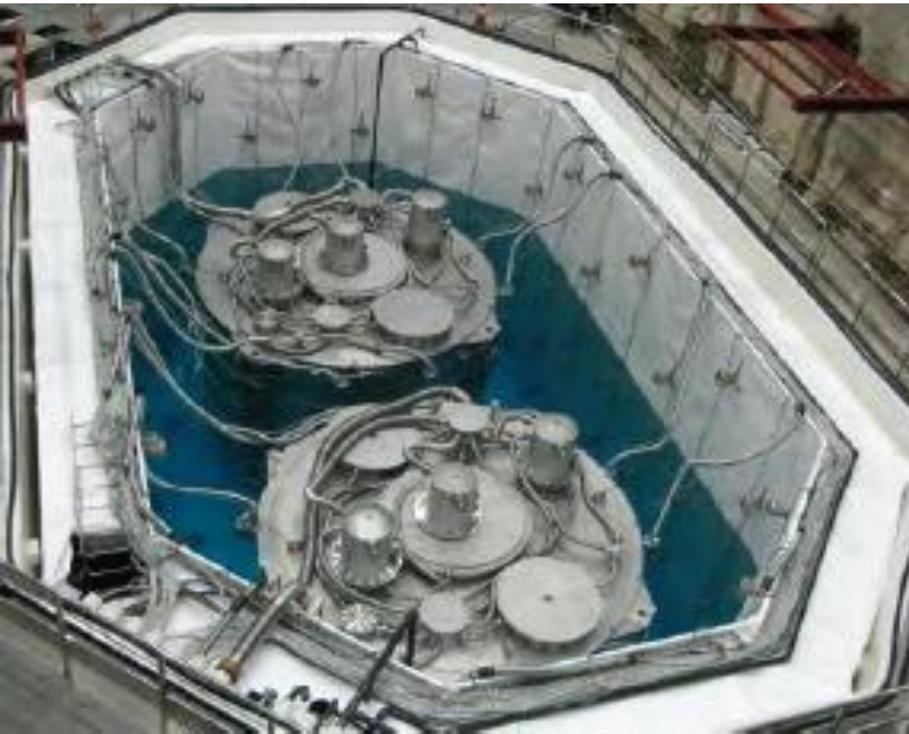


# Detector Deployment

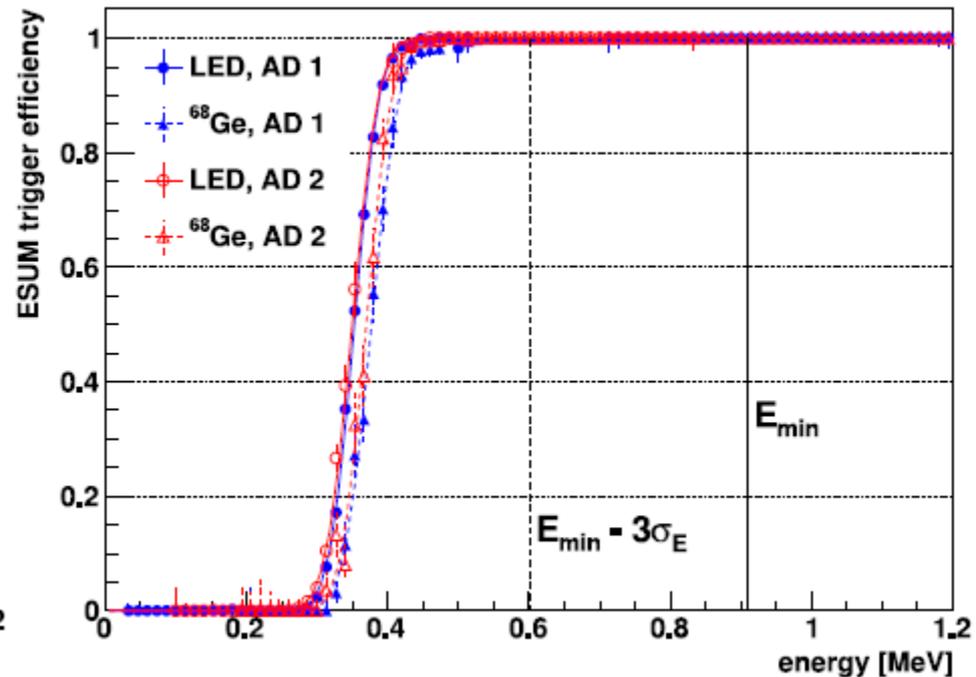
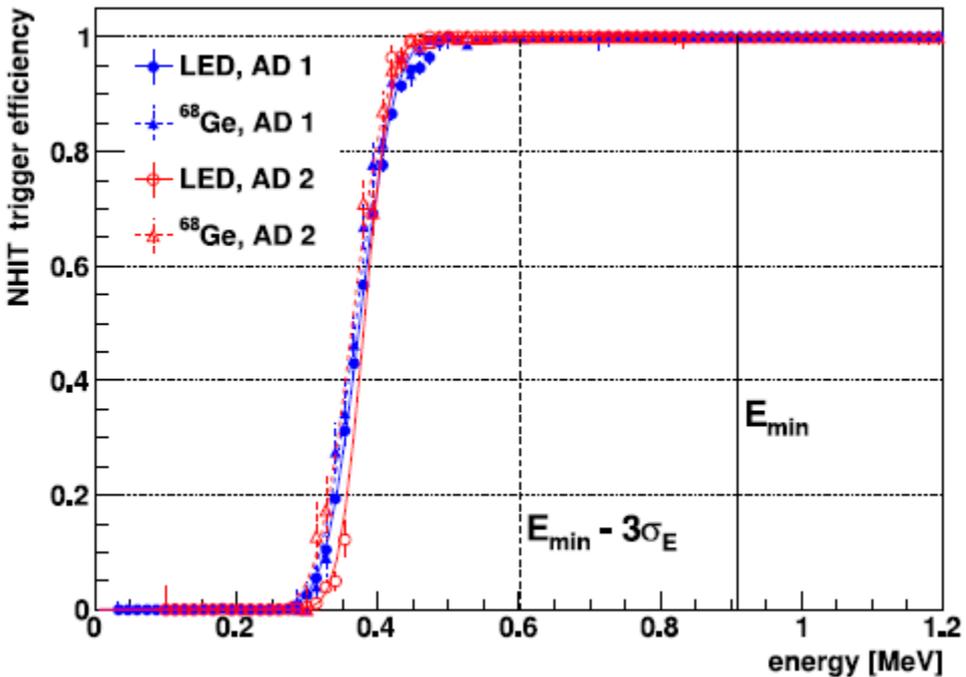
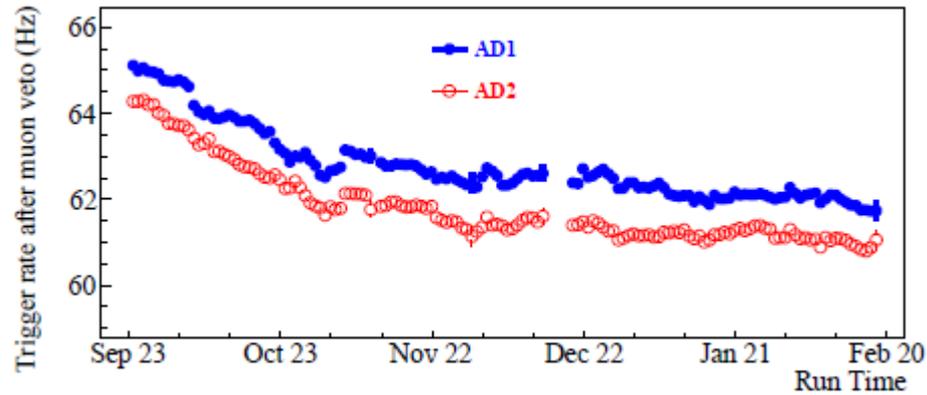


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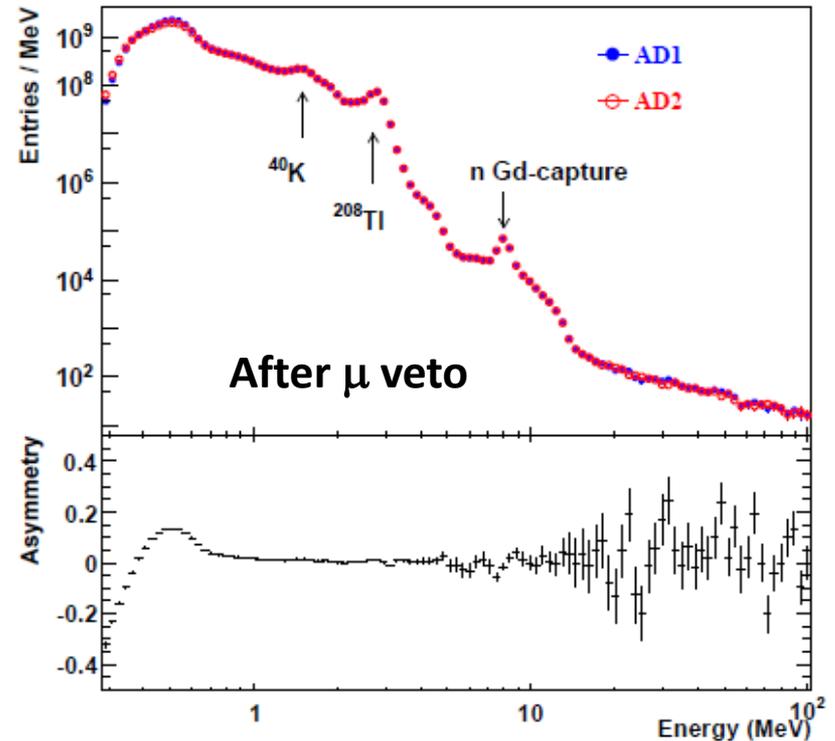
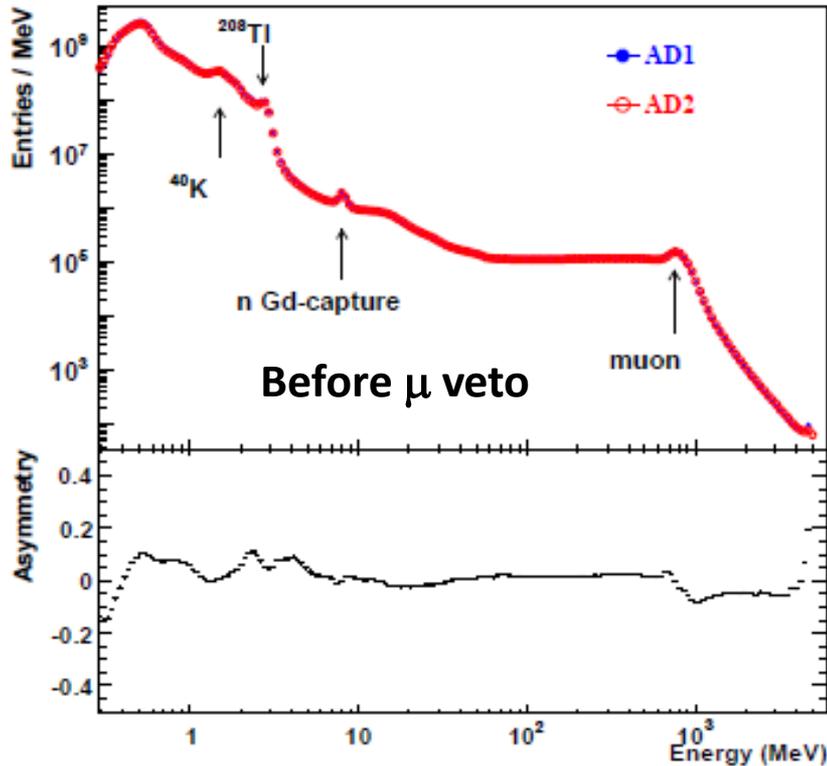
# Radioactivity Background Shielding



# Trigger performance



# Spectrum for all AD triggers



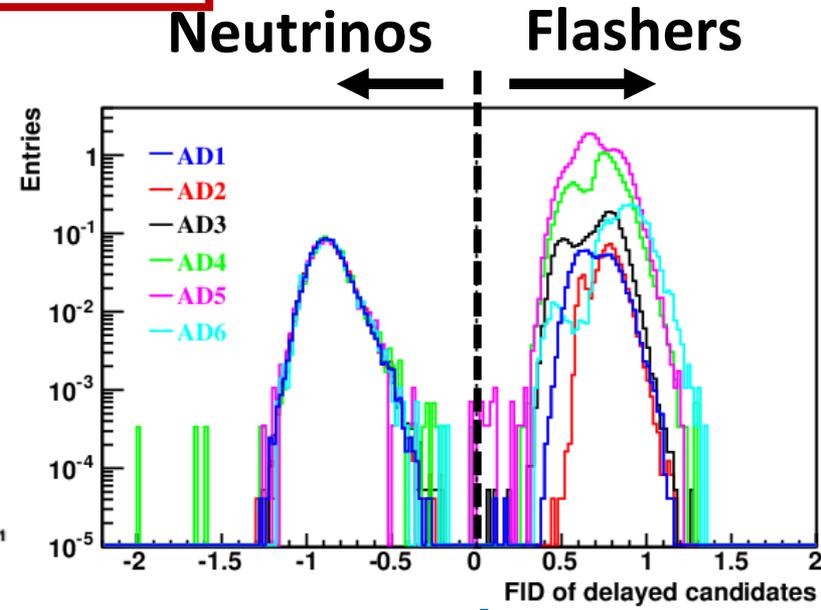
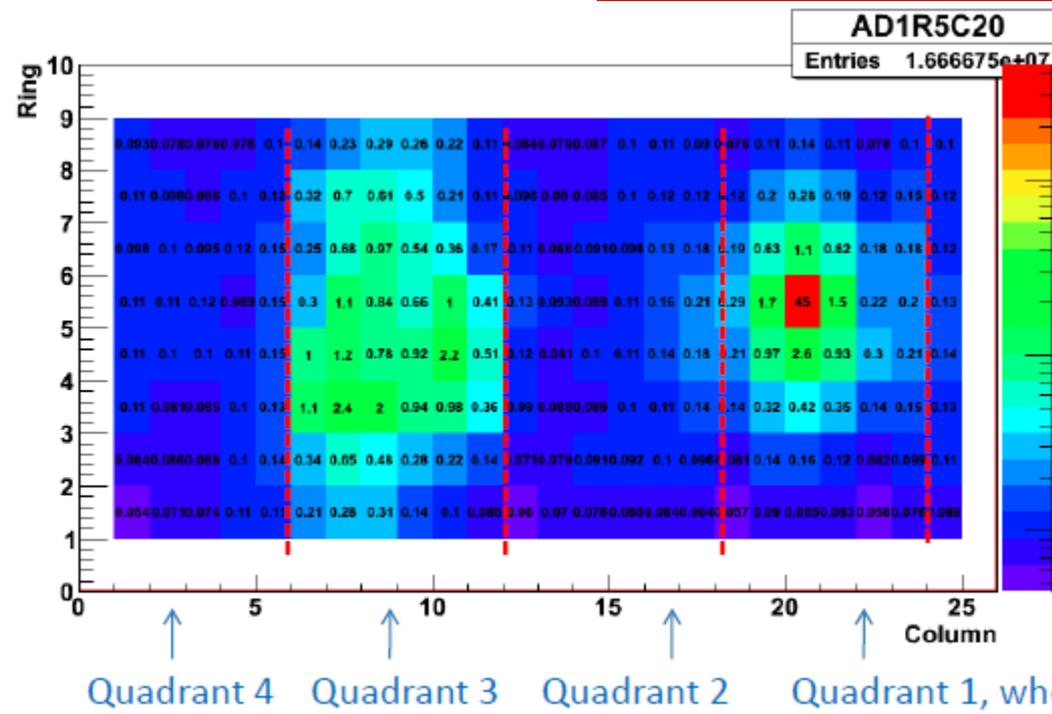
**Trigger  
threshold  
0.4 MeV**

AD1	AD2	AD3	AD4	AD5	AD6
~285	~270	~230	~180	~150	~150

**Hz**

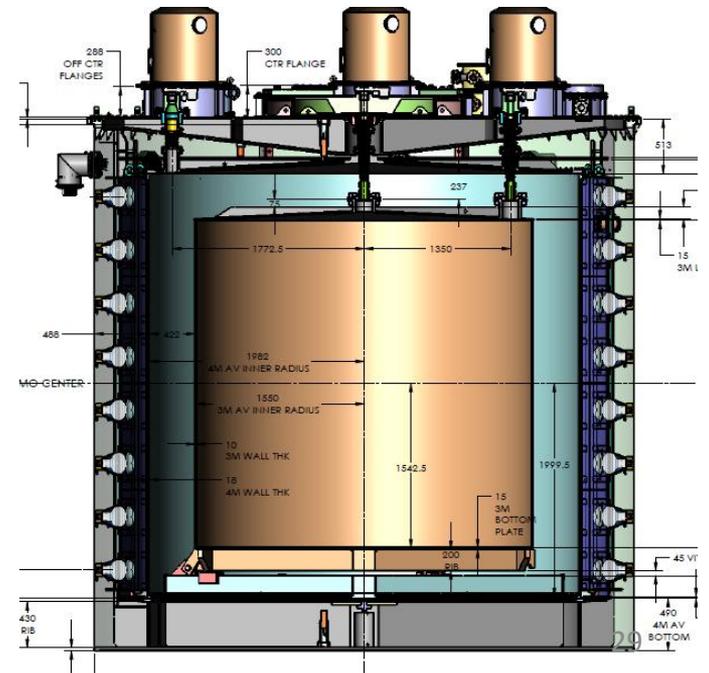
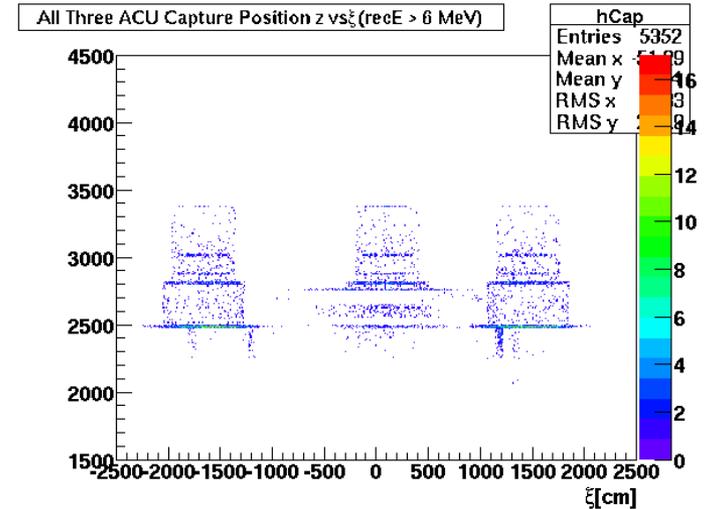
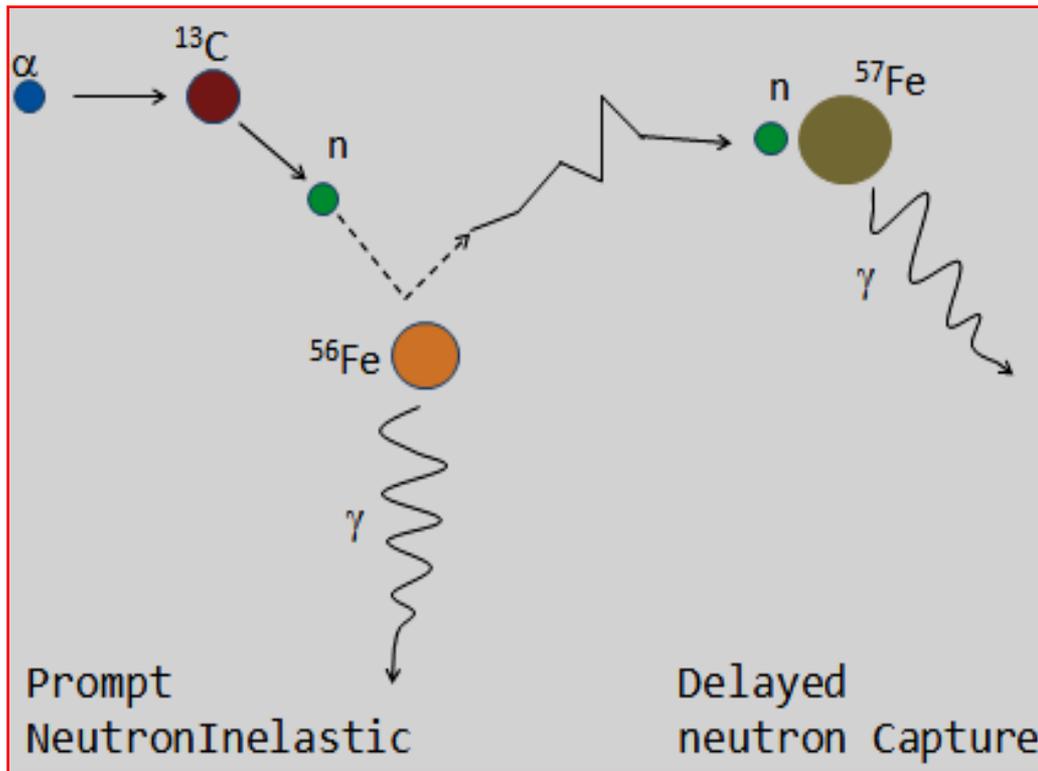
# Unexpected PMT Feature

Inefficiency to neutrinos:  
 $0.024\% \pm 0.006\%$ (stat)  
 Contamination:  $< 0.01\%$



$$\log_{10} \left( \left( \frac{Quadrant}{1} \right)^2 + \left( \frac{MaxQ}{0.45} \right)^2 \right) < 0$$

# Unexpected Bkg from ACU



- ❑  $^{241}\text{Am}$ - $^{13}\text{C}$  leakage
- ❑ Uncorrelated: 230evts/day/AD
- ❑ Correlated: 0.2evts/day/AD

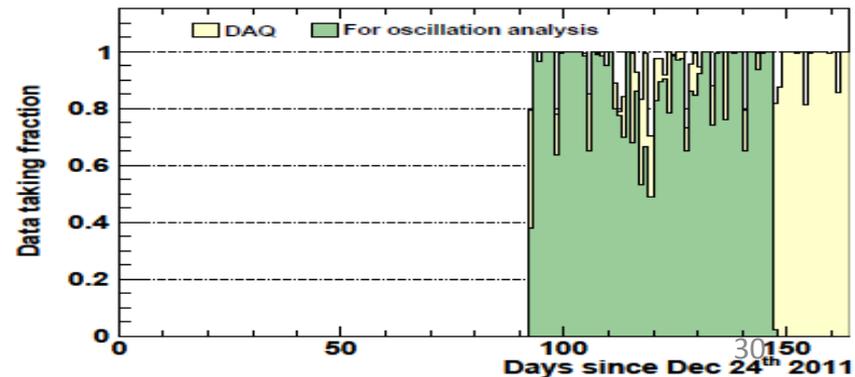
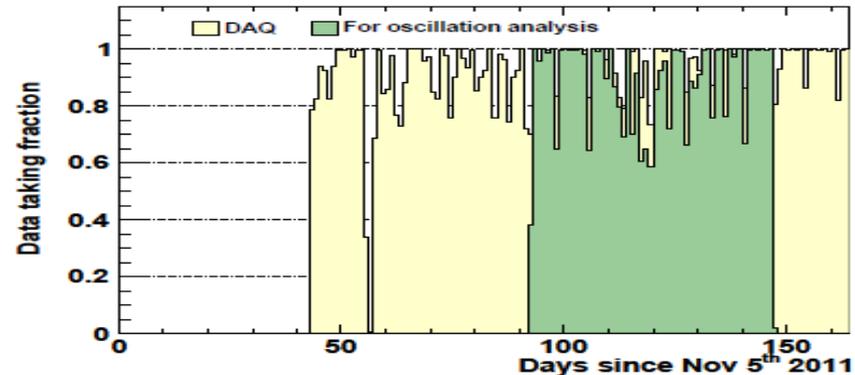
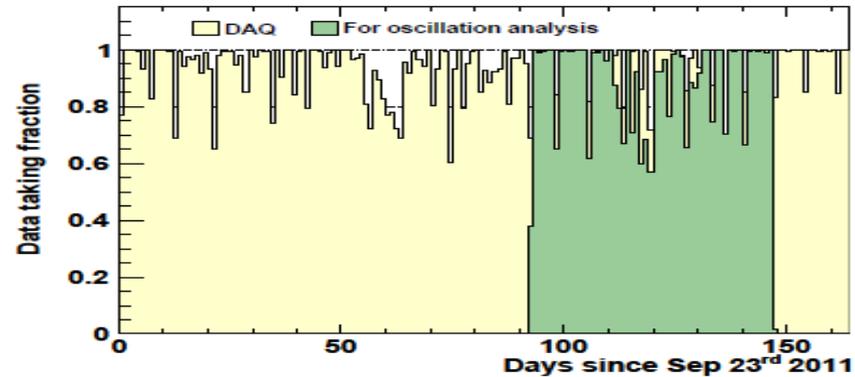
# Detector live days

## Current Oscillation Analysis:

- Dec. 24, 2011 – Feb. 17, 2012
- All 3 halls (6 ADs) operating
- DAQ uptime: >97%
- Antineutrino data: ~89%

## Two Detector Comparison:

- Sep. 23, 2011 – Dec. 23, 2011
- Side-by-side comparison
- Demonstrated detector systematics better than requirements.
- Details presented in:  
arXiv:1202.6181 (2012)



# Data Analysis

# Blind Analysis

**Motivation: Conceal the true value of  $\sin^2 2\theta_{13}$**

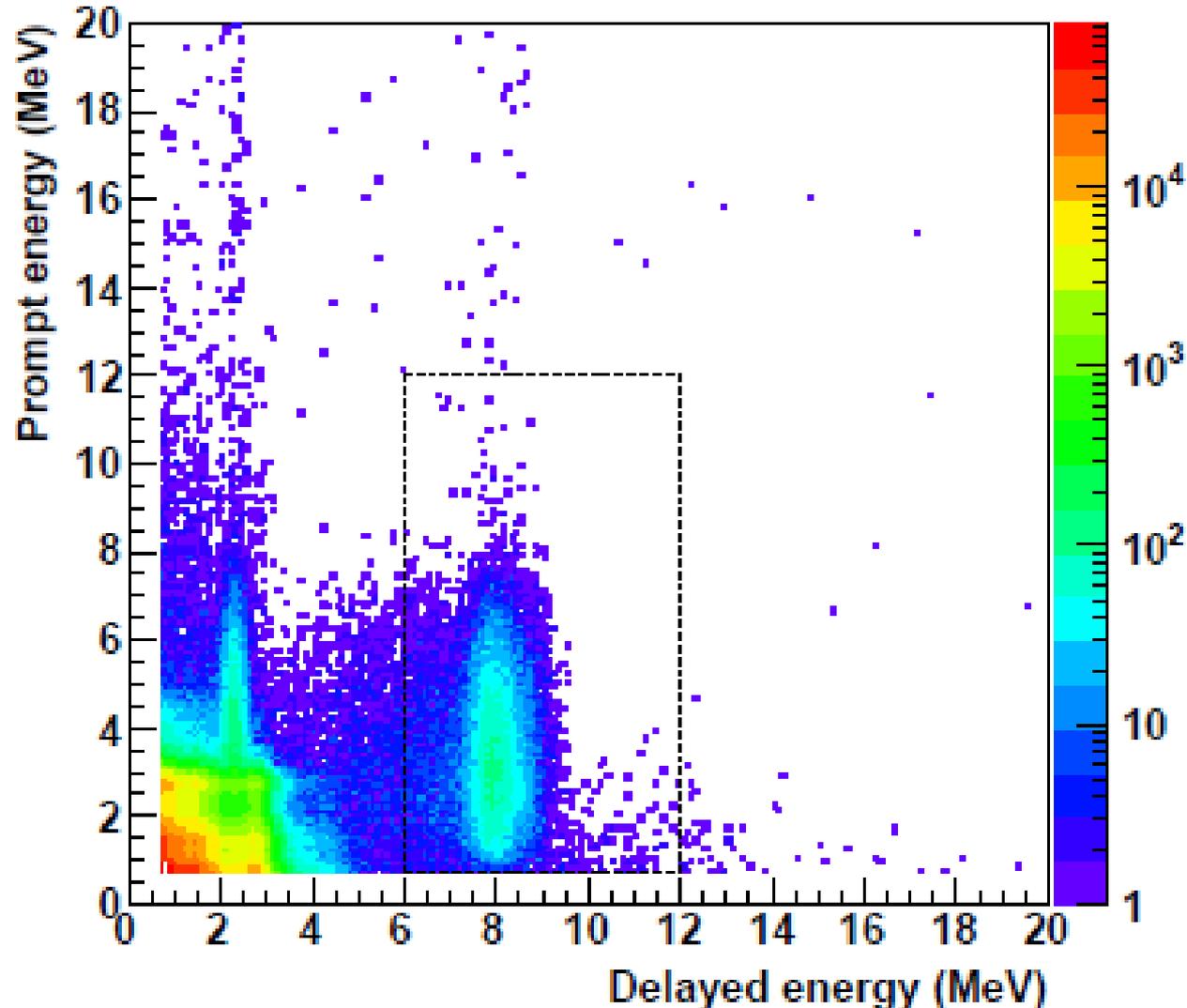
Parameter	Set uncertainty	Actual precision
Target mass	0.5%	0.1%
Baseline	5m	30cm
Reactor flux	10%	0.13%

- **Nominal values initially assigned with large uncertainties.**
- **Precise values provided when all the analyses are finalized and frozen.**

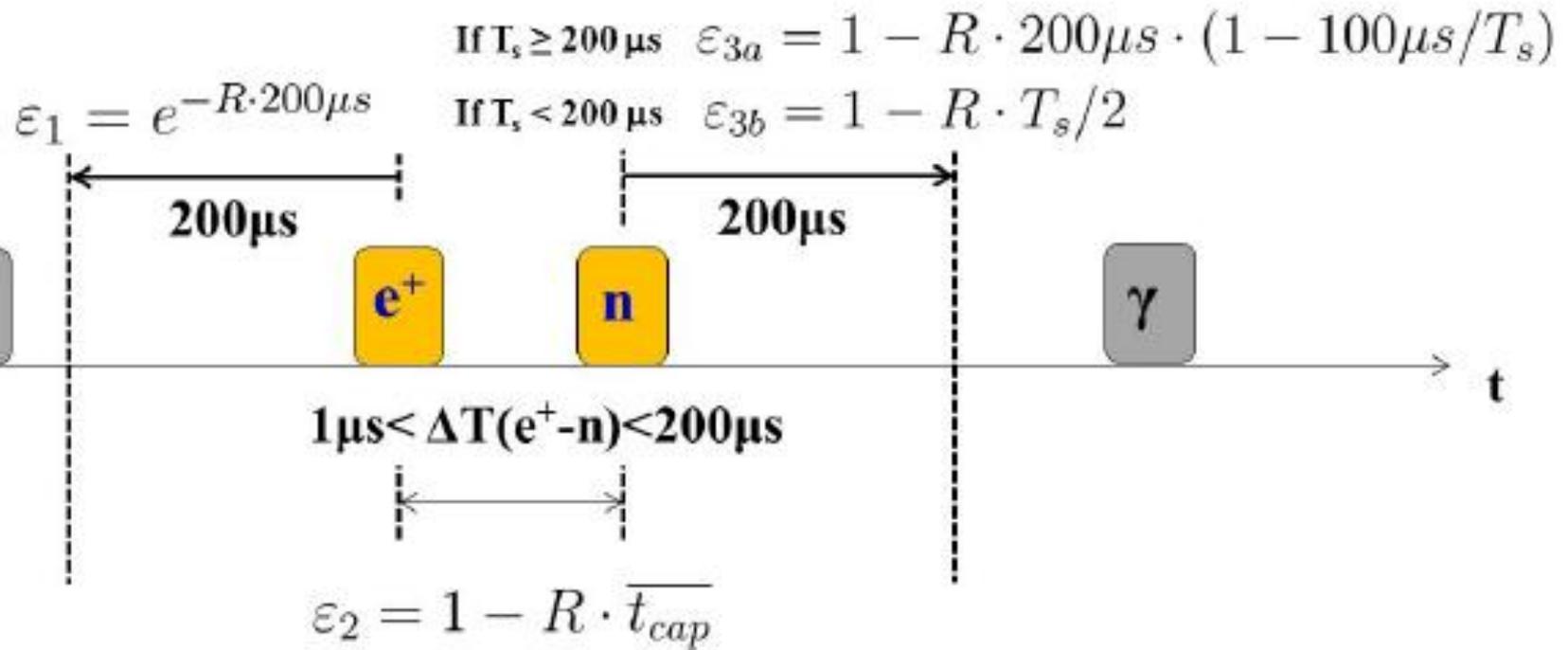
$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left[ \frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)} \right]$$

# Background Classification

- **Multiplicity**
  - ✓  $\gamma + e/n$
- **Accidentals**
  - ✓  $2 \gamma$ 's
- **Fast neutrons**
  - ✓  $\gamma + n$
- $^8\text{He}/^9\text{Li}$ 
  - ✓  $\beta + n$
- **Am-C**
  - ✓  $2 \gamma$ 's
- $^{13}\text{C}(\alpha, n)^{16}\text{O}$

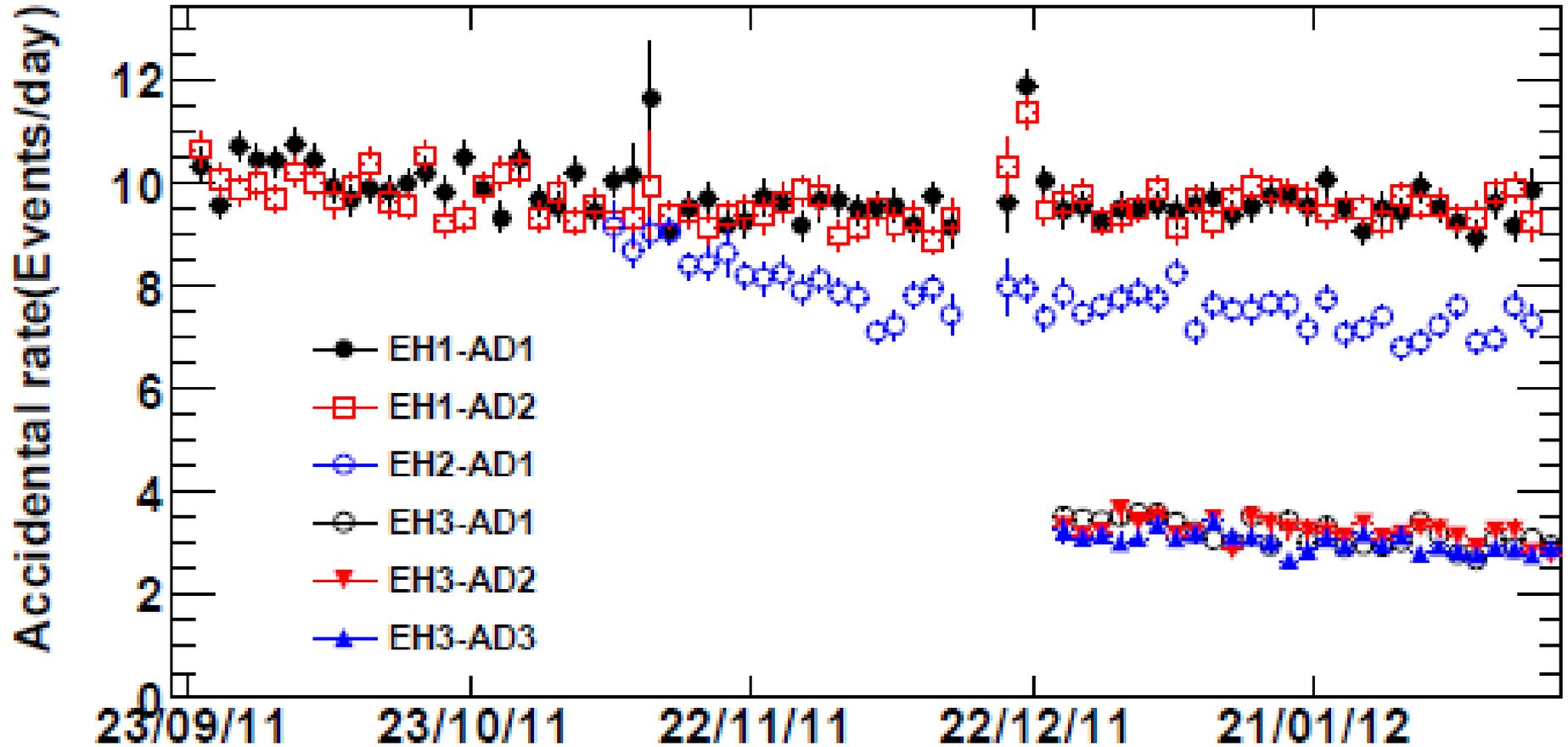


# Multiplicity Cuts



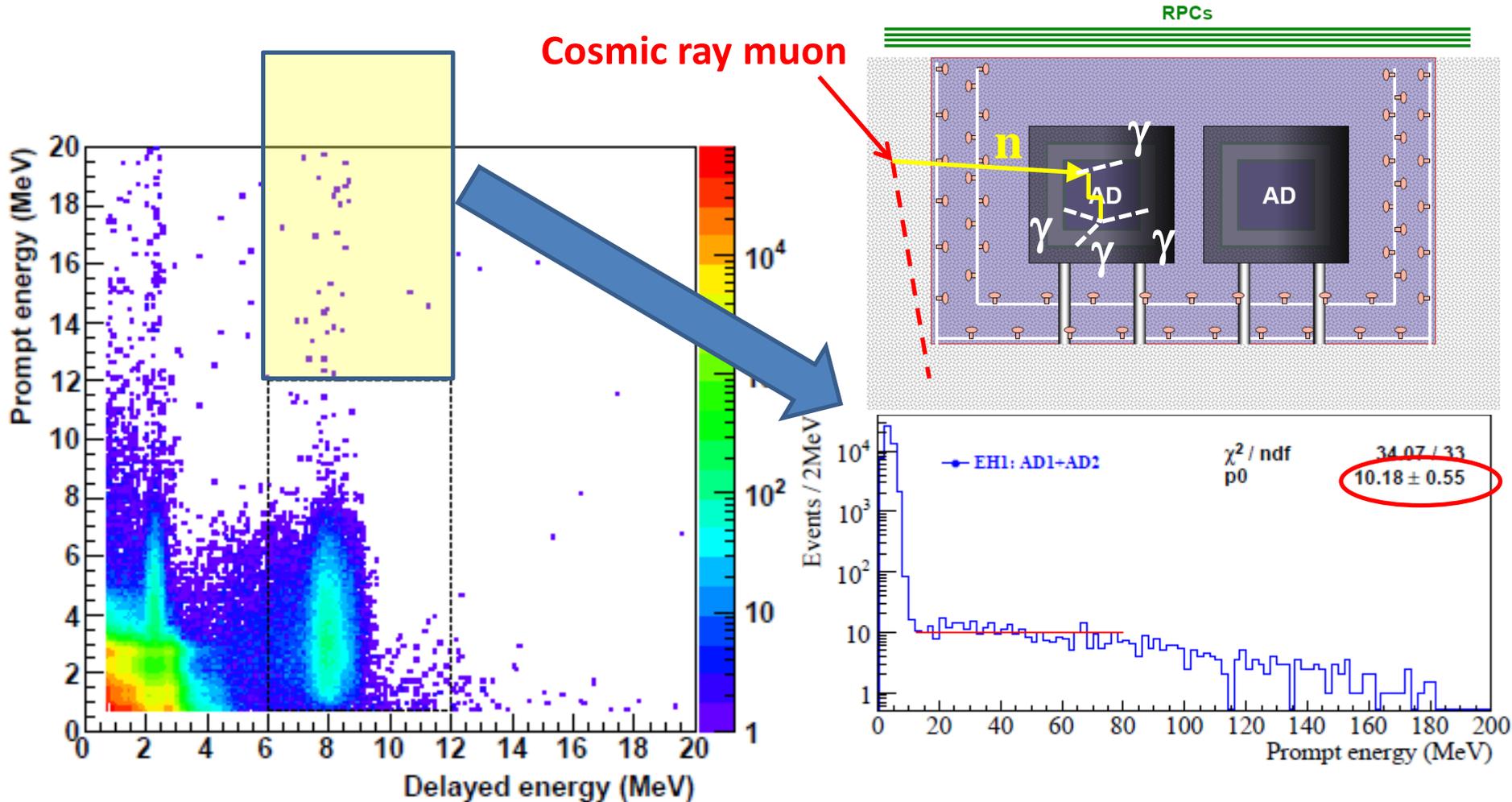
**Multiplicity cut Efficiency =  $\varepsilon_1 \times \varepsilon_2 \times \varepsilon_3$**

# Accidental Background



$$N_{\text{accBkg}} = \sum_i N_{\text{n-like singles}}^i \cdot \left( 1 - e^{-R_{e^+\text{-like triggers}}^i \cdot 200 \mu\text{s}} \right) \pm \frac{N_{\text{accBkg}}}{\sqrt{\sum_i N_{\text{n-like singles}}^i}}$$

# Fast Neutron Background



Prompt: n collides/stops in target

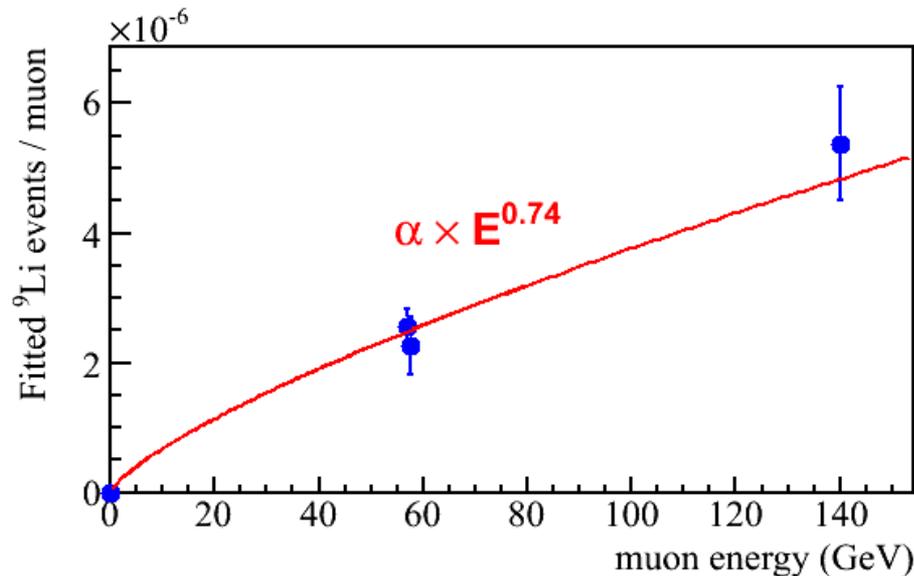
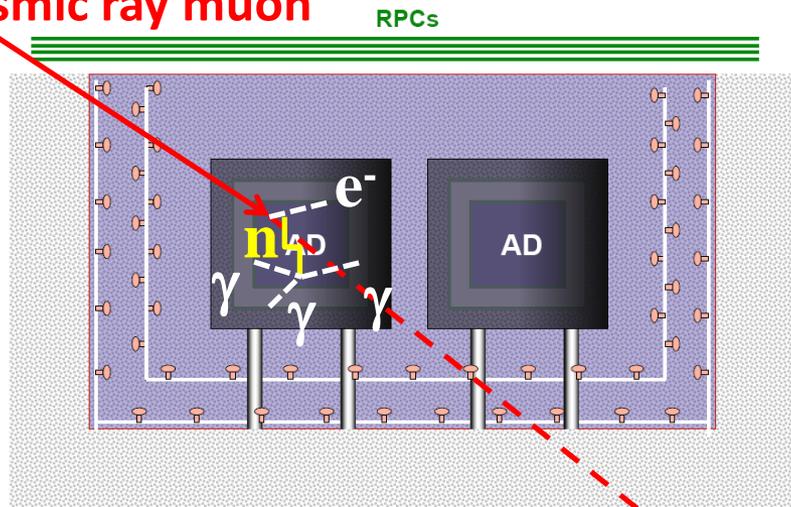
Delayed: n/Gd

2012-03-29

**Background estimate:  
extrapolation method**

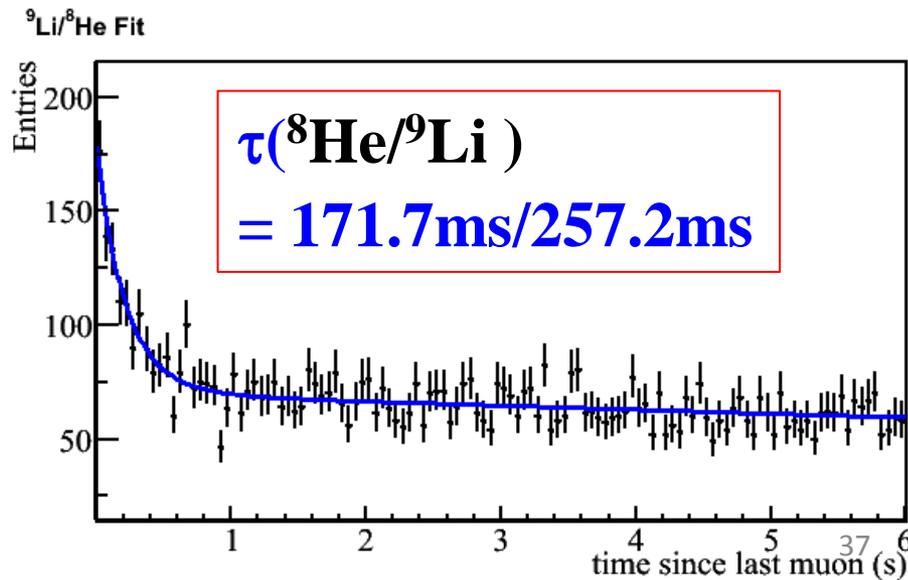
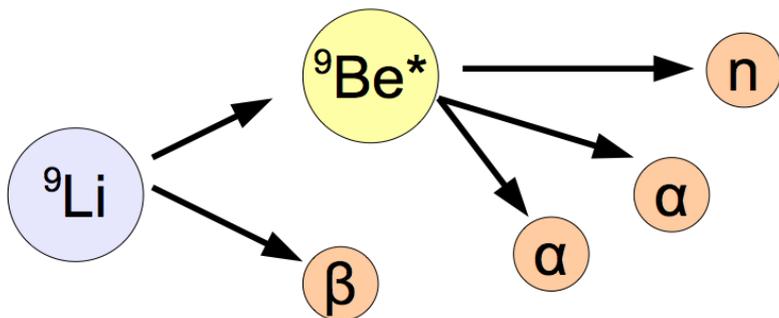
# $^8\text{He}/^9\text{Li}$ Background

Cosmic ray muon



$\beta$ -n decay:

- Prompt:  $\beta$ -decay
- Delayed: neutron capture



Background estimate:

fit with known  $\tau(^8\text{He}/^9\text{Li})$

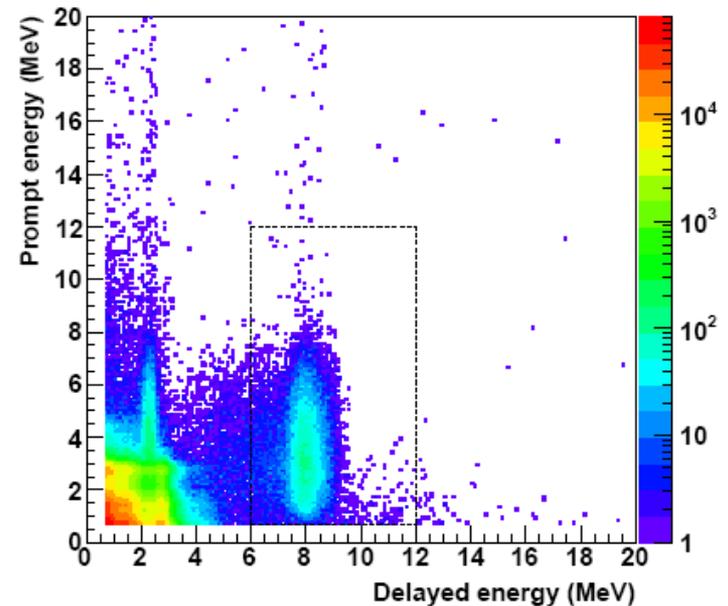
# Selection Criteria

## □ Pre-selection

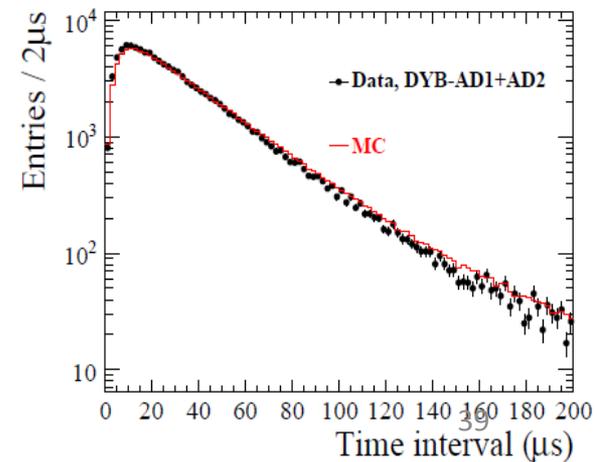
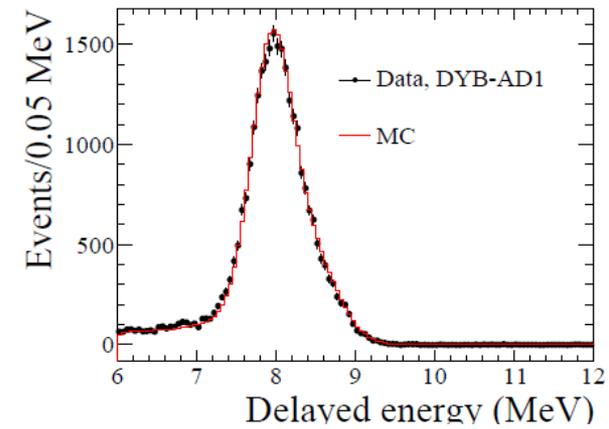
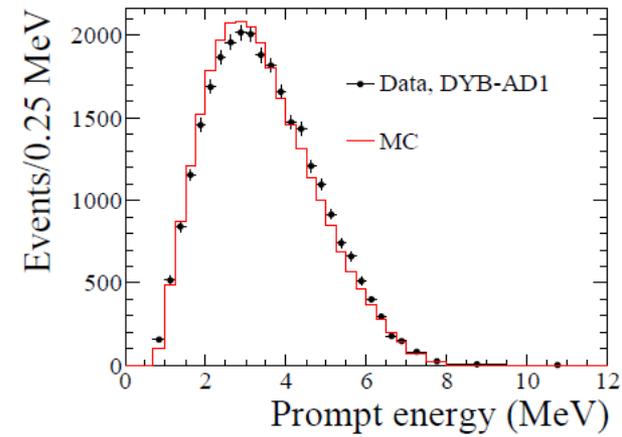
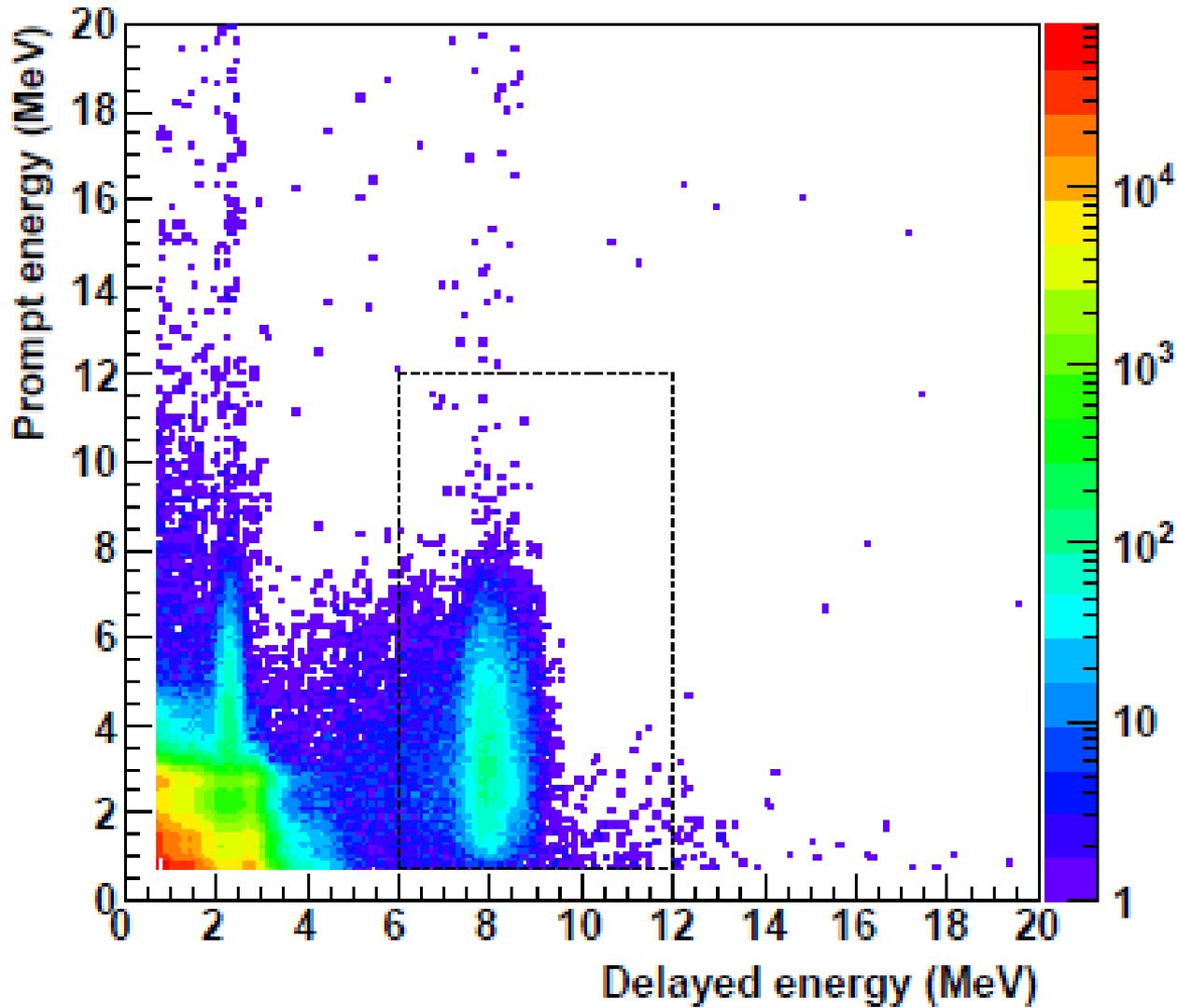
- No flasher + no trigger ( $-2 \mu\text{s}$ ,  $200 \mu\text{s}$ ) to a WP muon

## □ Neutrino event selection

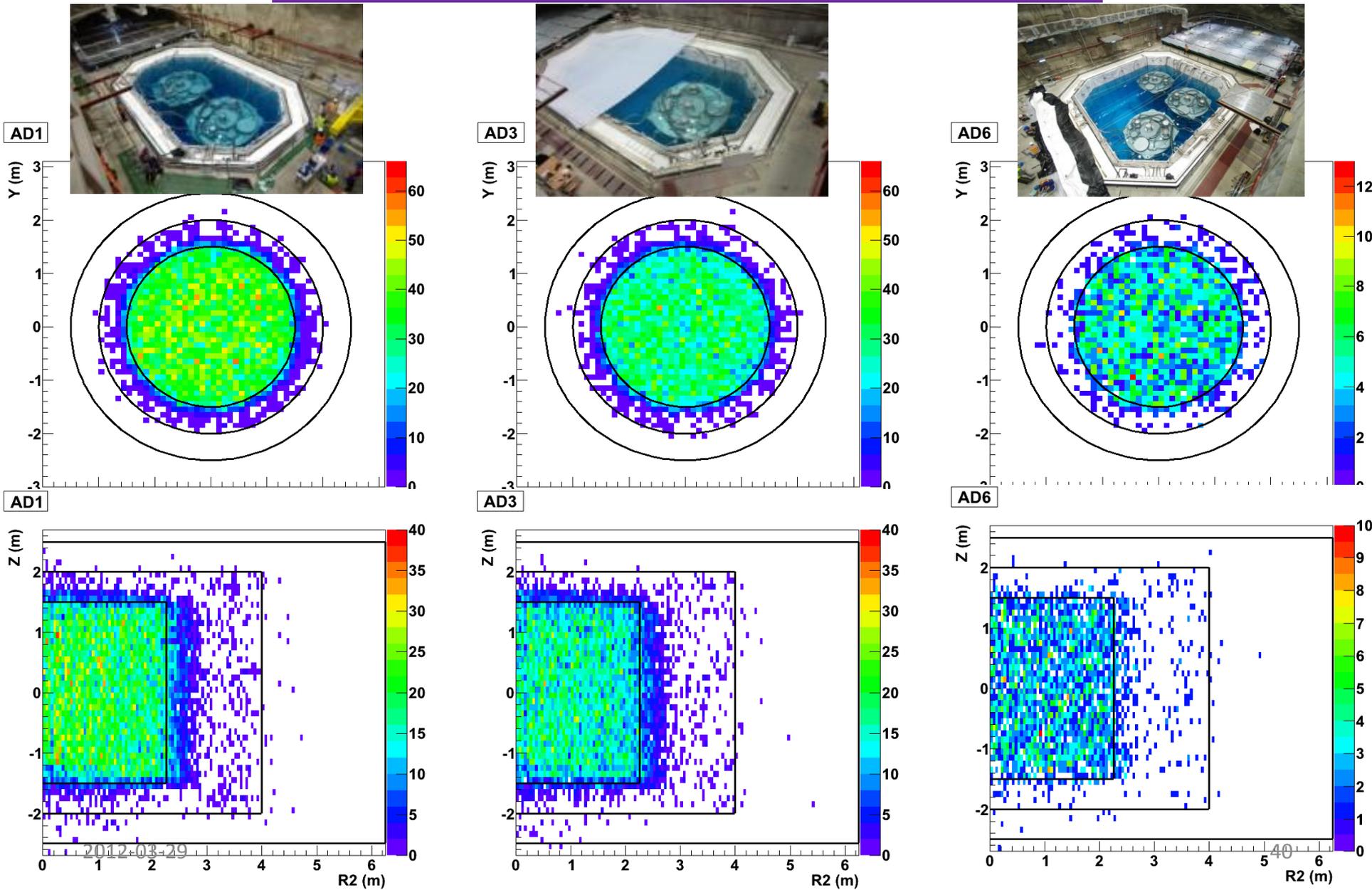
- Multiplicity cuts
  - $(t_n - T_e) < 200 \mu\text{s}$
  - No triggers before  $e^+$  and after n
- Muon veto cuts
  - 1s after an AD shower muon
  - 1ms after an AD muon
  - 0.6ms after a WP muon



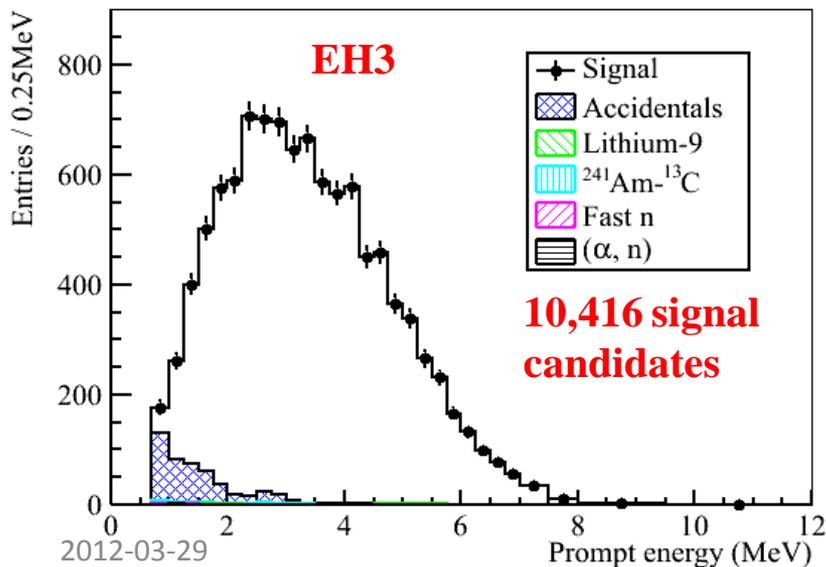
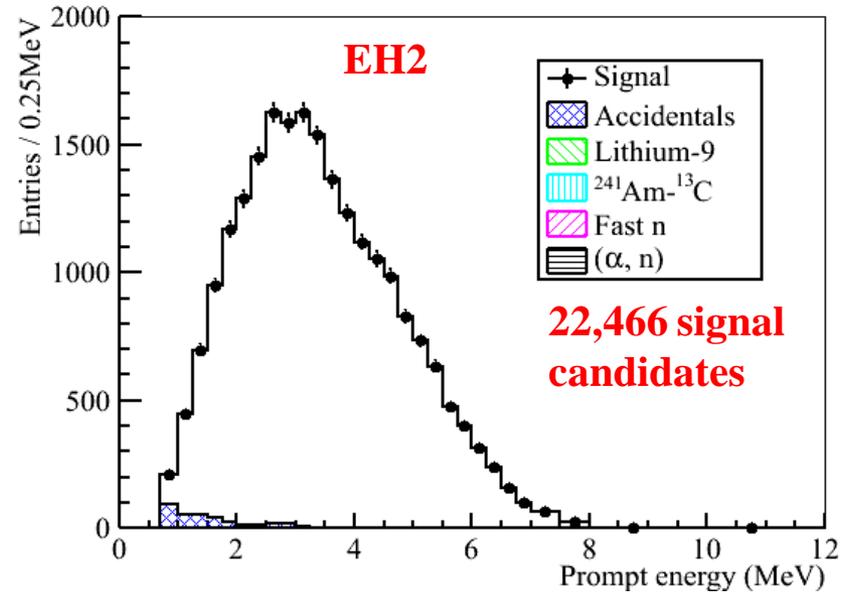
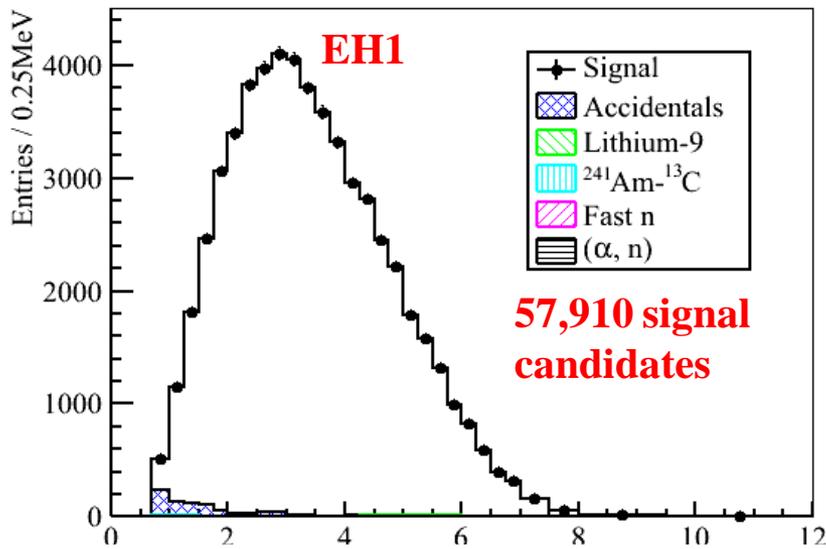
# IBD Events



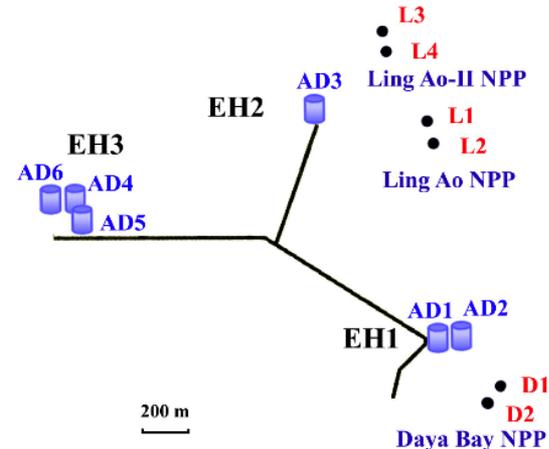
# IBD Reaction Positions



# IBD Candidates at Each Hall



$$\left(\frac{N_f}{N_n}\right) = \left(\frac{N_{p,f}}{N_{p,n}}\right) \left(\frac{L_n}{L_f}\right)^2 \left(\frac{\epsilon_f}{\epsilon_n}\right) \left[\frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)}\right]$$



# Data Set Summary

	AD1	AD2	AD3	AD4	AD5	AD6
<b>Antineutrino candidates</b>	<b>28935</b>	<b>28975</b>	<b>22466</b>	<b>3528</b>	<b>3436</b>	<b>3452</b>
<b>DAQ live time (day)</b>	<b>49.5530</b>		<b>49.4971</b>	<b>48.9473</b>		
<b>Efficiency</b>	<b>0.8019</b>	<b>0.7989</b>	<b>0.8363</b>	<b>0.9547</b>	<b>0.9543</b>	<b>0.9538</b>
<b>Accidentals (/day)</b>	<b>9.82</b> <b>±0.06</b>	<b>9.88</b> <b>±0.06</b>	<b>7.67</b> <b>±0.05</b>	<b>3.29</b> <b>±0.03</b>	<b>3.33</b> <b>±0.03</b>	<b>3.12</b> <b>±0.03</b>
<b>Fast neutron (/day)</b>	<b>0.84</b> <b>±0.28</b>	<b>0.84</b> <b>±0.28</b>	<b>0.74</b> <b>±0.44</b>	<b>0.04</b> <b>±0.04</b>	<b>0.04</b> <b>±0.04</b>	<b>0.04</b> <b>±0.04</b>
<b><sup>8</sup>He/<sup>9</sup>Li (/day)</b>	<b>3.1 ± 1.6</b>		<b>1.8 ± 1.1</b>	<b>0.16 ± 0.11</b>		
<b>Am-C corr. (/day)</b>	<b>0.2 ± 0.2</b>					
<b><sup>13</sup>C(α, n)<sup>16</sup>O (/day)</b>	<b>0.04</b> <b>±0.02</b>	<b>0.04</b> <b>±0.02</b>	<b>0.035</b> <b>±0.02</b>	<b>0.03</b> <b>±0.02</b>	<b>0.03</b> <b>±0.02</b>	<b>0.03</b> <b>±0.02</b>
<b>Antineutrino rate (/day)</b>	<b>714.17</b> <b>±4.58</b>	<b>717.86</b> <b>±4.60</b>	<b>532.29</b> <b>±3.82</b>	<b>71.78</b> <b>±1.29</b>	<b>69.80</b> <b>±1.28</b>	<b>70.39</b> <b>±1.28</b>

# Determination of $\sin^2 2\theta_{13}$

**Base lines**

$$\frac{N_f}{N_n} = \left( \frac{N_{p,f}}{N_{p,n}} \right) \left( \frac{L_n}{L_f} \right)^2 \left( \frac{\epsilon_f}{\epsilon_n} \right) \left[ \frac{P_{\text{sur}}(E, L_f)}{P_{\text{sur}}(E, L_n)} \right]$$

**Far/near  
 $\nu_e$  counts**

**Detector  
Target Masses**

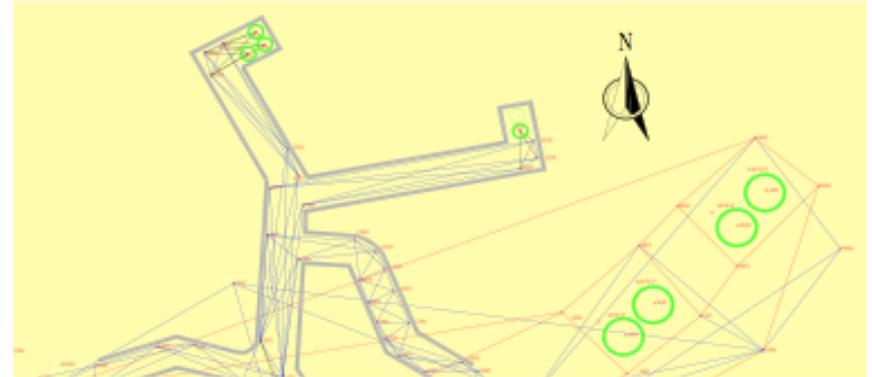
**Detector  
efficiencies**

**Oscillation  
deficit**

# Distances from Reactors to ADs

## Detailed Survey

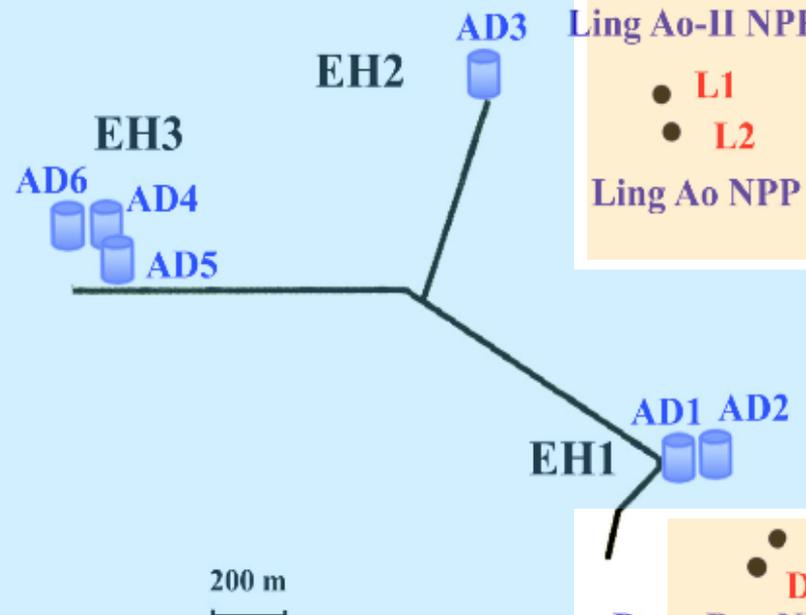
- GPS above ground
- Total Station underground
- Final precision: 28mm



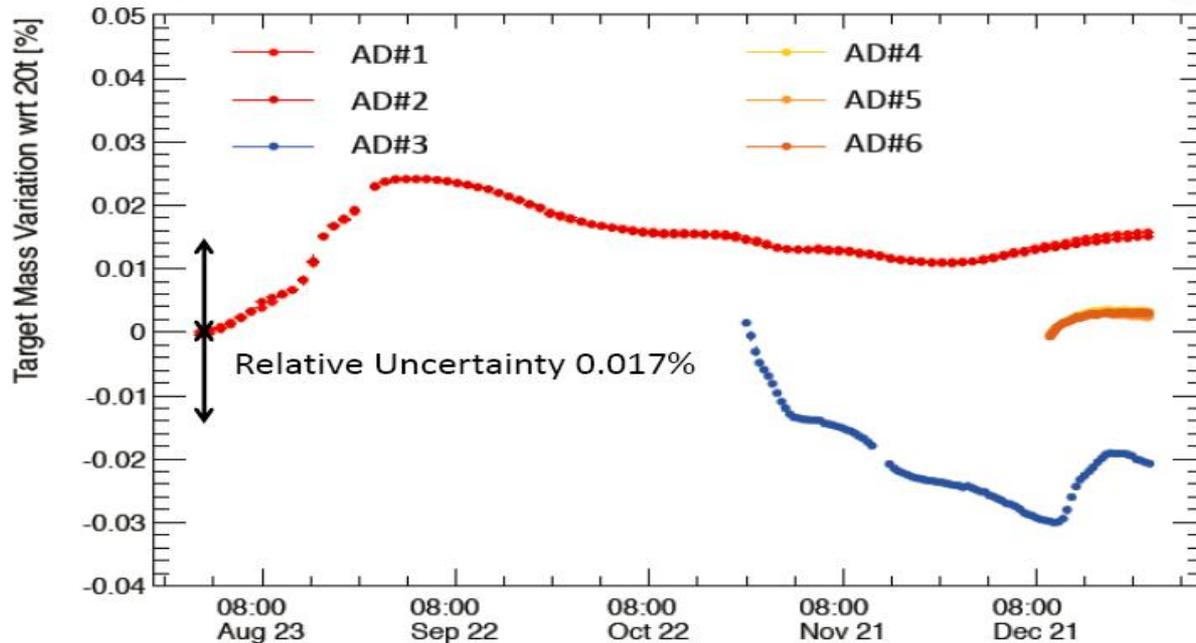
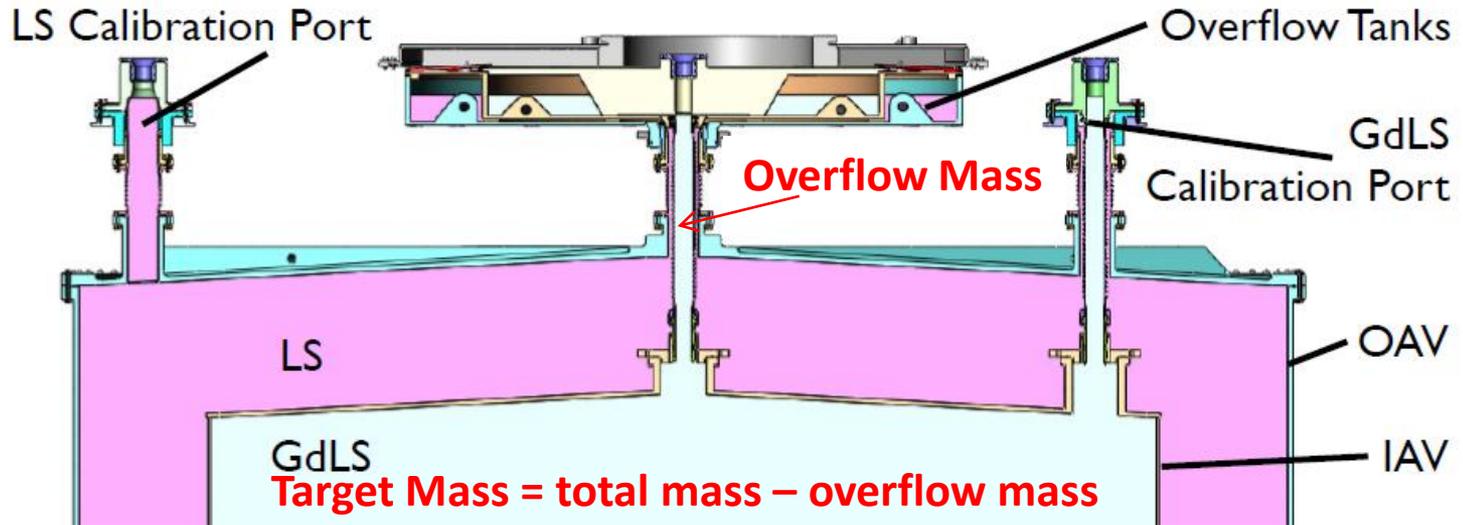
## Validation

- Three independent calculations
- Cross-check survey
- Consistent with reactor plant and design plans

### Total Station



# Target Mass



# Reactor Antineutrino Flux

Flux estimated using:

$$S(E_\nu) = \frac{W_{th}}{\sum_i (f_i / F) e_i} \sum_i^{istopes} (f_i / F) S_i(E_\nu)$$

✓ **Reactor operators provide:**

- Thermal power data:  $W_{th}$
- Relative isotope fission fract.:  $f_i$

✓ **Energy released/fission:  $e_i$**

V. Kopekin et al., PAN 67, 1892 (2004)

✓ **Anti- $\nu_e$  spectra/fission:  $S_i(E_\nu)$**

P. Huber, PRC84, 024617 (2011)

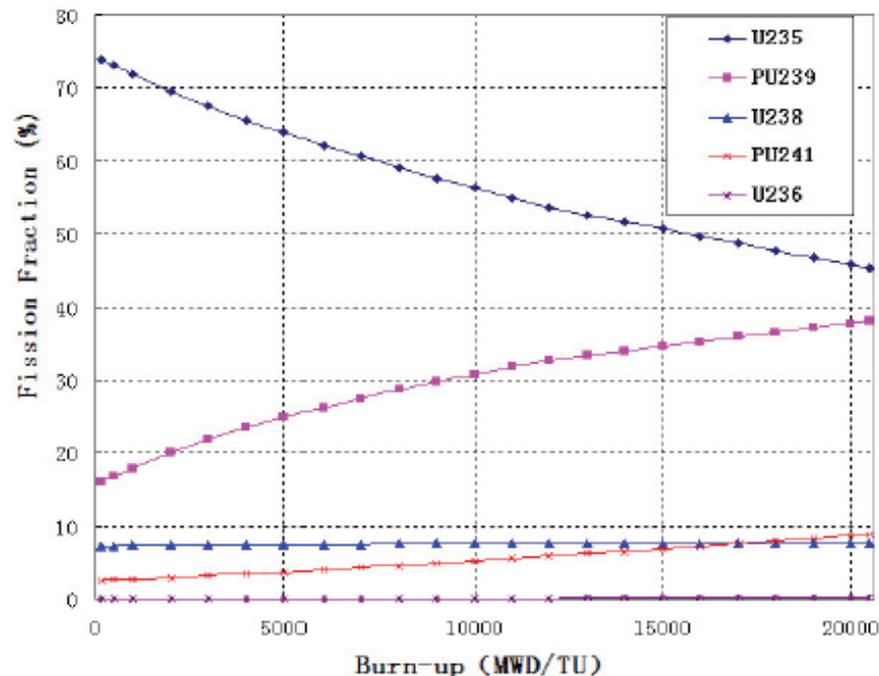
T. Mueller et al., PRC83, 054615 (2011)

A. A. Hahn et al., PLB218, 365 (1989)

P. Vogel et al., PRC24, 1543 (1981)

K. Schreckenbach et al., PLB160, 325 (1985)

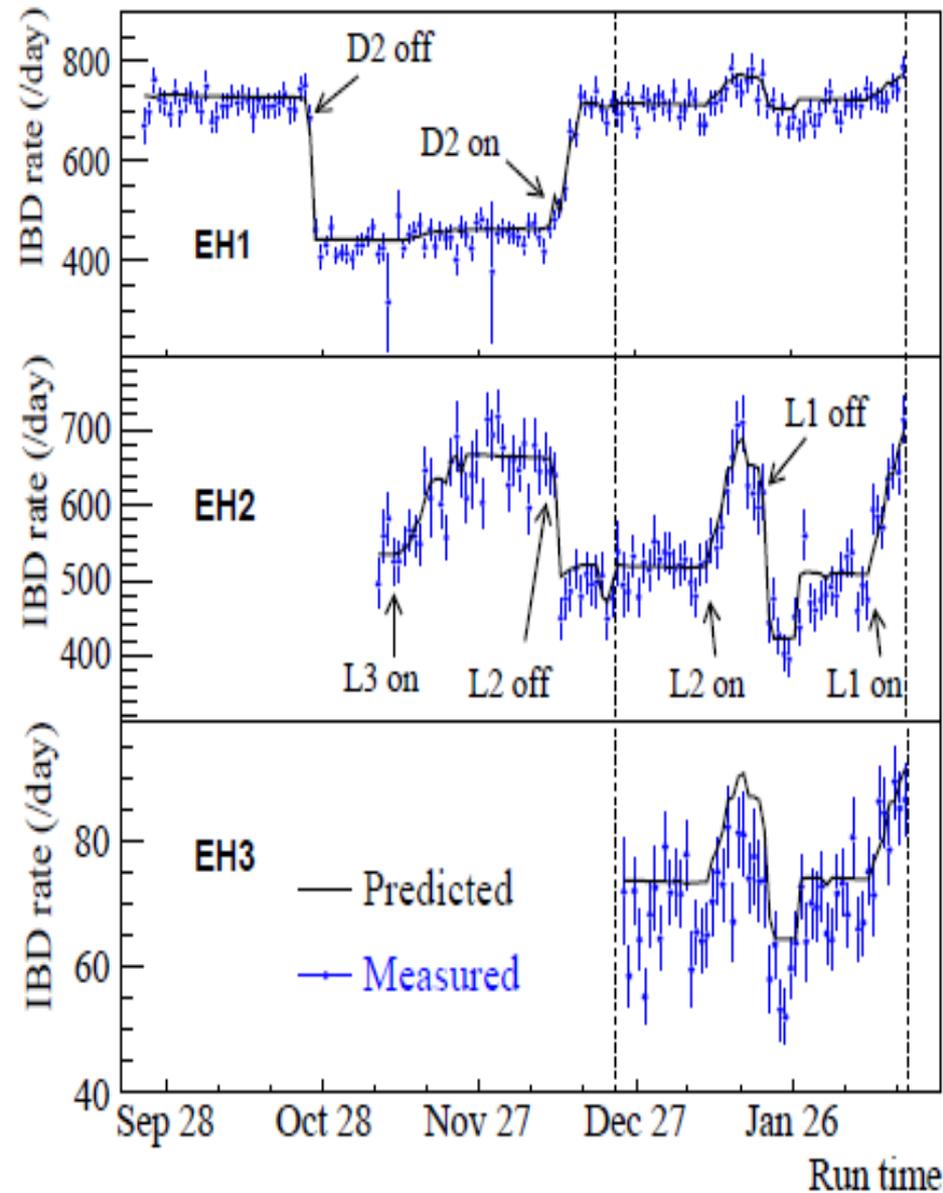
Isotope fission rates vs. reactor burnup



**Flux model has negligible impact on far vs. near oscillation measurement**

# Antineutrino Rate vs. Time

- Detected rate strongly correlated with reactor flux expectations.
- Predicted Rate:
  - Assume no oscillation.
  - Normalization is determined by fit to data.
  - Absolute normalization is within a few percent of expectations.



# Uncertainty Summary

	Detector		Uncorrelated
	Efficiency	Correlated	
Target Protons		0.47%	0.03%
Flasher cut	99.98%	0.01%	0.01%
Delayed energy cut	90.9%	0.6%	0.12%
Prompt energy cut	99.88%	0.10%	0.01%
Multiplicity cut		0.02%	<0.01%
Capture time cut	98.6%	0.12%	0.01%
Gd capture ratio	83.8%	0.8%	<0.1%
Spill-in	105.0%	1.5%	0.02%
Livetime	100.0%	0.002%	<0.01%
Combined	78.8%	1.9%	0.2%

For near/far oscillation, only uncorrelated uncertainties are used.

Largest systematics are smaller than far site statistics (~1%)

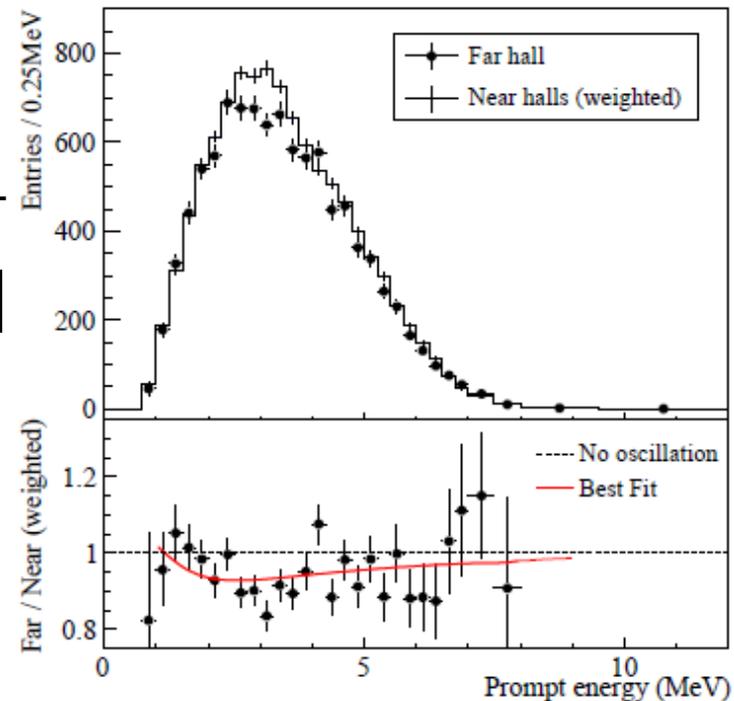
	Reactor	
	Correlated	Uncorrelated
Energy/fission	0.2%	Power 0.5%
$\bar{\nu}_e$ /fission	3%	Fission fraction 0.6%
		Spent fuel 0.3%
Combined	3%	Combined 0.8%

Influence of uncorrelated reactor systematics reduced by far vs. near measurement.

# Far/Near Ratio

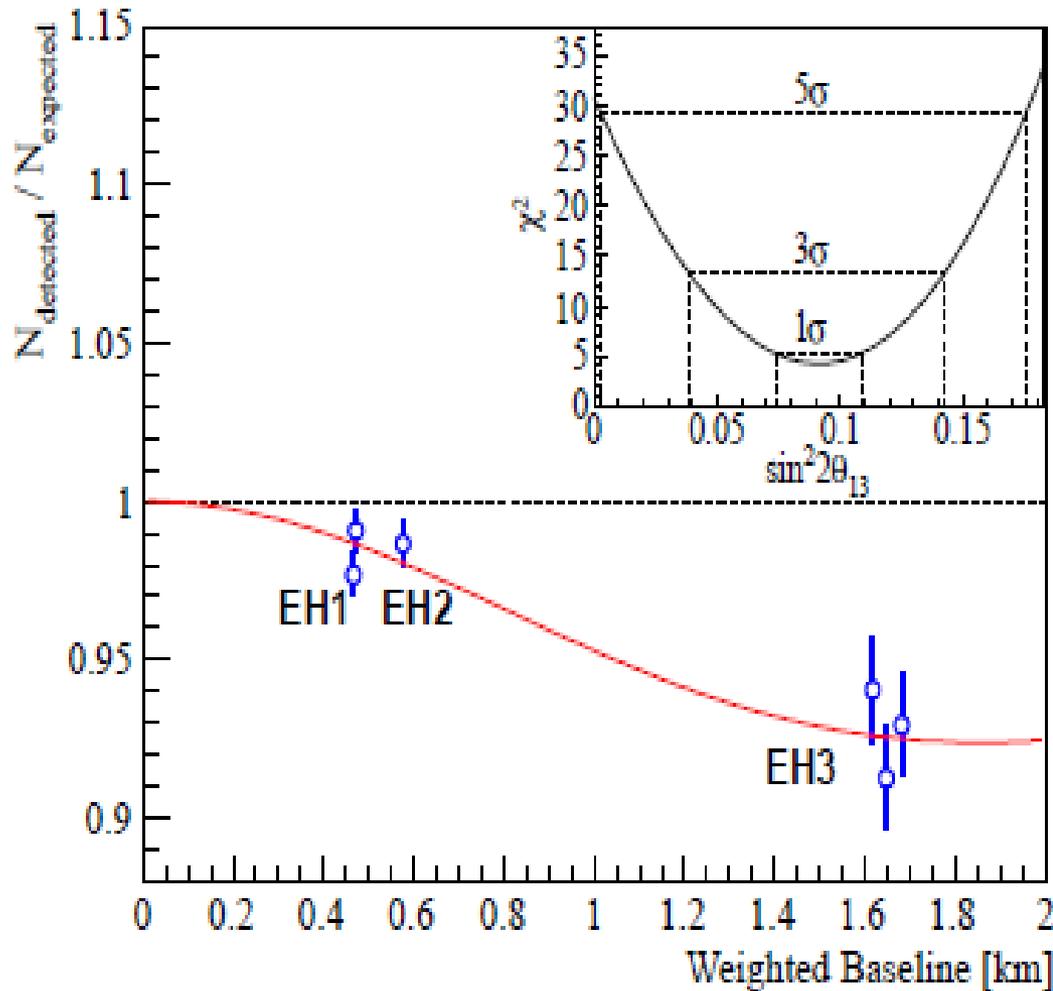
$$R = \frac{N_f}{N_n} = \frac{\sum_{i=4}^6 M_i}{\sum_{i=4}^6 [\alpha_i (M_1 + M_2) + \beta_i M_3]}$$

$M_i$  : measured antineutrino rates  
 $\alpha_i, \beta_i$  : determined from base lines  
and reactor fluxes.



$$R = 0.940 \pm 0.011 \text{ (stat)} \pm 0.004 \text{ (syst)}$$

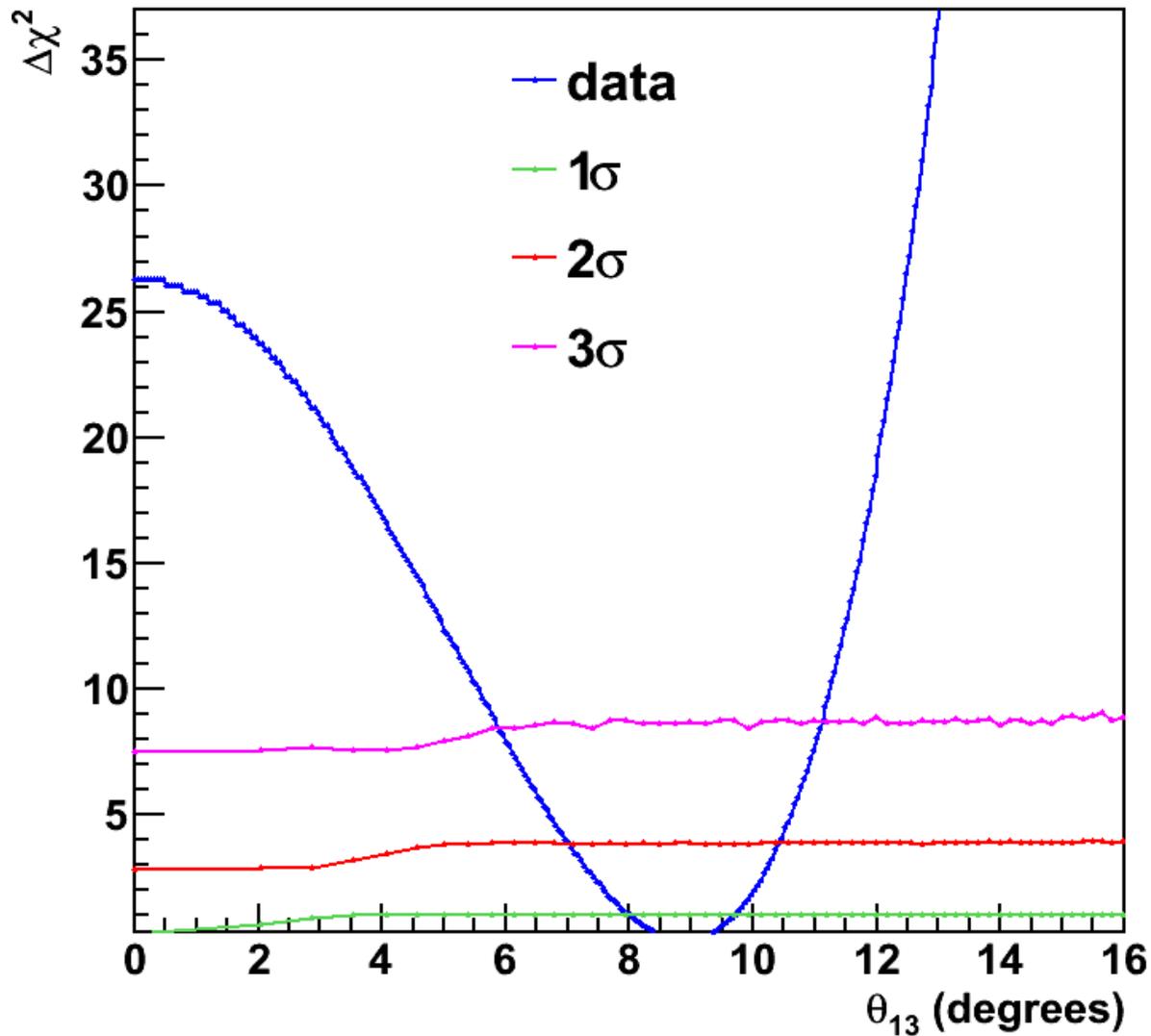
# $\sin^2 2\theta_{13}$ Measurement



$$\begin{aligned} \sin^2 2\theta_{13} &= 0.092 \\ &\pm 0.016 \text{ (stat)} \\ &\pm 0.005 \text{ (syst)} \end{aligned}$$

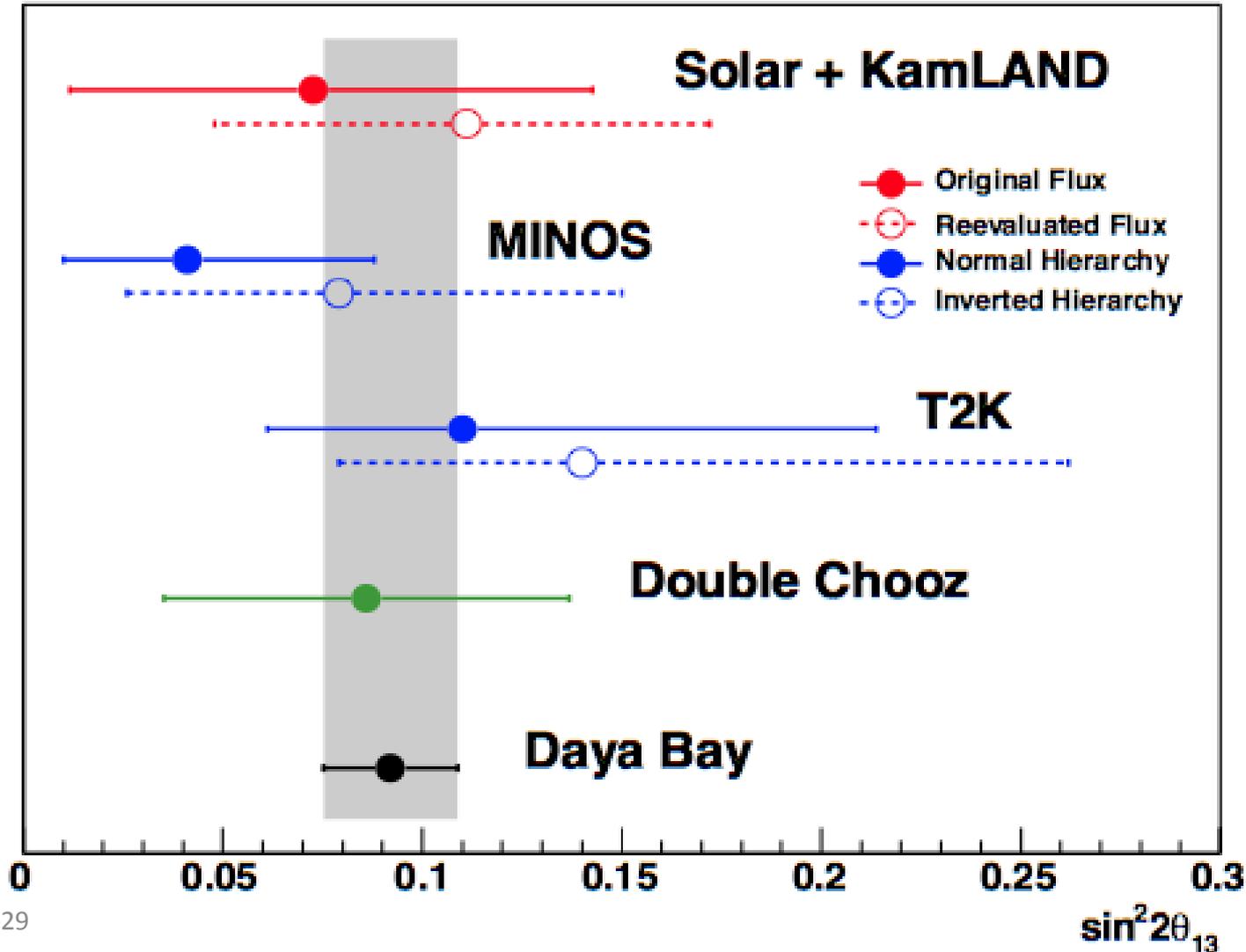
$\sin^2 2\theta_{13} = 0$   
excluded at  
 $5.2\sigma$

# Asymmetric CI in $\theta_{13}$

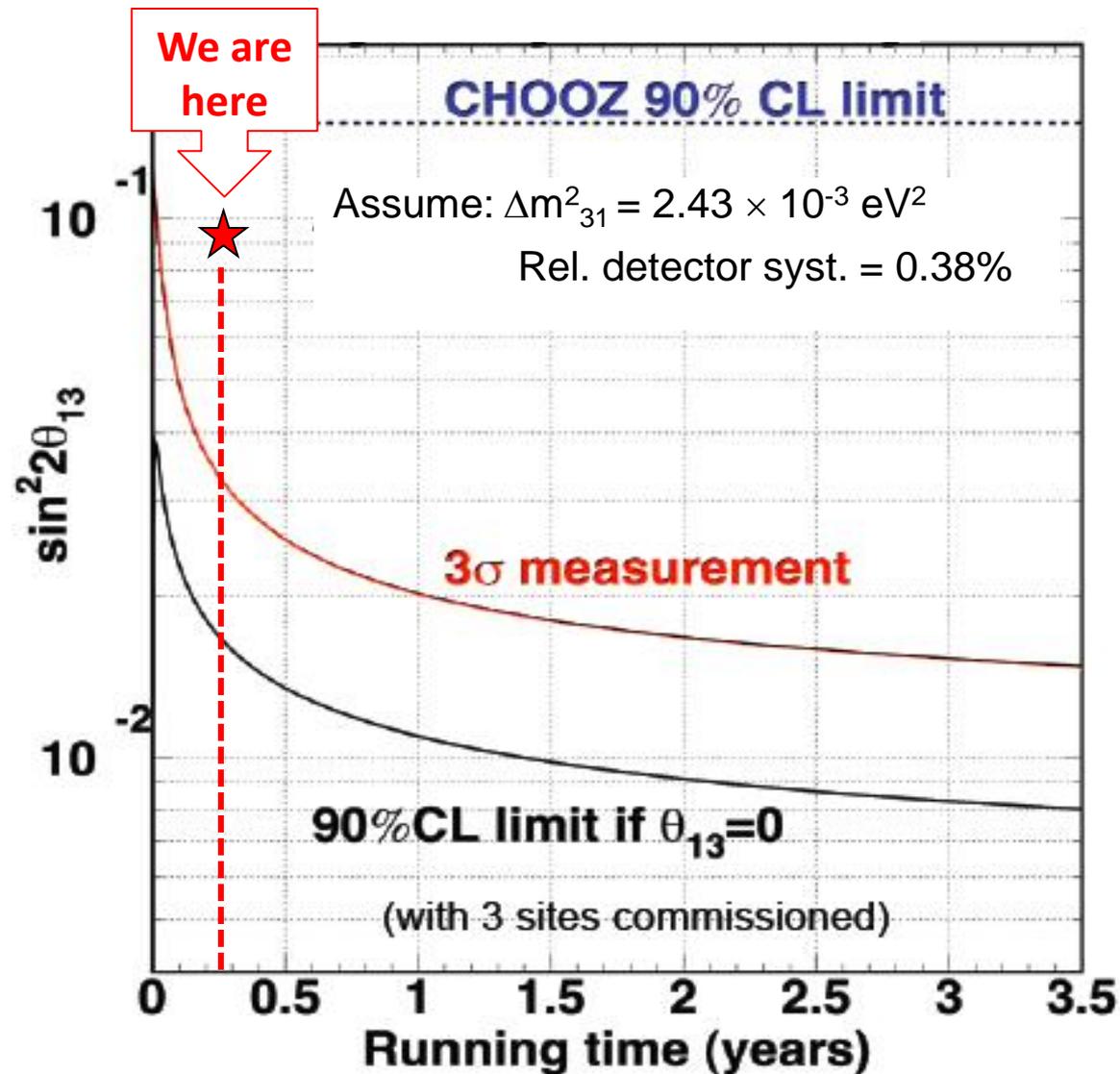


# Where Are We Now?

$$\sin^2 2\theta_{13} = 0.092 \pm 0.016(\text{stat}) \pm 0.005(\text{syst})$$



# Daya Bay Goal for 3 years



# The Daya Bay Collaboration

Political Map of the World, June 1999



Europe (2)

JINR, Dubna, Russia

Charles University, Czech Republic

North America (16)

LBNL, BNL, Caltech, Iowa State Univ.,  
Illinois Inst. Tech., Princeton, RPI,  
Siena, UC-Berkeley, UCLA,  
Univ. of Cincinnati, Univ. of Houston,  
Univ. of Wisconsin-Madison,  
Univ. of Illinois-Urbana-Champaign,  
Virginia Tech., William & Mary

~230 Collaborators

Asia (20)

IHEP, Beijing Normal Univ., Chengdu Univ.  
of Sci. and Tech., CGNPG, CIAE, Dongguan  
Univ.Tech., Nanjing Univ., Nankai Univ.,  
NCEPU, Shandong Univ.,  
Shanghai Jiao tong Univ., Shenzhen Univ.,  
Tsinghua Univ., USTC, Zhongshan Univ.,  
Univ. of Hong Kong, Chinese Univ. of Hong Kong,  
National Taiwan Univ., National Chiao Tung  
Univ., National United Univ.

# Roadmap of Daya Bay

- **2005.04: Got green light at 250<sup>th</sup> Xiangshan Meeting**
- **2006.10: Passed DOE scientific review**
- **2007.01: CDR released (hep-ex/0701029)**
- **2007.10: Ground breaking ceremony**
- **2009.07: Planed to deploy first detector**
  - **2011.08.15: EH1 started operation**
- **2010.09: Planed to take data with final configuration**
  - **2011.11.05: EH2 started data taking**
  - **2011.12.24: Took data with 2-1-3 configuration**
  - **2012.06: Expected with final configuration**

# Summary and Outlook

- ✓ **An unambiguous observation of electron-antineutrino disappearance at Daya Bay**

$$R = 0.940 \pm 0.011 \text{ (stat)} \pm 0.004 \text{ (syst)}$$

- ✓ **Interpretation of disappearance as neutrino oscillation yields:**

$$\sin^2 2\theta_{13} = 0.092 \pm 0.016 \text{ (stat)} \pm 0.005 \text{ (syst)}$$

**ruling out zero at 5.2 standard deviations.**

- ✓ **More statistics expected before this June**
- ✓ **Installation of final pair of ADs this summer**