

# Summary of atmospheric and long baseline neutrinos at Neutrino 08 in Christchurch, NZ

MINOS, Super Kamiokande, MiniBooNE, OPERA



# *New Results From the MINOS Experiment*

**Hugh Gallagher for the MINOS Collaboration**  
**Tufts University**  
**Neutrino 2008**  
**Christchurch, NZ**  
**May 27, 2008**

- Motivation
- NuMI beam and MINOS detector
- Analysis of charged current events
- Analysis of neutral current events
- $\nu_e$  appearance search



# Outline

- 1) Introduction
- 2) The MINOS Experiment and NuMI Facility
- 3) Analysis of Charged Current Events
  - Precision measurements of  $\Delta m^2$ ,  $\sin^2(2\theta)$
  - Oscillations vs. alternative hypotheses
- 4) Analysis of Neutral Current Events
  - Do  $\nu_\mu$  oscillate to sterile neutrinos?
- 5)  $\nu_e$  Appearance
- 6) Conclusion

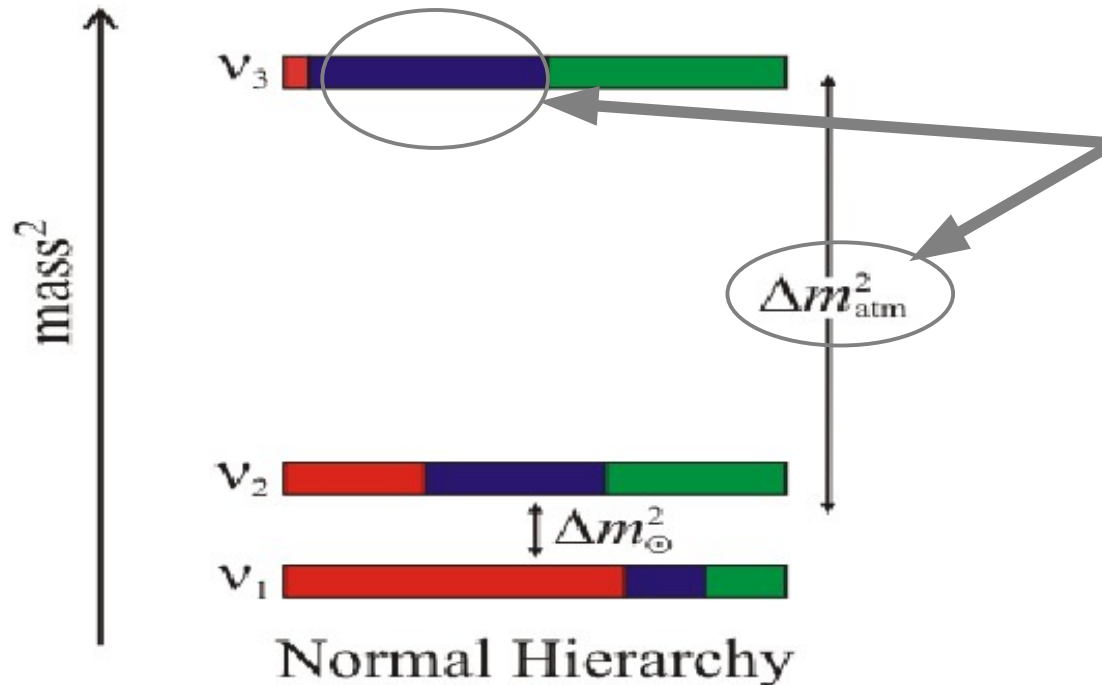
3 times more POT

NEW

*Published:*  
Phys.Rev.Lett.97,191801,2006  
Phys.Rev.D77:072002,2008  
**1.27 x 10<sup>20</sup> POT**

*This Analysis:*  
**3.36 x 10<sup>20</sup> POT**

# The Goals of MINOS



Make precision measurements of the oscillation parameters  $\Delta m^2$  and  $\sin^2(2\theta)$ .

Search for subdominant oscillations at  $\Delta m^2_{atm}$ .

Confirm oscillations vs. exotic explanations (decay, decoherence).

Mixing to sterile neutrinos?

Use the magnetized detectors to make CPT tests.

**Far Detector-only studies of atmospheric neutrinos and cosmic rays.**

**Near detector-only measurements of neutrino interaction physics.** <sup>7</sup>

One mass scale dominance:

$$\Delta m^2_{atm} \gg \Delta m^2_{\odot}$$

$$P(\nu_{\mu} \rightarrow \nu_{\tau}) = \sin^2(2\theta_{atm}) \sin^2\left(\frac{1.27\Delta m^2_{atm} L}{E}\right)$$

# The MINOS Experiment

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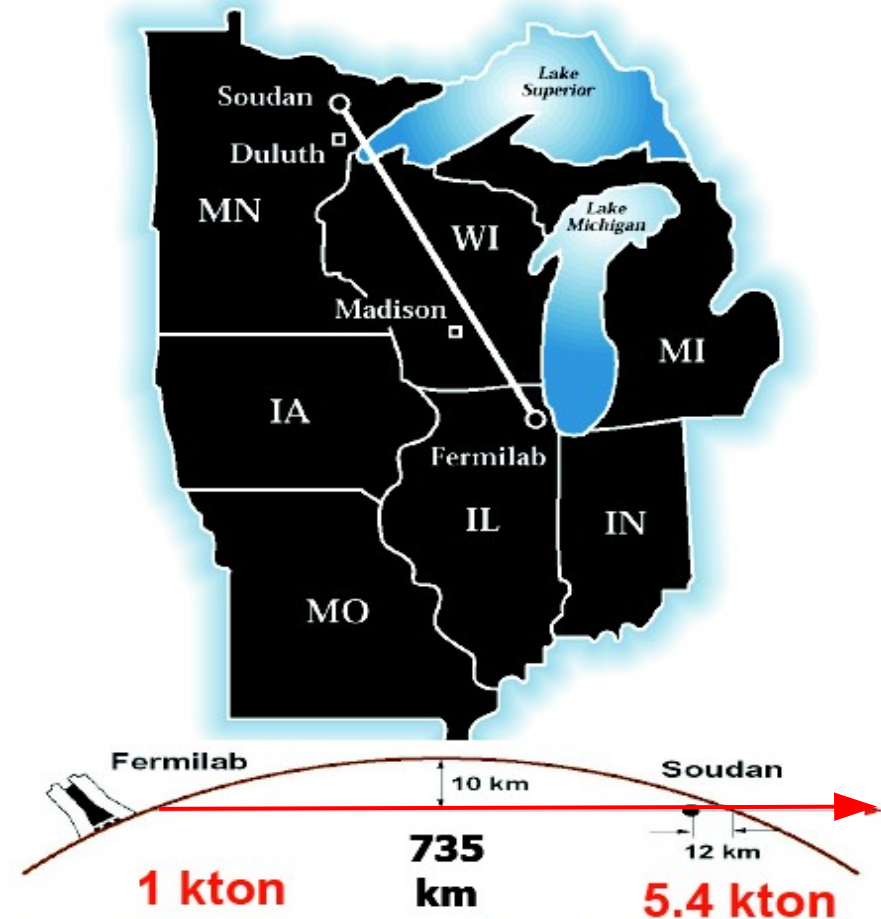


**MINOS (Main Injector Neutrino Oscillation Search)** – a long baseline neutrino oscillation experiment:

Neutrino beam provided by 120 GeV protons from the Fermilab Main Injector.

A “Near Detector” at Fermilab to measure the beam composition and energy spectrum.

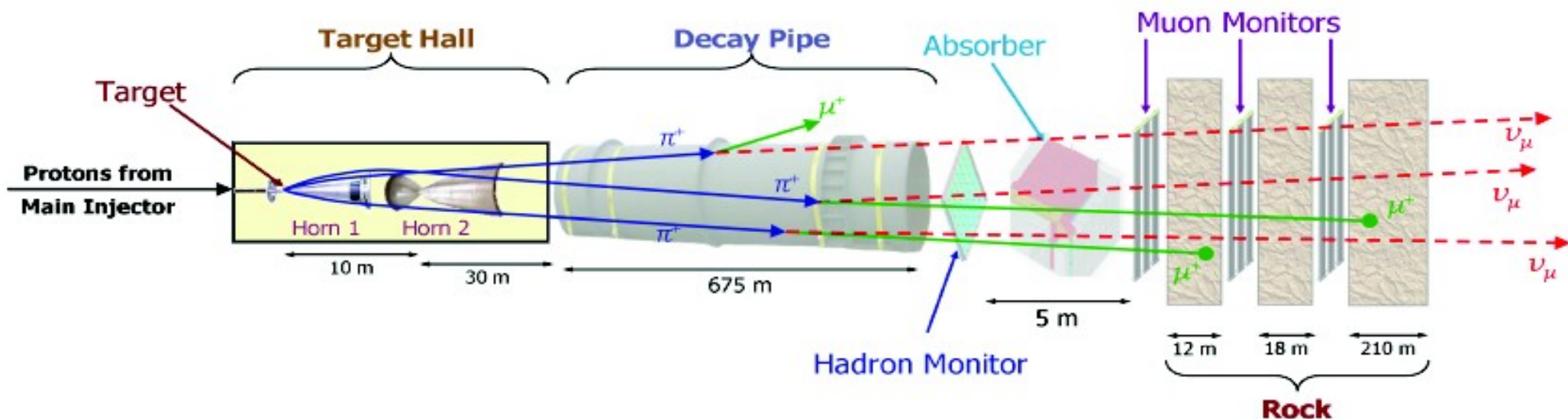
A “Far Detector” deep underground in the Soudan Mine, Minnesota, to search for evidence of oscillations.



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# Neutrinos at the Main Injector (NuMI)

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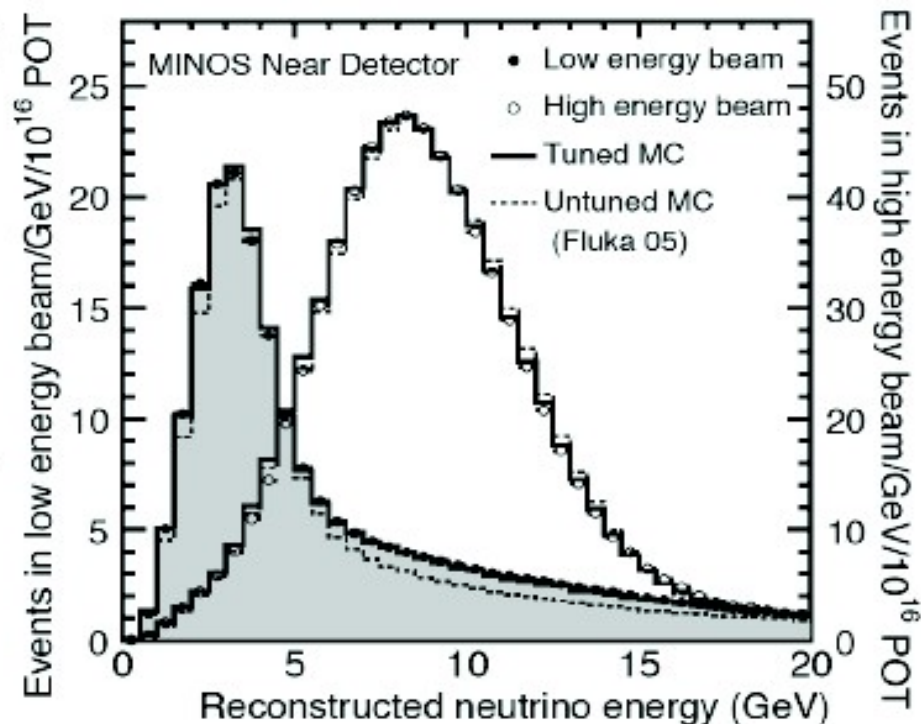
Beam energy spectrum can be tuned by varying the relative positions of target and horns.

In the LE configuration, interactions are:

$$92.9\% \nu_{\mu}, 5.8\% \bar{\nu}_{\mu}, 1.3\% \nu_e + \bar{\nu}_e$$

Performance (Week of 5/12):

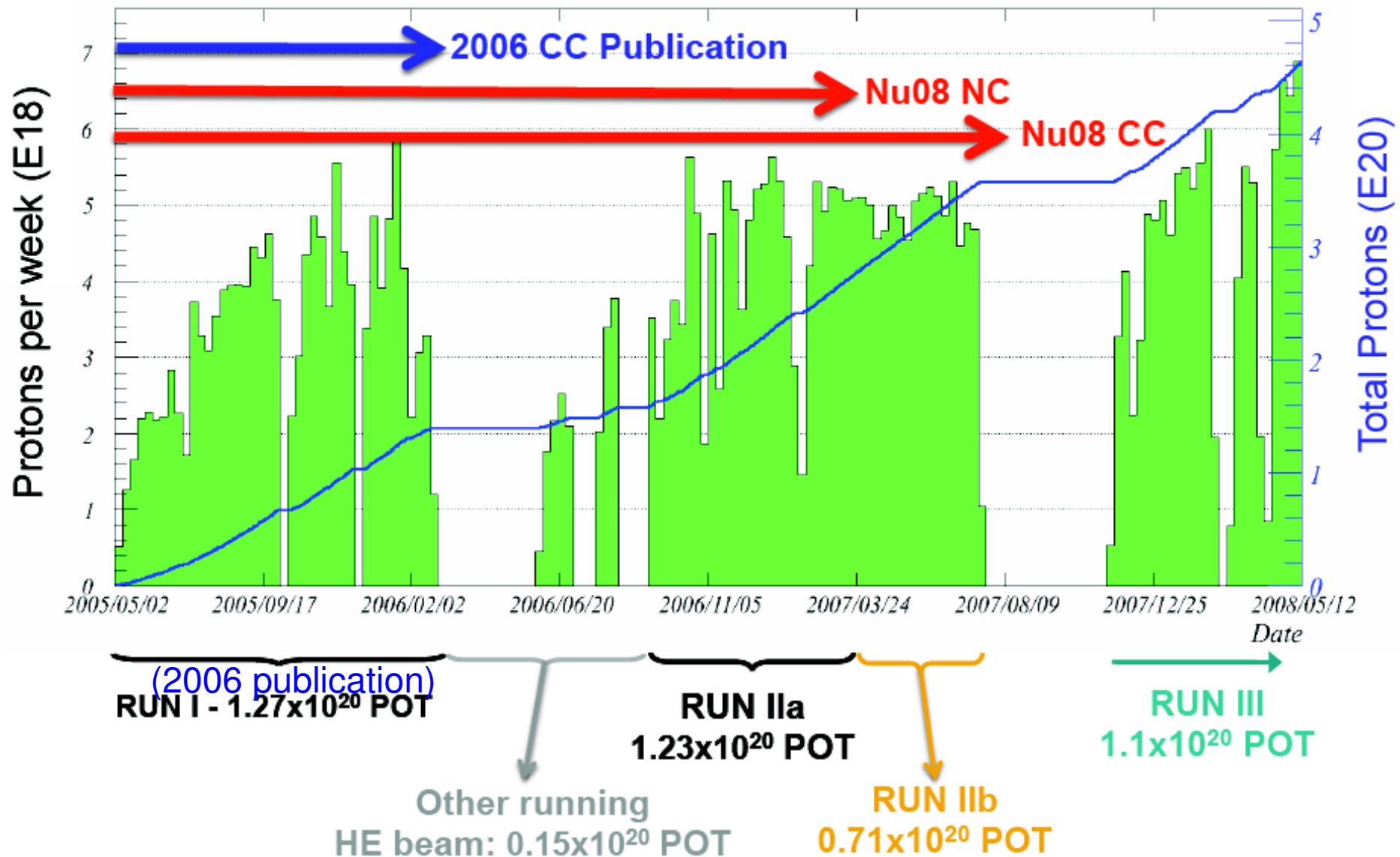
- 10 $\mu$ s spill of 120 GeV protons every 2.2s
- Intensity:  $3.0 \times 10^{13}$  POT/spill
- 0.275 MW beam power
- $10^{18}$  POT /day



# NuMI Beam Performance



Total NuMI Protons to Monday, 12 May 2008



# The MINOS Detectors

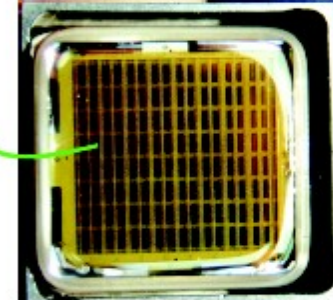


Functionally equivalent detectors:

- 2.54 cm thick magnetized steel plates
- 4.1 x 1 cm co-extruded scintillator strips (MINOS developed technology)
- optical fiber readout to multi-anode PMTs



Hamamatsu M-64



- 5.4 kton
- 8 x 8 x 30 m
- 484 steel/scintillator planes
- M16 PMT, x8 multiplexing
- VA electronics



- 1 kton
- 3.8 x 4.8 x 15 m
- 282 steel, 153 scintillator planes
- M64 PMT
- Fast QIE electronics

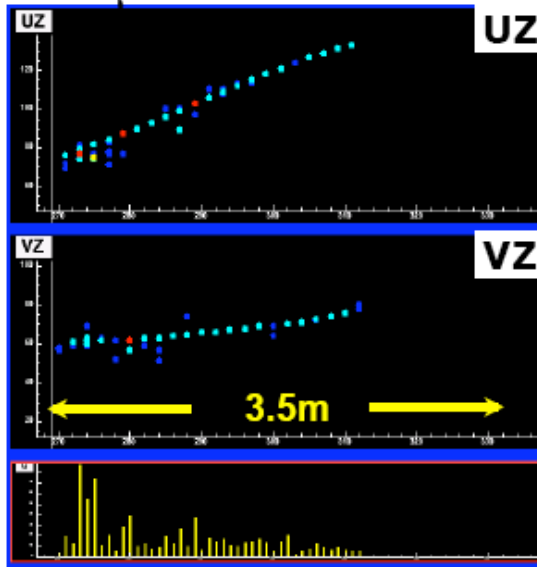


# Event Topologies



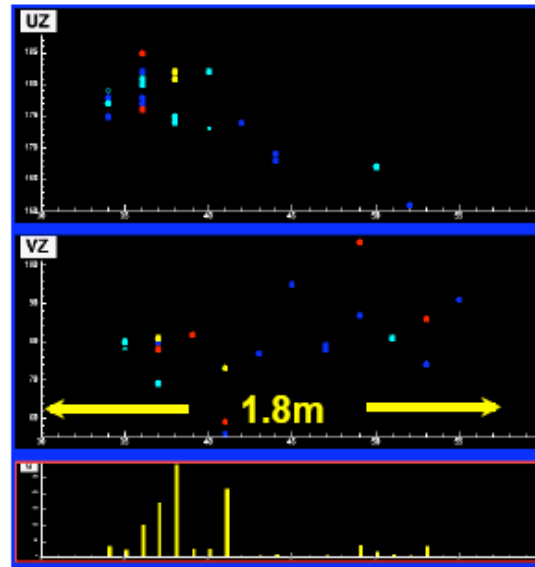
## Monte Carlo

$\nu_\mu$  CC Event



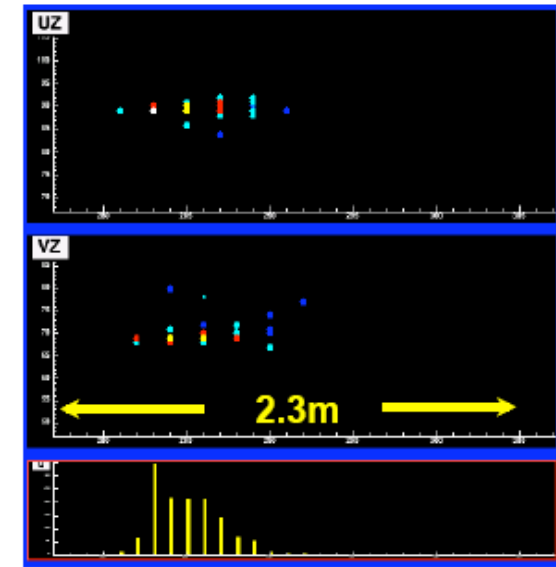
- long  $\mu$  track+ hadronic activity at vertex

NC Event



- short event, often diffuse

$\nu_e$  CC Event



- short, with typical EM shower profile

$$E_v = E_{\text{shower}} + P_\mu$$

55%/√E

6% range, 10% curvature



# Charged Current Analysis

*Precision measurement  
of  $\Delta m^2$  and  $\sin^2(2\theta)$*

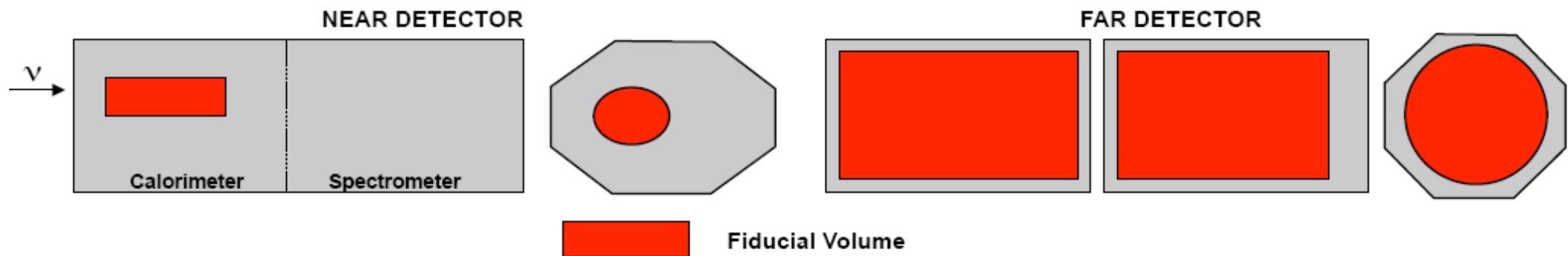
*Testing the oscillation  
hypothesis*

# CC Event Selection

$\nu_\mu$  CC-like events are selected in the following way:

Event must contain at least one good reconstructed track

The reconstructed track vertex should be within the fiducial volume of the detector:



Coil hole cut

The fitted track should have negative charge (selects  $\nu_\mu$ )

Cut on kNN-based Particle ID parameter which is used to separate CC and NC events.

# CC Event Selection

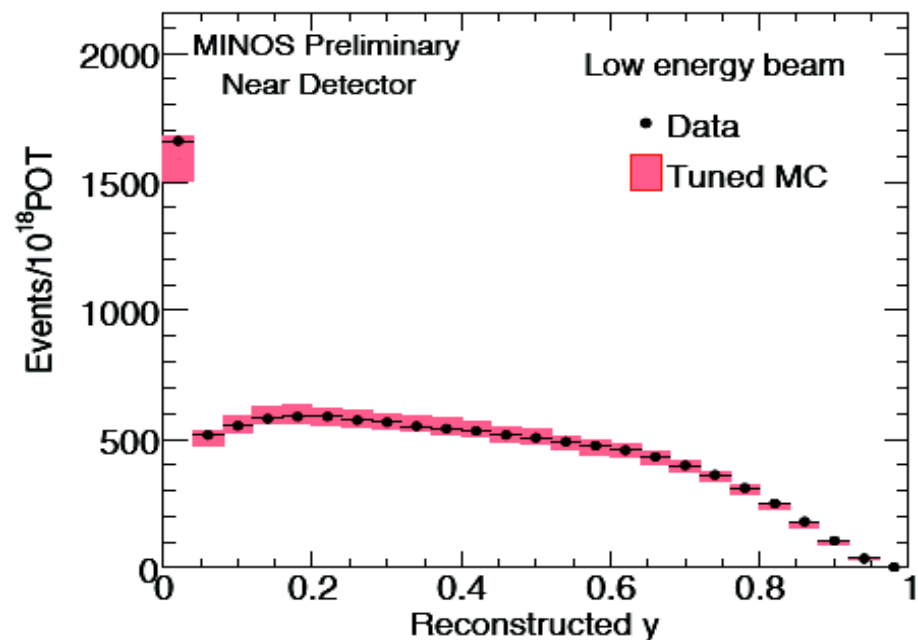
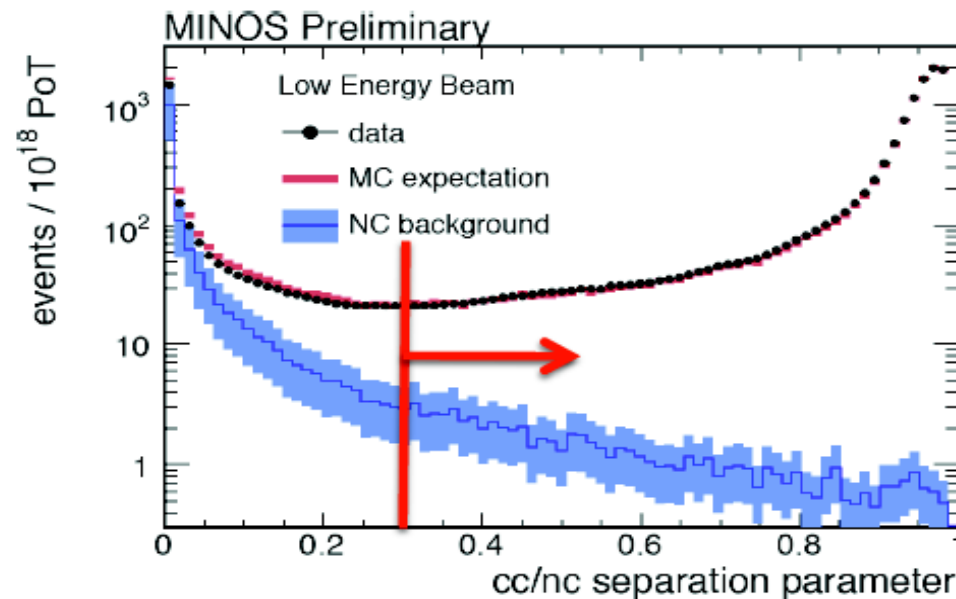
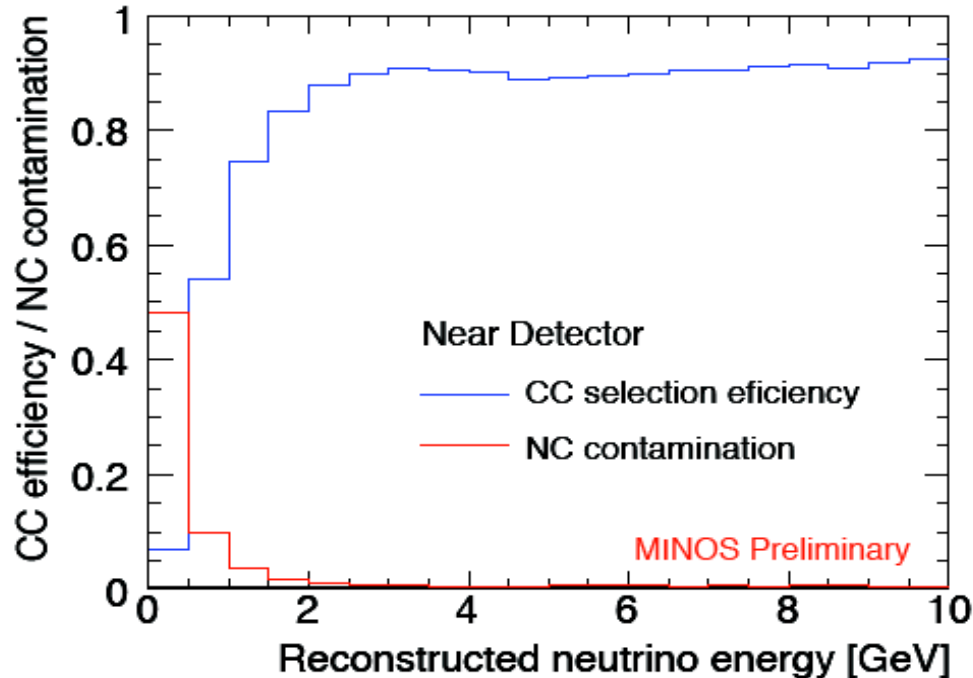


CC / NC Event classification is performed with a new k-nearest neighbor (kNN) based algorithm with four inputs:

1. Track length (planes)

For hits on the track:

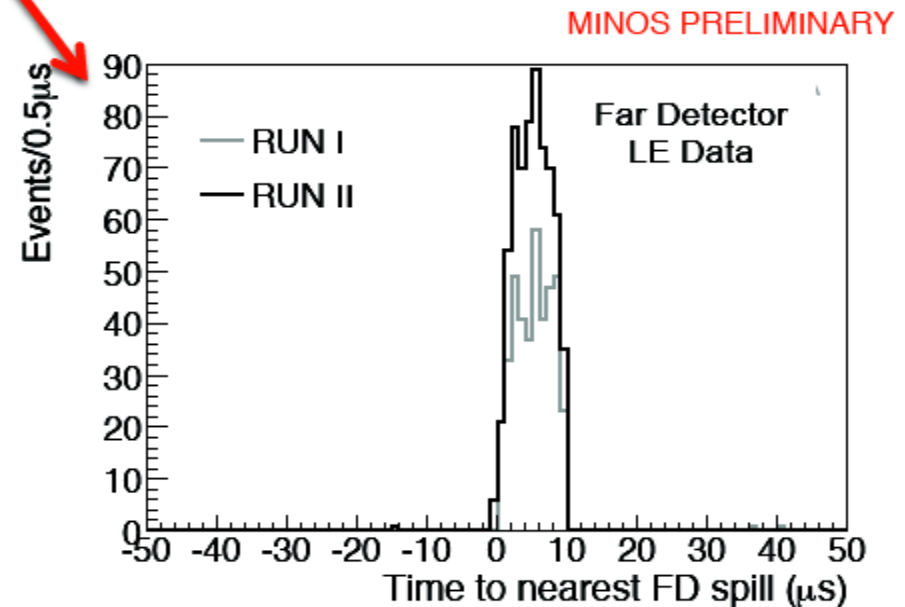
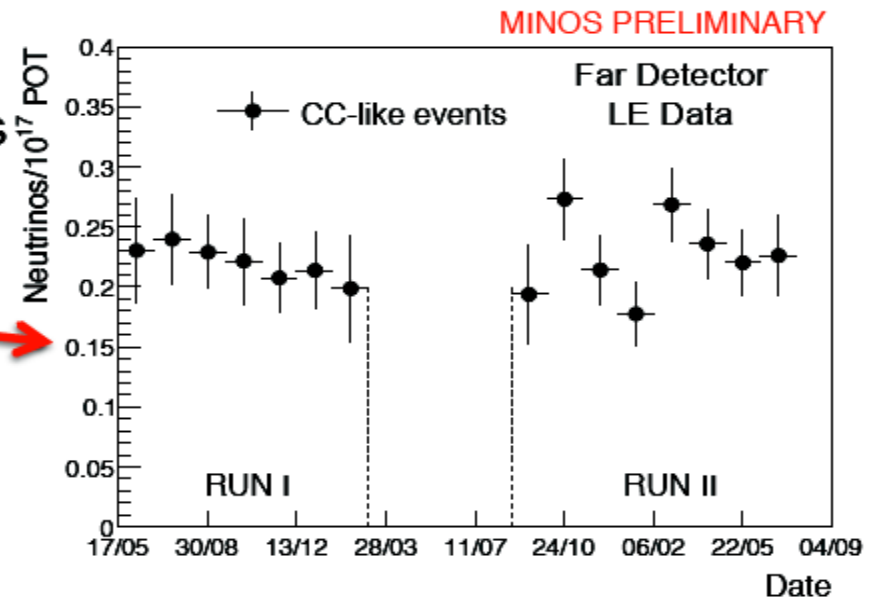
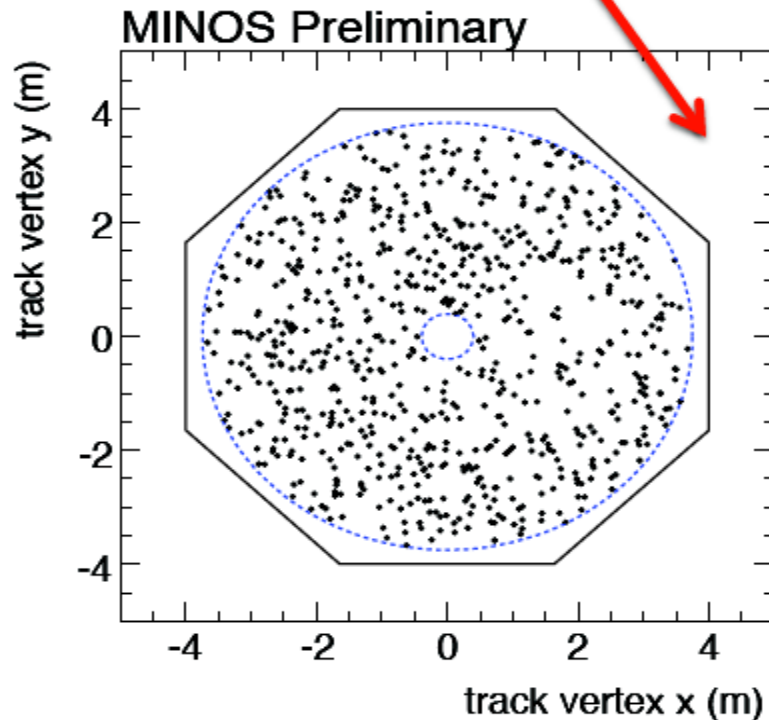
2. Mean pulse height
3. Fluctuation in pulse height
4. Transverse track profile



# Far Detector Data Quality - LE

**848 events observed in the FD**  
 $1065 \pm 60$  (syst) expected without oscillations

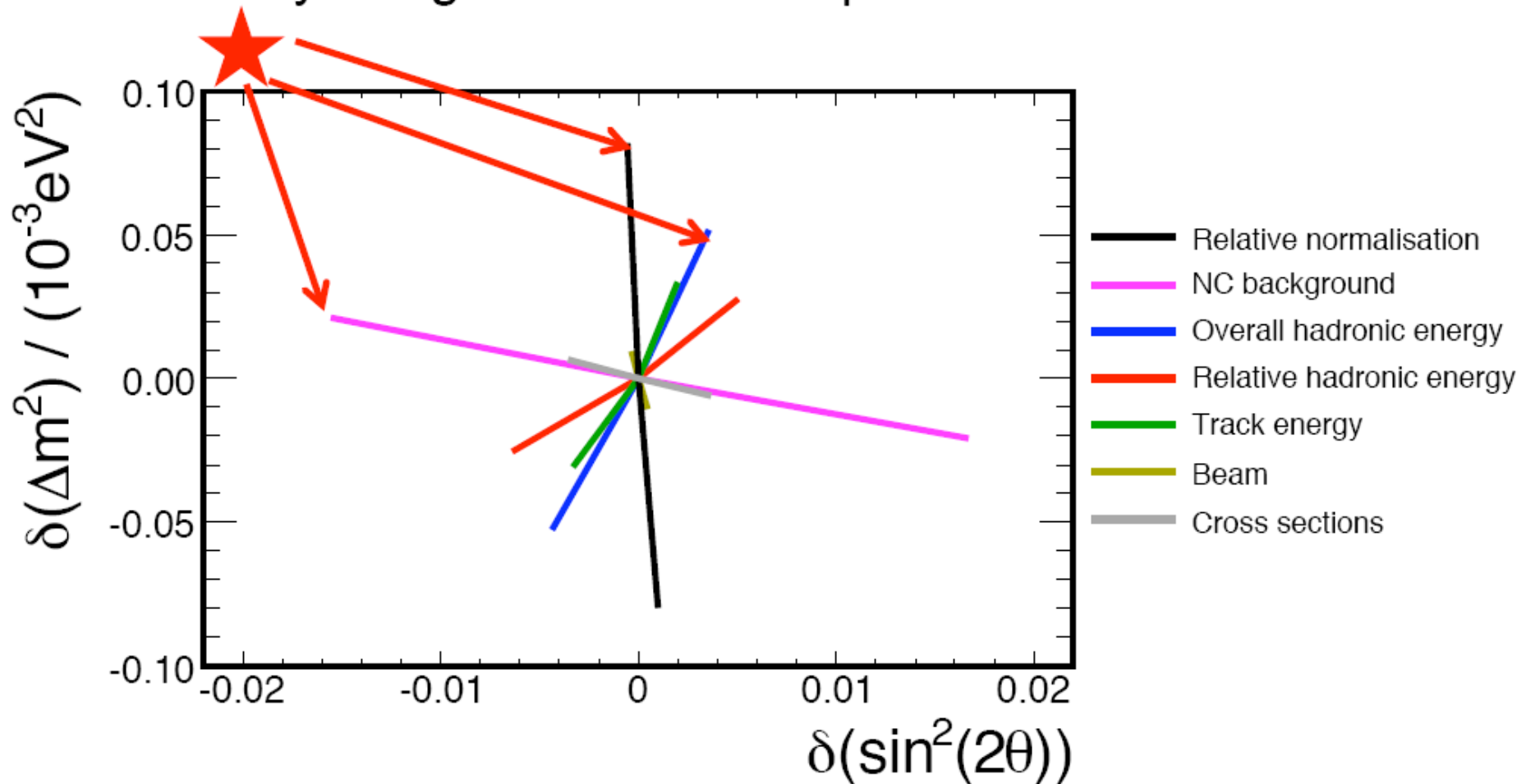
Data are well described by MC:  
Events/POT over this exposure  
Event time  
Spatial vertex distributions



# Systematic Uncertainties

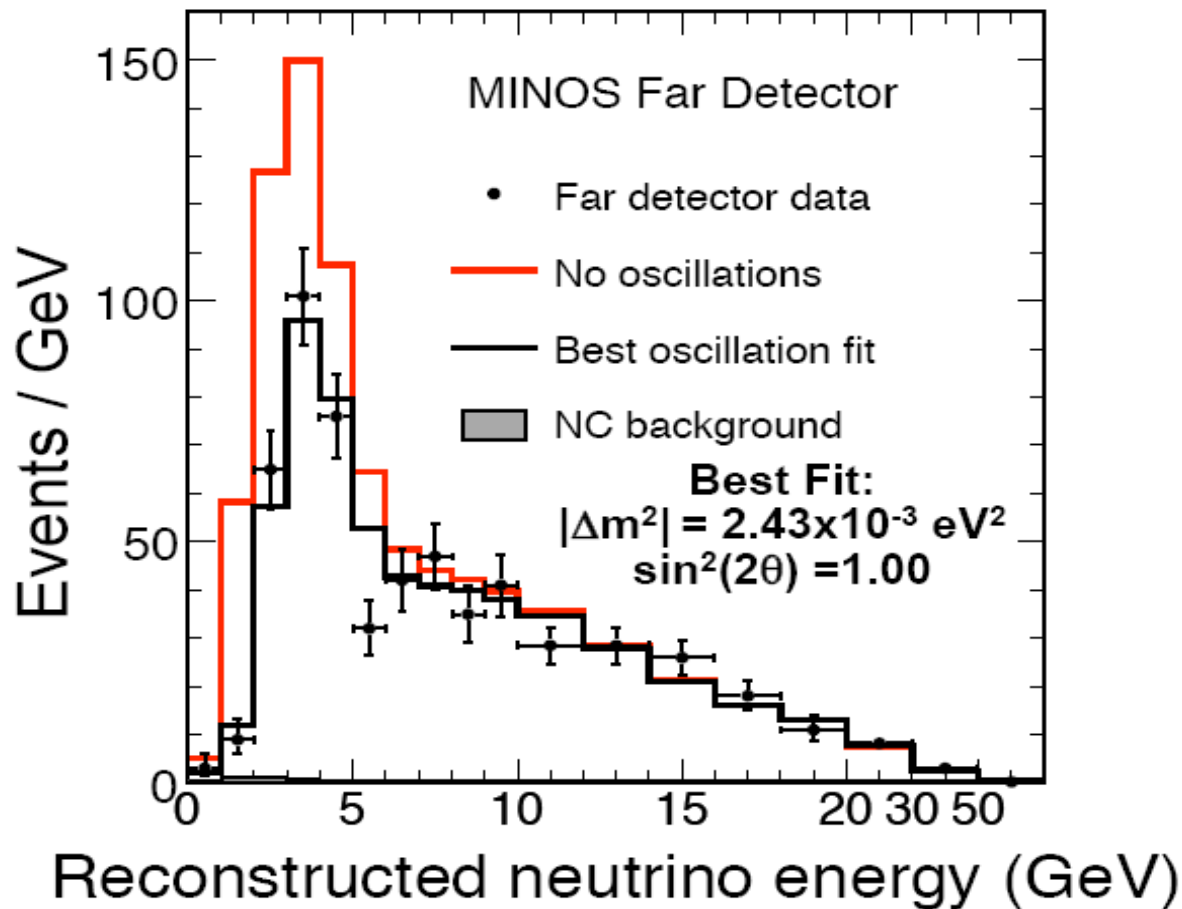


The impact of different sources of systematic uncertainty were evaluated by fitting modified MC in place of the data:



6/27/08  The three largest will be included as nuisance parameters in the oscillation fit.

# Energy Spectrum



Fit the energy distribution to the oscillation hypothesis:

$$P(\nu_\mu \rightarrow \nu_\tau) = \sin^2(2\theta) \sin^2\left(\frac{1.27 \Delta m^2 L}{E}\right)$$

Including the three largest sources of systematic uncertainty as nuisance parameters:

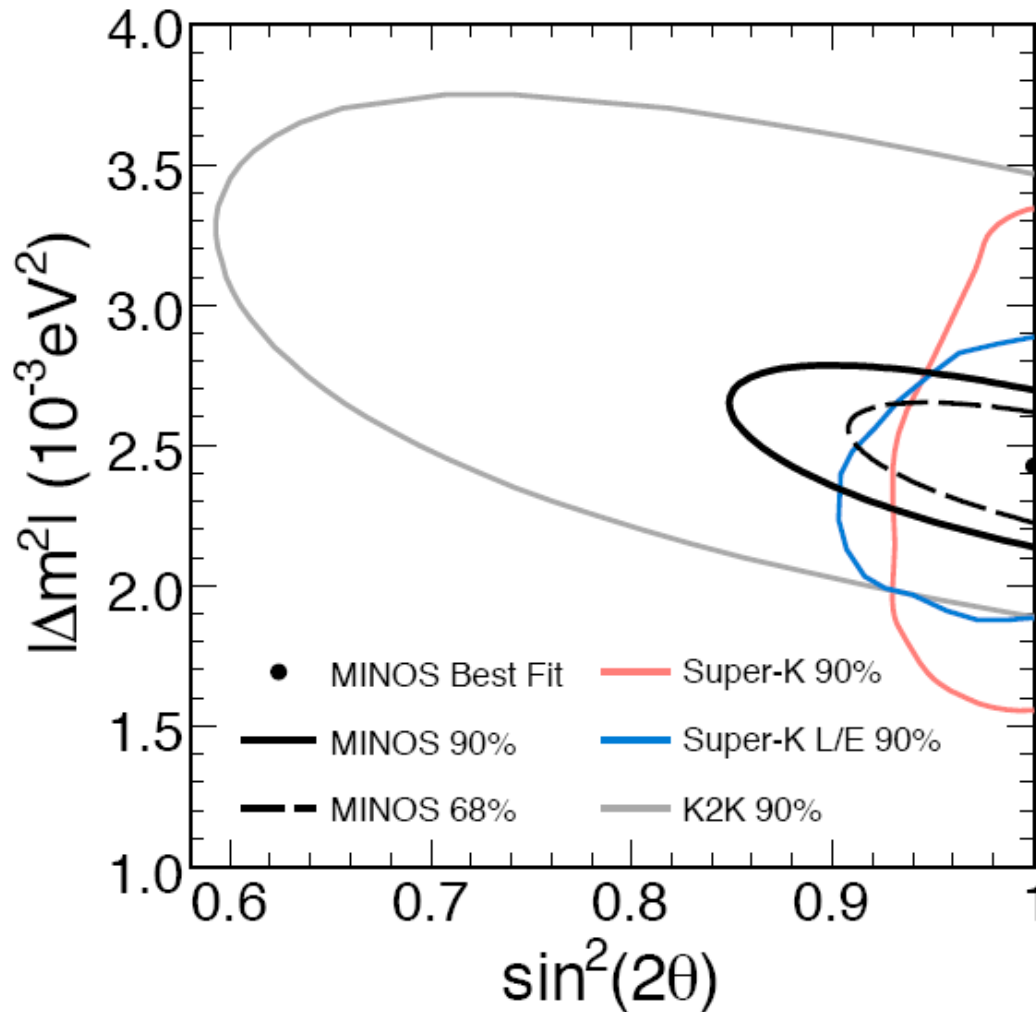
- Absolute hadronic energy scale: 10.3%
- Normalization: 4%
- NC contamination: 50%

$$\chi^2 = \sum_{nbins} (2(e_i - o_i) + 2 o_i \ln(o_i / e_i)) + \sum_{nsys} \frac{\Delta s_j^2}{\sigma_{s_j}^2}$$

$$\chi^2 / \text{ndof} = 90 / 97$$

# Allowed Region

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Tufts University  
Neutrino 2008  
May 27, 2008



$$|\Delta m^2| = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2$$

(68% C.L.)

$$\sin^2(2\theta) > 0.90$$

(90% C.L.)

$$\chi^2/\text{ndof} = 90/97$$

Fit is constrained to the physical region.

Unconstrained:

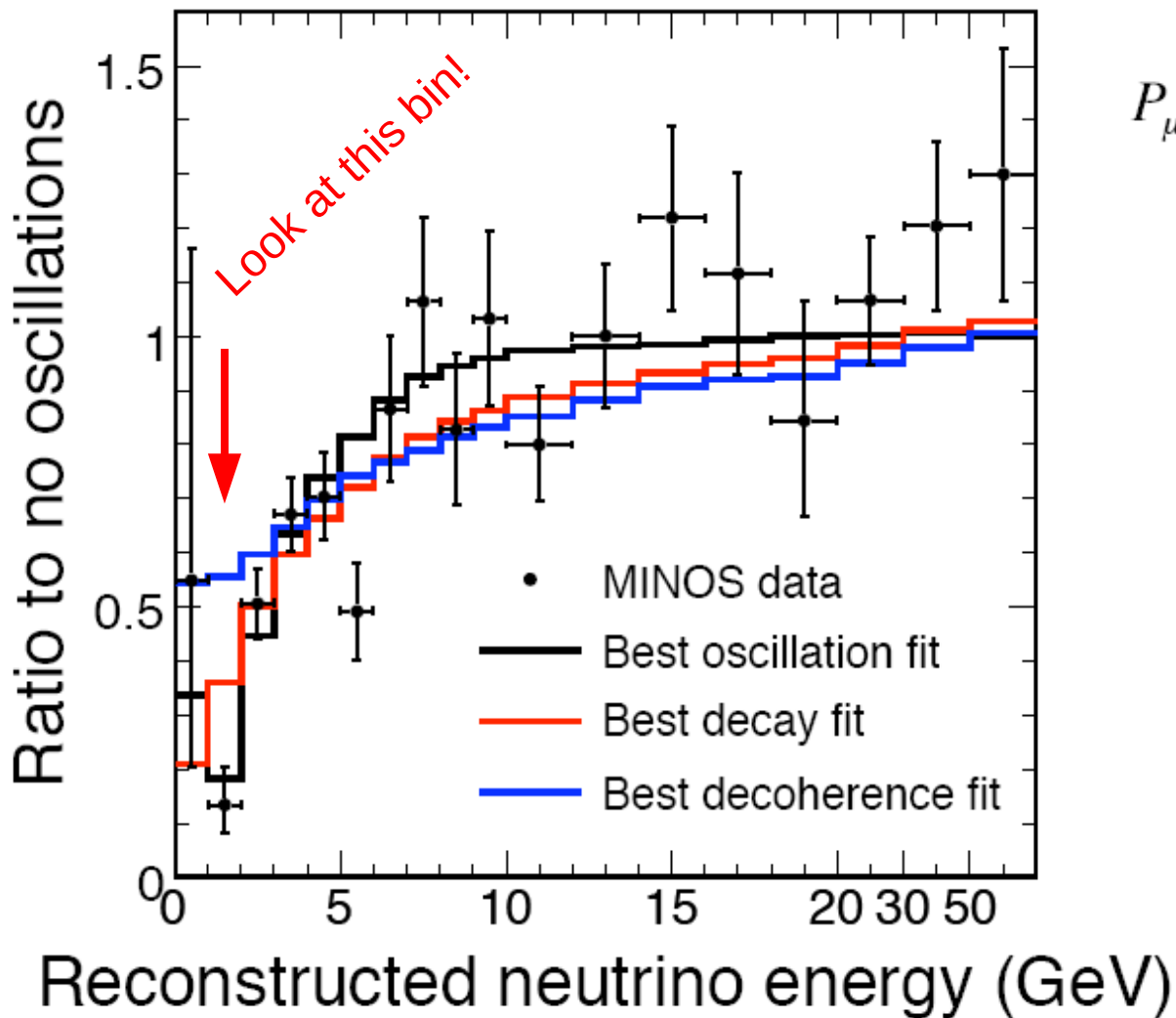
$$|\Delta m|^2 = 2.33 \times 10^{-3} \text{ eV}^2$$

$$\sin^2(2\theta) = 1.07$$

$$\Delta\chi^2 = -0.6$$



# Alternative Hypotheses



## Decay:

$$P_{\mu\mu} = (\sin^2 \theta + \cos^2 \theta \exp(-\alpha L/2E))^2$$

V. Barger *et al.*, PRL82:2640(1999)

$$\chi^2/\text{ndof} = 104/97$$

$$\Delta\chi^2 = 14$$

disfavored at  $3.7\sigma$

## Decoherence:

$$P_{\mu\mu} = 1 - \frac{\sin^2 2\theta}{2} \left( 1 - \exp\left(\frac{-\mu^2 L}{2E_\nu}\right) \right)$$

G.L. Fogli *et al.*, PRD67:093006 (2003)

$$\chi^2/\text{ndof} = 123/97$$

$$\Delta\chi^2 = 33$$

disfavored at  $5.7\sigma$



## Neutral Current Analysis

*Searching for evidence of oscillations to sterile neutrinos.*



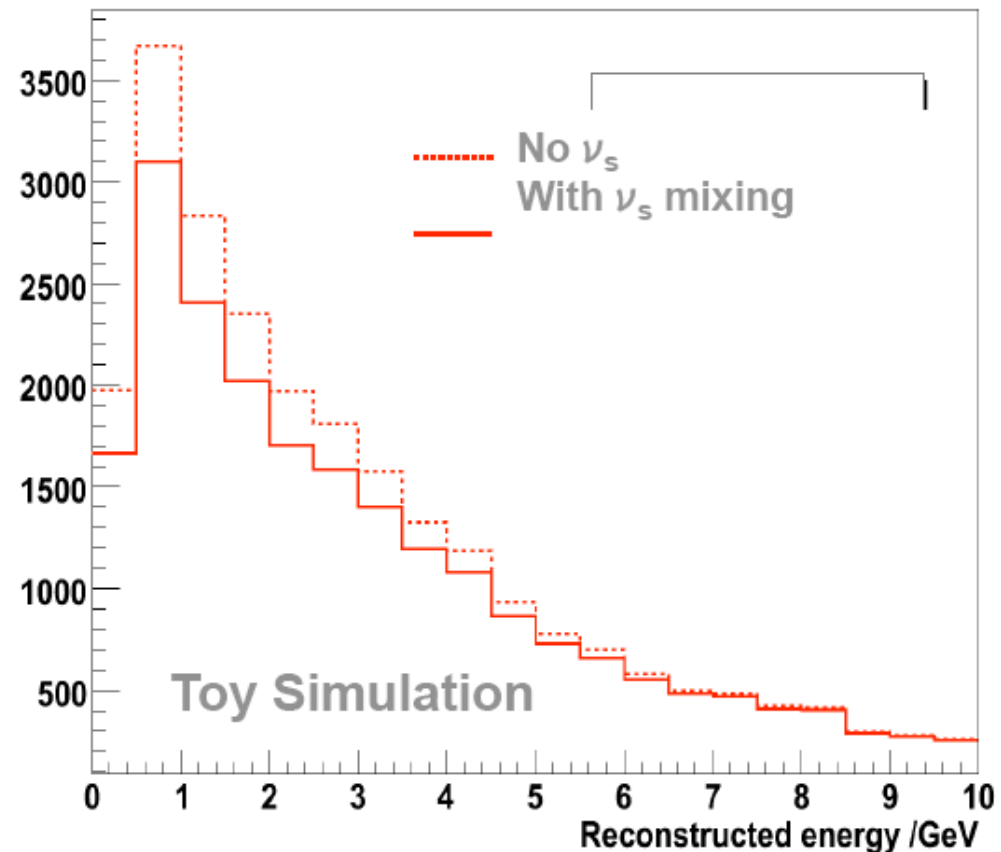
In the standard 3-flavor picture neutrinos are oscillating between  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ .

Oscillations into  $\nu_s$  affect number of observed NC interactions as  $\nu_s$  do not interact in the detector.

Look for NC disappearance  
at the Far Detector::

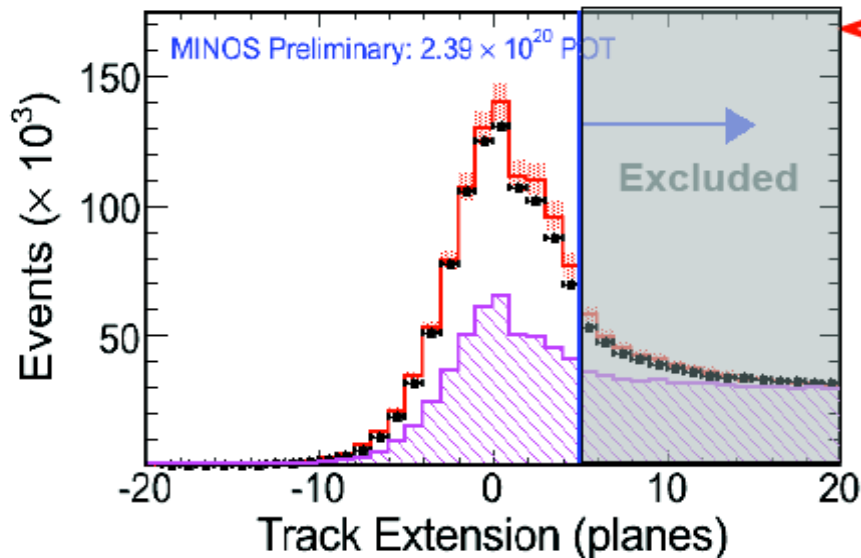
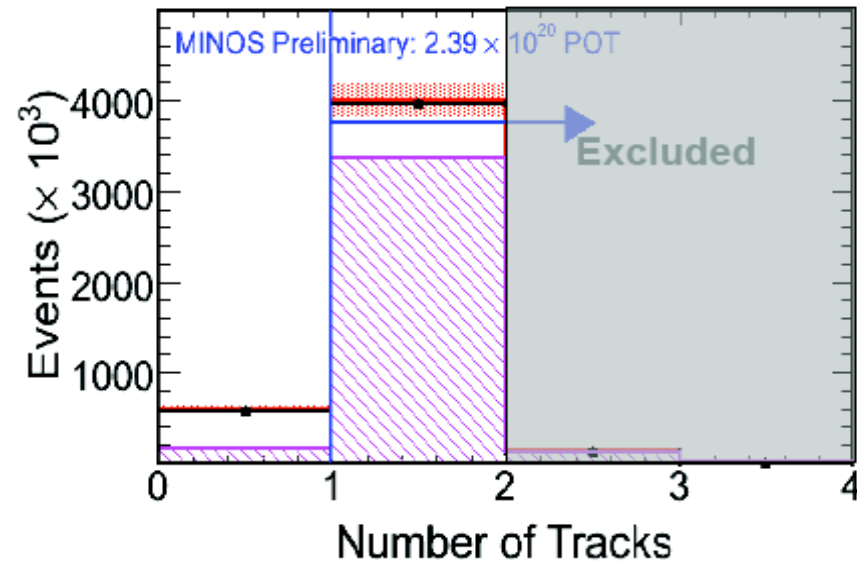
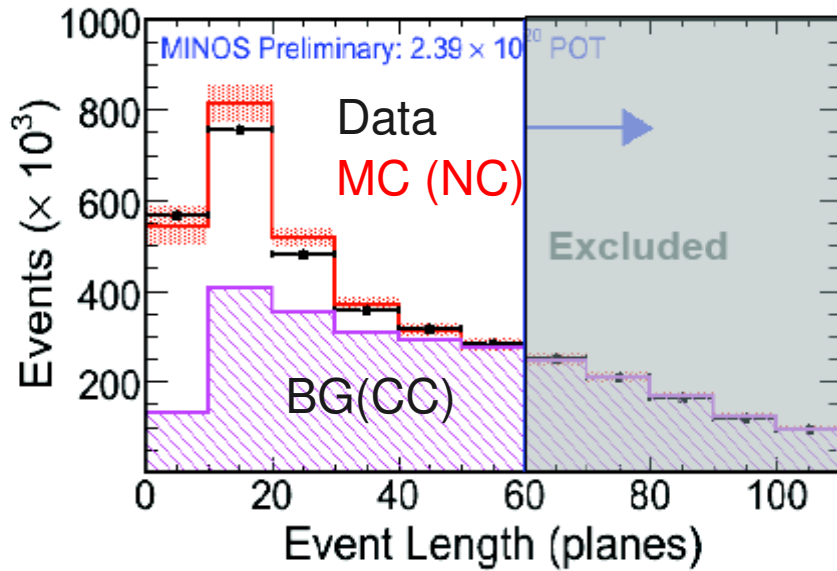
- Sterile neutrino mixing would deplete NC energy spectrum

Reconstructed NC energy spectrum



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# Near Detector NC Selection



- Near Detector Data
- Monte Carlo
- ▨ Monte Carlo CC Background

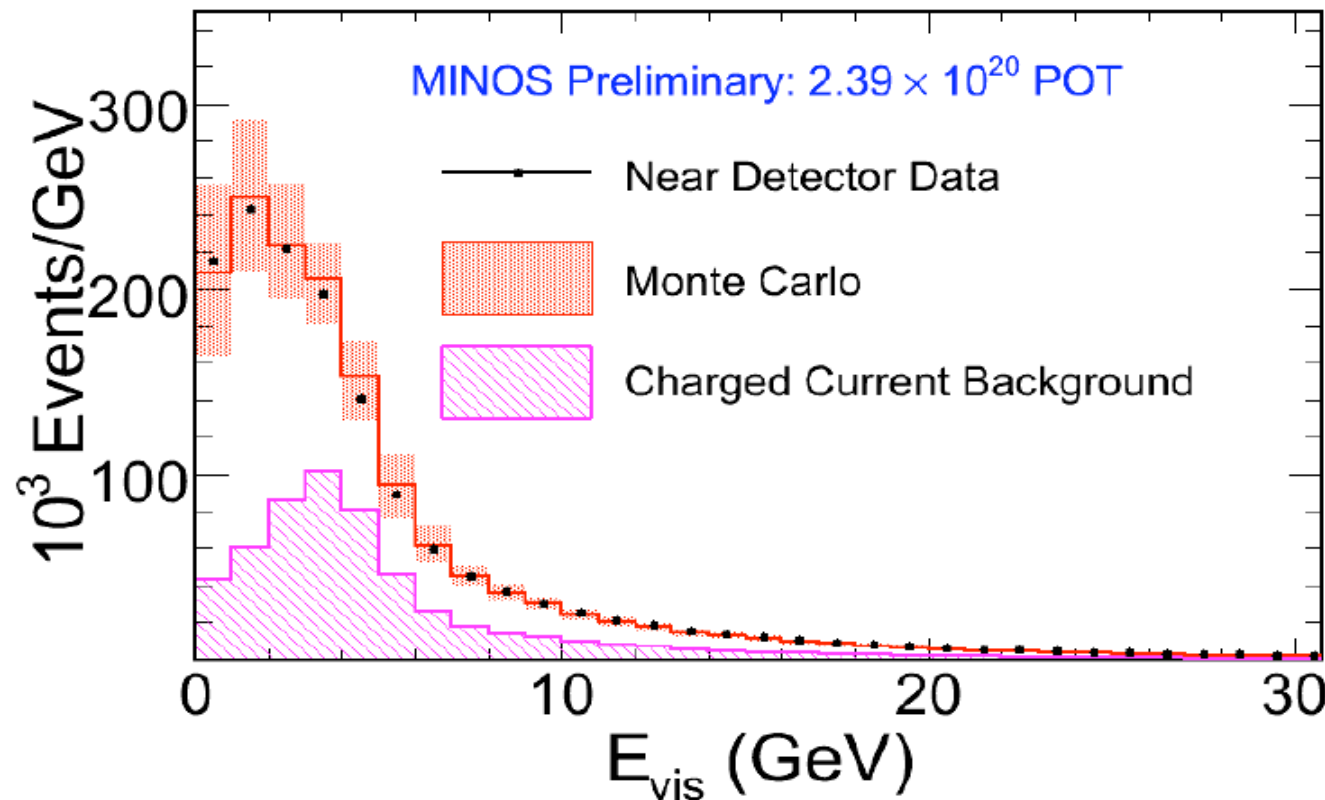


# NC Energy Spectrum

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Tufts University  
Neutrino 2008  
May 27, 2008



NC selected Data and MC energy spectra for Near Detector



NC events are selected with 90% efficiency and 60% purity

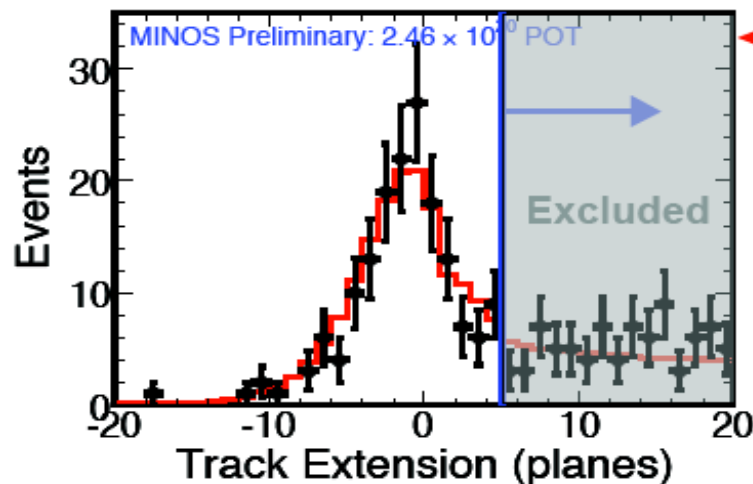
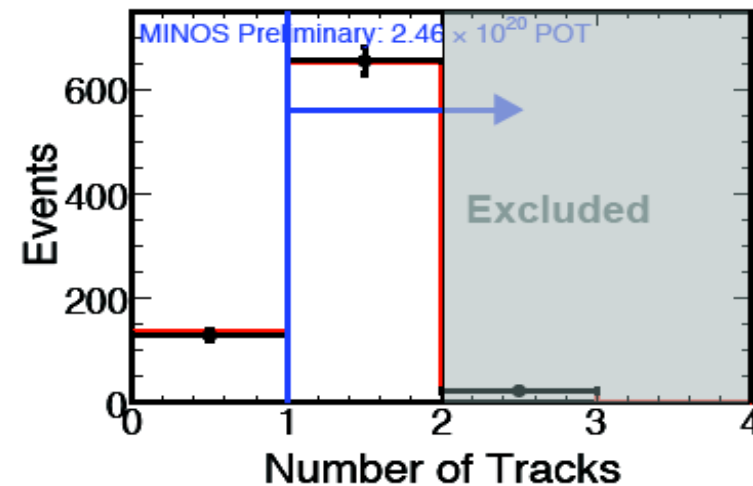
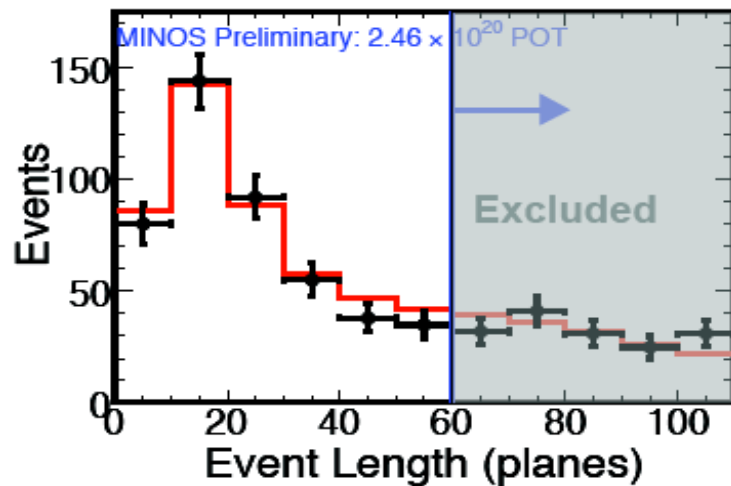
→ Demonstrated NC events can be reconstructed with ND.  
Do the same thing with FD.

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# Far Detector NC Selection

The FD selection uses the same variables as the ND selection, with identical cut values

MC oscillated with 2007 MINOS CC best fit:  $\Delta m^2 = 2.38 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2(2\theta) = 1$



—●— Far Detector Data

— Osc. Monte Carlo

# 3 Flavor Analysis

Compare the NC energy spectrum with the expectation of standard 3-flavor oscillation physics.

Pick the oscillation parameter values

- $\sin^2 2\Theta_{23} = 1$
- $\Delta m^2_{32} = 2.38 \times 10^{-3} \text{ eV}^2$
- $\Delta m^2_{21} = 7.59 \times 10^{-5} \text{ eV}^2$ ,  $\Theta_{12} = 0.61$  from KamLAND+SNO
- $\Theta_{13} = 0$  or  $0.21$  (normal MH,  $\delta = 3\pi/2$ ) from CHOOZ Limit
- Note that CC  $\nu_e$  are classified as NC by the analysis

Make comparison in terms of number of events in different energy ranges

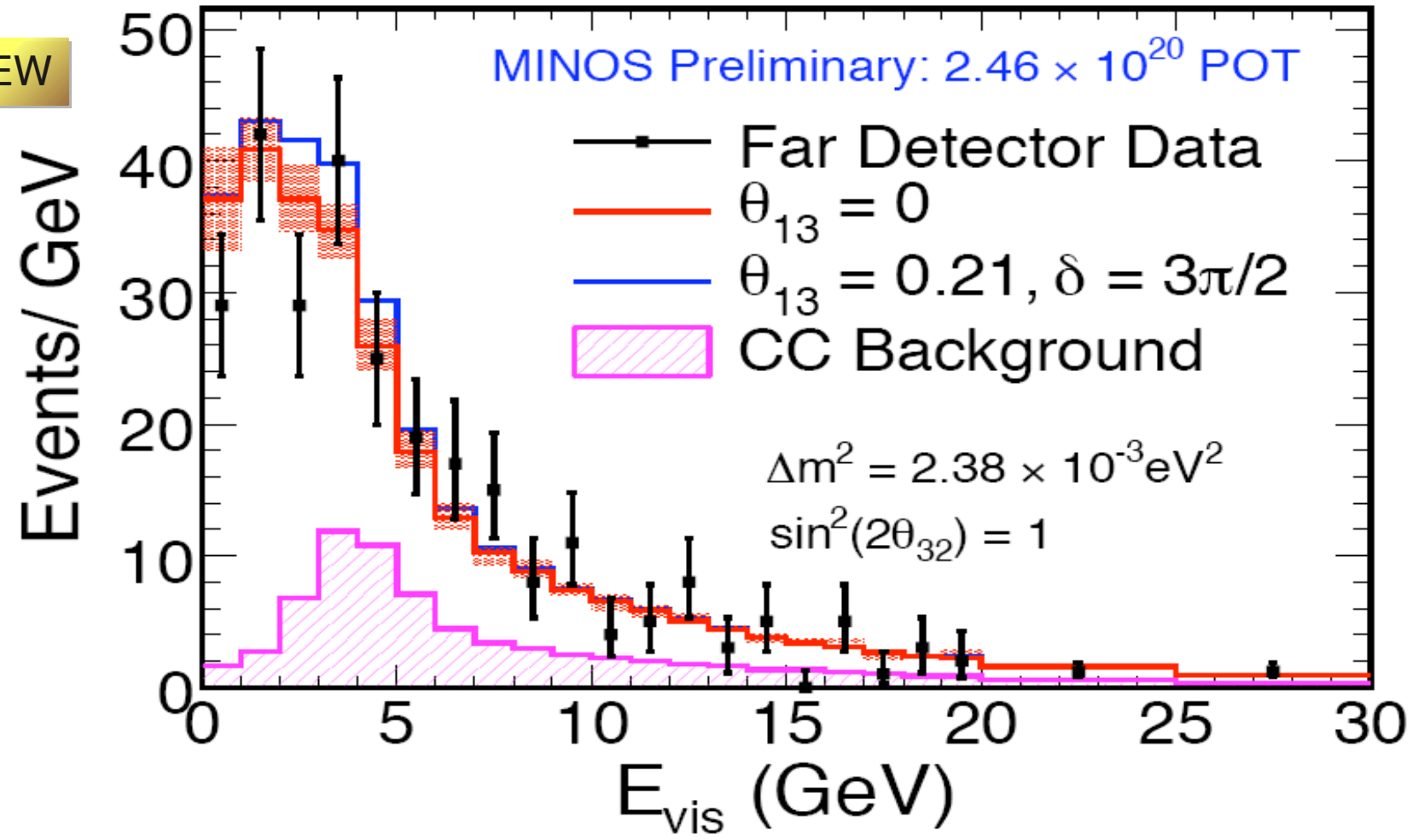
- 0-3 GeV
- 0-5 GeV
- All events (0-120 GeV)

Result is  $\# \sigma$  (dis-)agreement

# Energy Distribution

Far Detector reconstructed energy spectra for NC-like events. Oscillation parameters are fixed. MC predictions with  $\Theta_{13}=0$  and  $\Theta_{13}$  at the CHOOZ limit are shown.

NEW





# Results and Significance

Comparisons between observed Data and MC Prediction (for  $\theta_{13}=0$ )

NEW

| Energy Range (GeV) | Data | MC                 | Significance ( $\sigma$ ) |
|--------------------|------|--------------------|---------------------------|
| 0-3                | 100  | $115.16 \pm 7.67$  | 1.15                      |
| 0-5                | 165  | $175.92 \pm 10.42$ | 0.65                      |
| 0-120              | 291  | $292.63 \pm 15.02$ | 0.10                      |

The data-MC difference is slightly larger for  $\theta_{13}$  at the Chooz limit.

**For  $E_{\text{vis}} < 3$  GeV the fraction of neutral current events that disappear is less than 35% at 90% CL.**

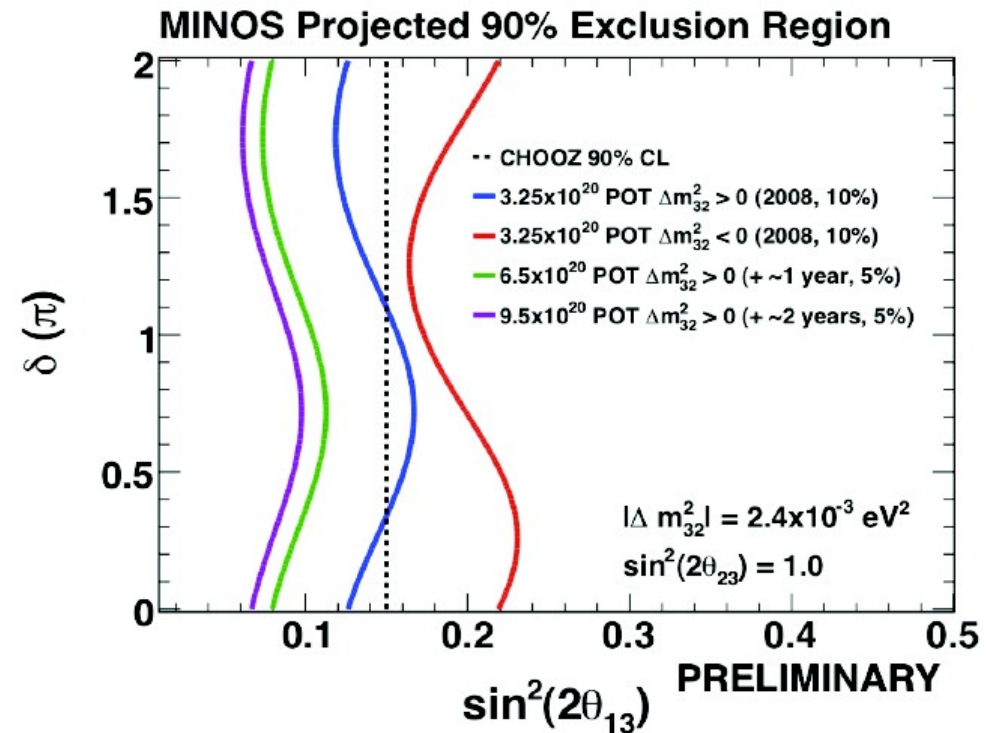
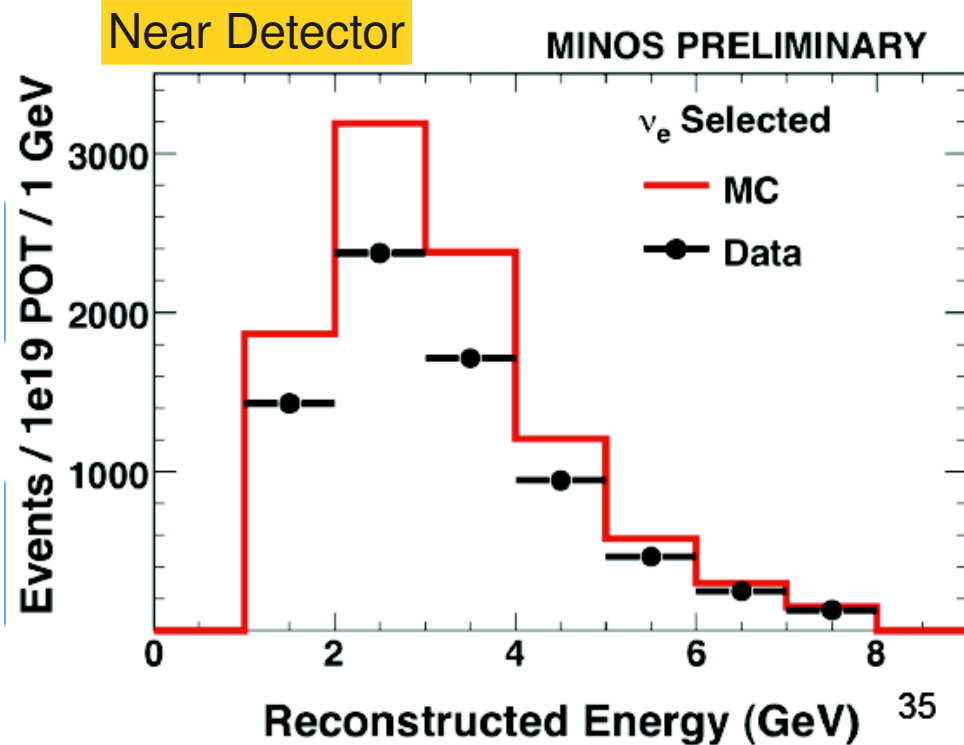
**For  $E_{\text{vis}} < 120$  GeV the fraction of neutral current events that disappear is less than 17% at 90% CL.**

# $\nu_e$ Appearance

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Tufts University  
Neutrino 2008  
May 27, 2008

Search for  $\nu_e$  appearance in a beam that is 98.7%  $\nu_\mu$ .  
Select  $\nu_e$  CC in the near and far detector with a neural network.  
ND measures a mix of beam  $\nu_e$ , NC and  $\nu_\mu$  CC events.

Solution: use two independent data driven methods to estimate  
NC and CC  $\nu_\mu$  backgrounds



At CHOOZ limit expect 12  $\nu_e$  signal events and  
42 BG events with 3.25x10<sup>20</sup> protons



# Conclusion & Future

- New measurement of the atmospheric neutrino oscillation parameters for  $3.36 \times 10^{20}$  POT:

$$|\Delta m|^2 = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2 \text{ (68\% C.L.)}$$
$$\sin^2(2\theta) > 0.90 \text{ (90\% C.L.)}$$

- From an analysis of CC events, decay and decoherence are disfavored at  $3.7$  and  $5.7\sigma$ , respectively.
- From an analysis of NC events in the FD for an exposure of  $2.46 \times 10^{20}$  POT, the fraction of NC events that disappear is less than  $0.17$  at  $90\% \text{C.L.}$
- First results on  $\nu_e$  appearance are expected later this year and have sensitivity below the Chooz limit. Other ND-only results expected later this year also.

# Solar and Atmospheric Neutrinos in Super-Kamiokande

Jennifer Raaf  
Boston University

on behalf of the Super-K  
collaboration

NEUTRINO 2008  
CHRISTCHURCH, NZ

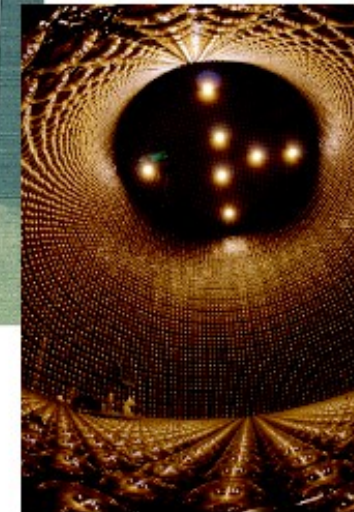
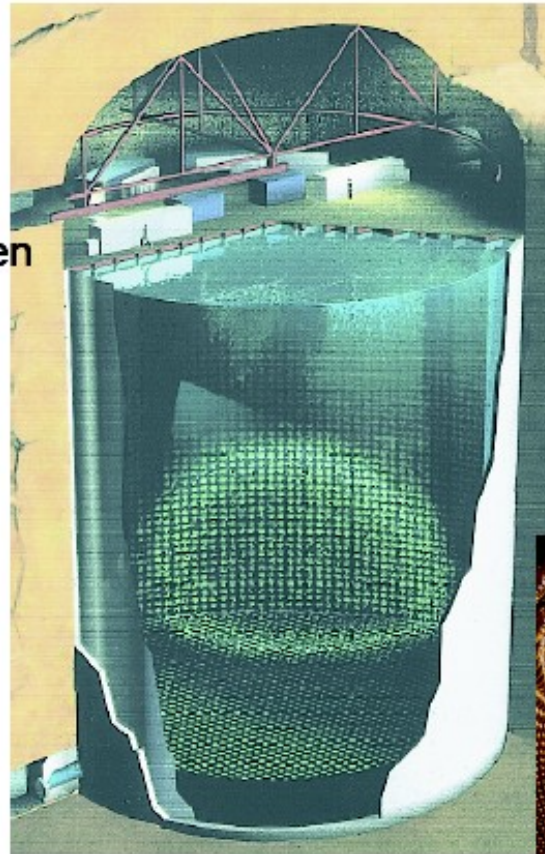


# Super-Kamiokande

Kamioka-Mozumi zinc mine  
1 km (2700 meters-water-equiv.) rock overburden

Water  $\checkmark$  Cerenkov detector  
50 ktons (22.5 ktons fiducial)

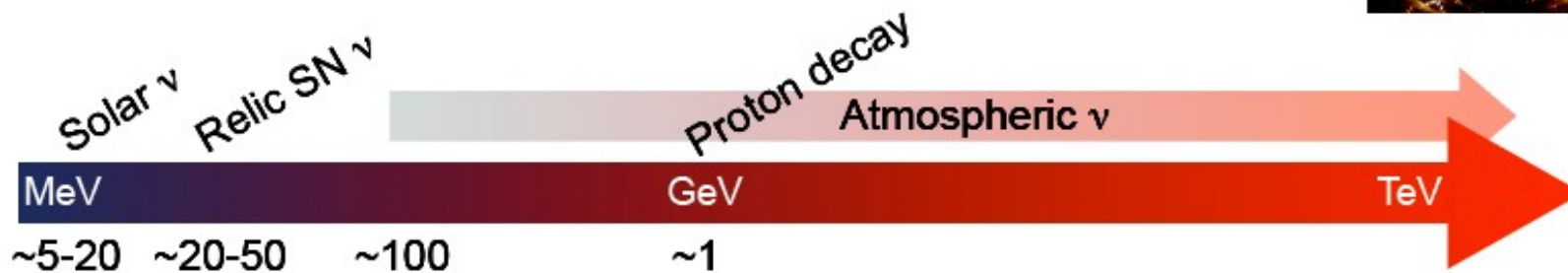
Instrumented with  
50-cm PMTs in Inner Detector (ID)  
20-cm PMTs in Outer Detector (OD)



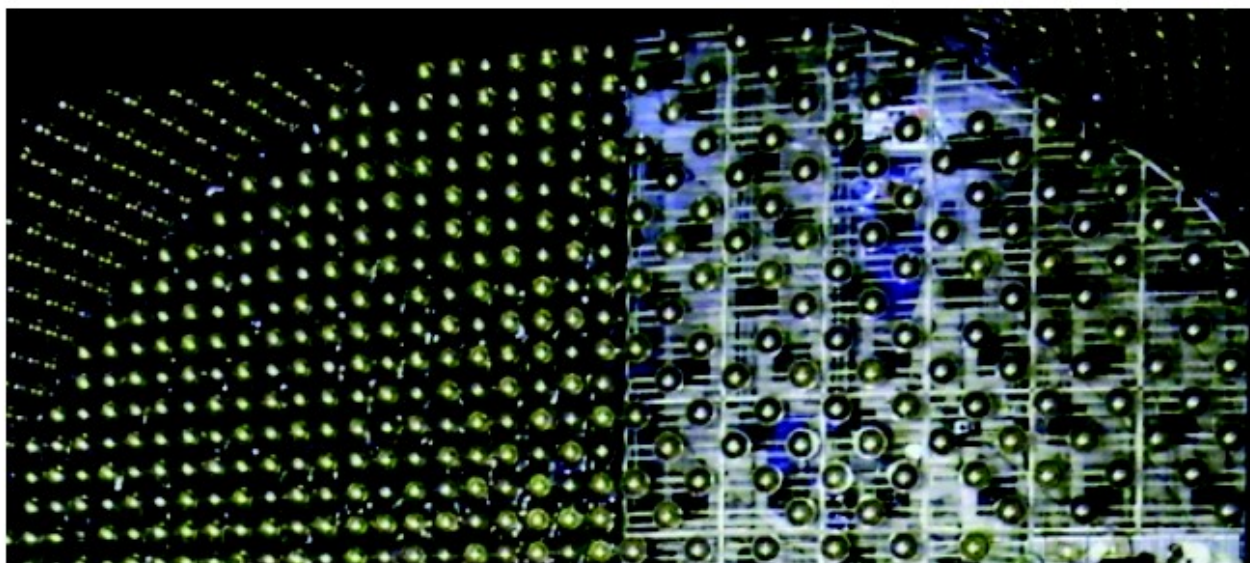
## Goals of Super-K

- Solar neutrinos
- Supernova neutrinos (+ relic SN)
- Atmospheric neutrinos
- Proton decay

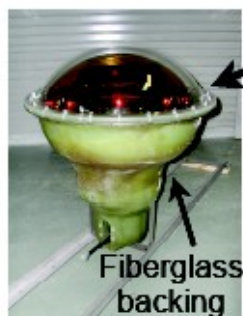
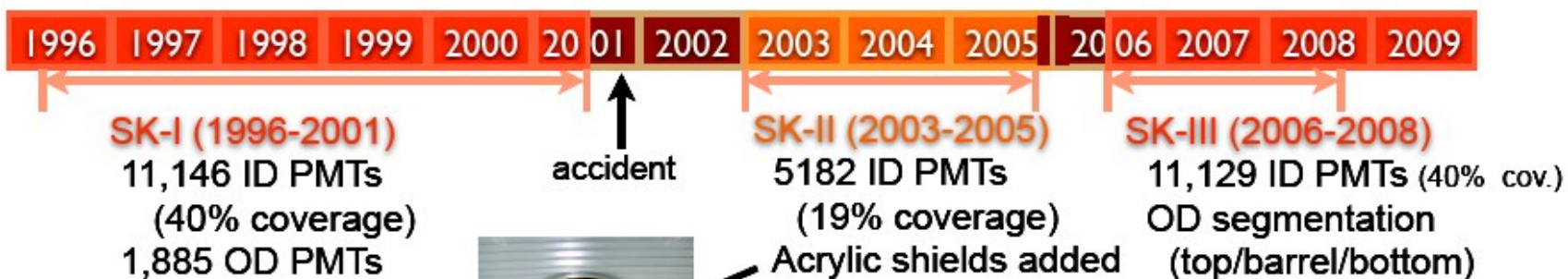
This talk



# Timeline



During SK-III construction



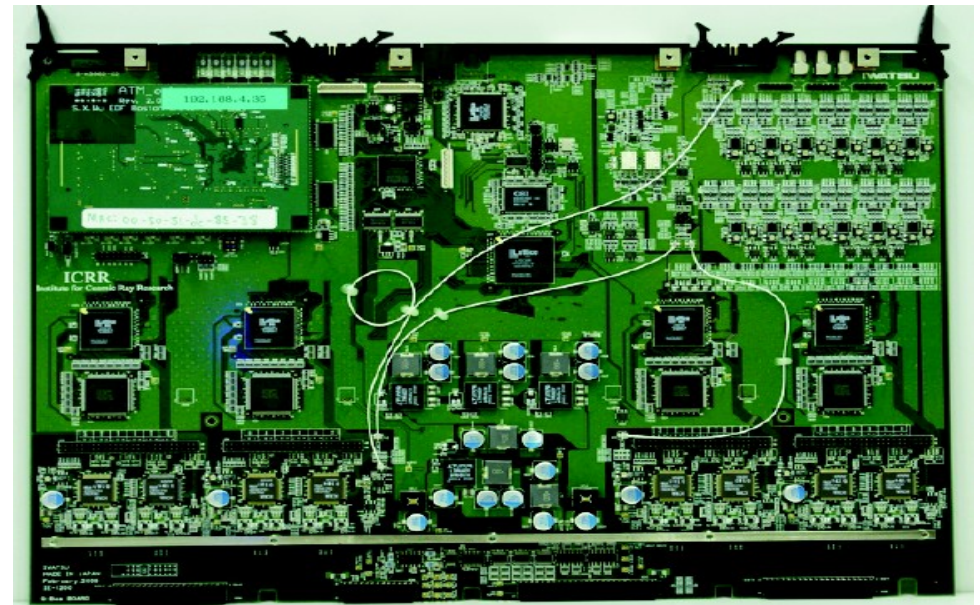
**Coming soon:**  
**SK-IV (2008- ... )**  
 Replace DAQ electronics

- In this talk :
- Coming update : SK-IV
  - SK-III status
  - Analysis updates : SK-I and SK-II

# SK-IV : DAQ Upgrade

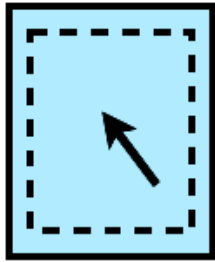


- Simplified detector operations
  - Unified readout scheme for ID and OD
- Increased reliability/performance
  - Improve multiple energy resolution
    - Wider dynamic range
  - Improve multiple-hit capability
    - Efficient ID of m-decay electrons
- Ethernet-based readout
  - Increased bandwidth and reduced dead time
- New DAQ readout system
  - No hardware trigger : instead record all hits and apply software triggers
- SK-IV installation begins Aug 2008 : to be completed mid Sep.

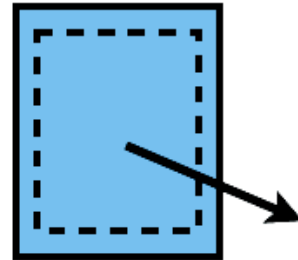


# Atmospheric $\nu$ 's

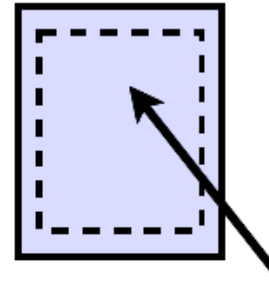
## Event Categories



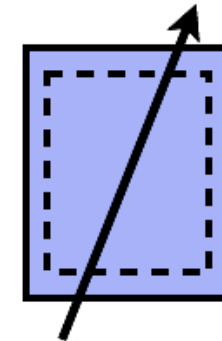
Fully-Contained



Partially-Contained



Upward  
Stopping Muon



Upward  
Through-going  
Muon

SK-III run period: July 29, 2006 - present

| Event Category                  | Event Rate (events/day) |                 |                         |
|---------------------------------|-------------------------|-----------------|-------------------------|
|                                 | SK-I                    | SK-II           | SK-III<br>(Preliminary) |
| Fully Contained (FC)            | $8.18 \pm 0.07$         | $8.22 \pm 0.10$ | $8.31 \pm 0.22$         |
| Partially Contained (PC)        | $0.61 \pm 0.02$         | $0.54 \pm 0.03$ | $0.57 \pm 0.06$         |
| Upward-stopping $\mu$ (Upstop)  | $0.25 \pm 0.01$         | $0.28 \pm 0.02$ | $0.24 \pm 0.03$         |
| Upward-thrugoing $\mu$ (Upthru) | $1.12 \pm 0.03$         | $1.07 \pm 0.04$ | $1.11 \pm 0.06$         |

Event rates consistent across all phases of SK



# Oscillation Analyses



## Zenith angle 2-flavor analysis (fine-binned)

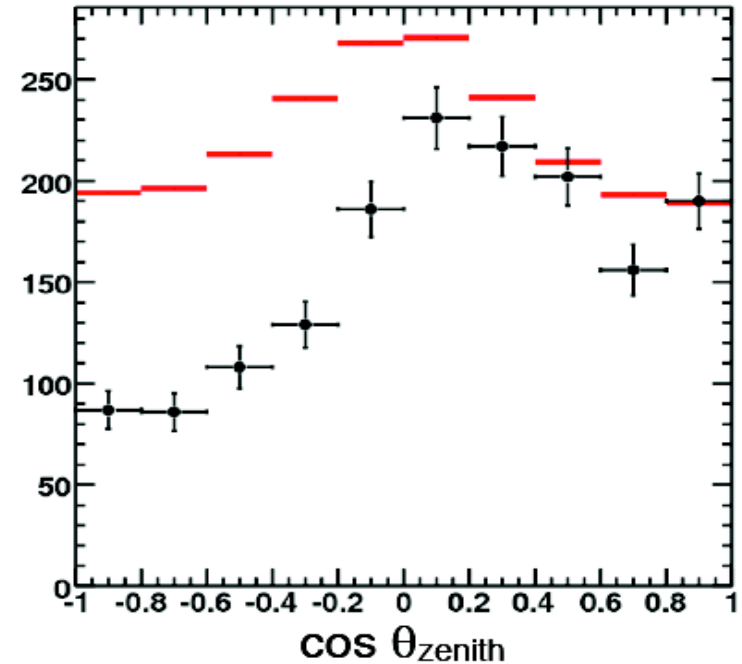
Use many subsamples of data  
Look for zenith angle distortion

Data binned according to:

event type  
+  
momentum  
+  
zenith angle

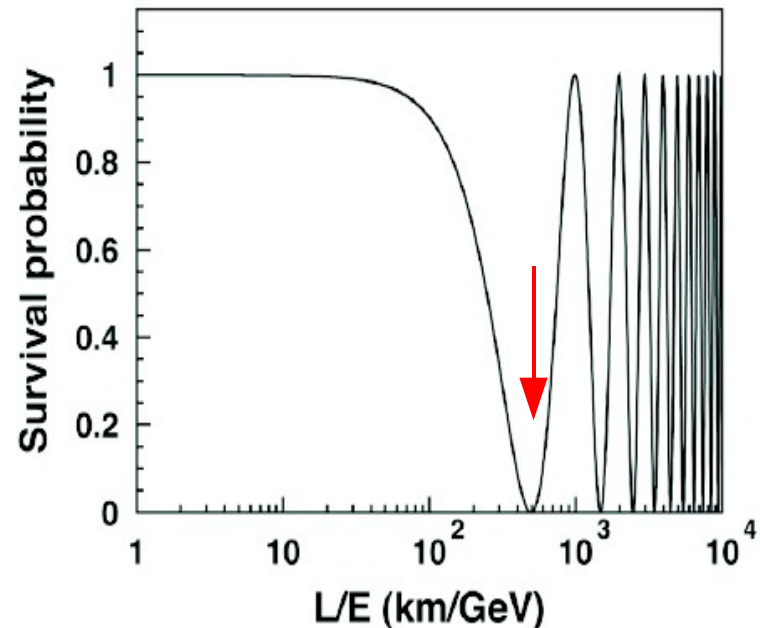
} 400 bins for SK-I  
350 bins for SK-II

$\chi^2$  fit in bins of zenith angle  
with systematic error pull terms

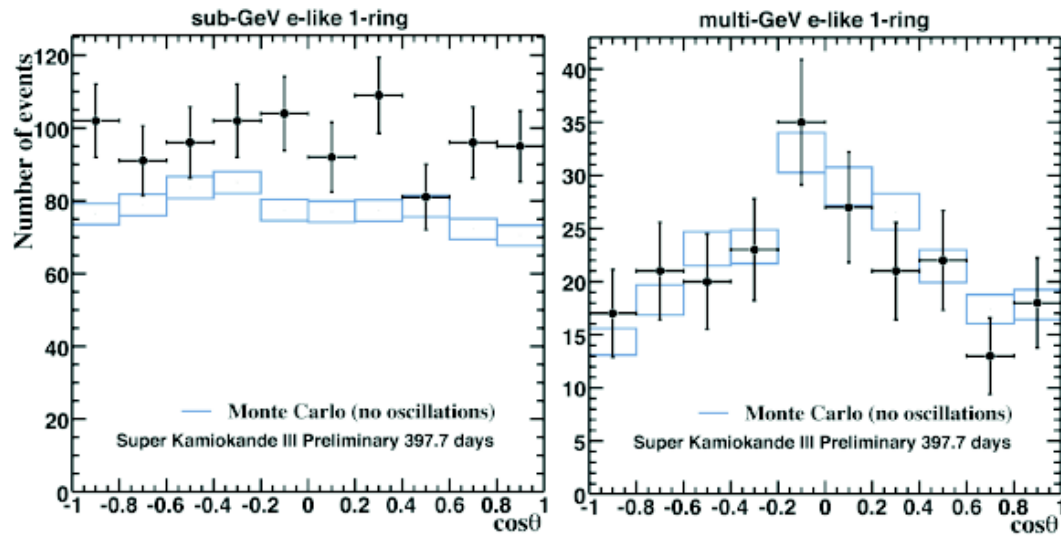


## L/E analysis

Use much more selective subsample of data  
Require good L/E resolution  
Look for first oscillation dip

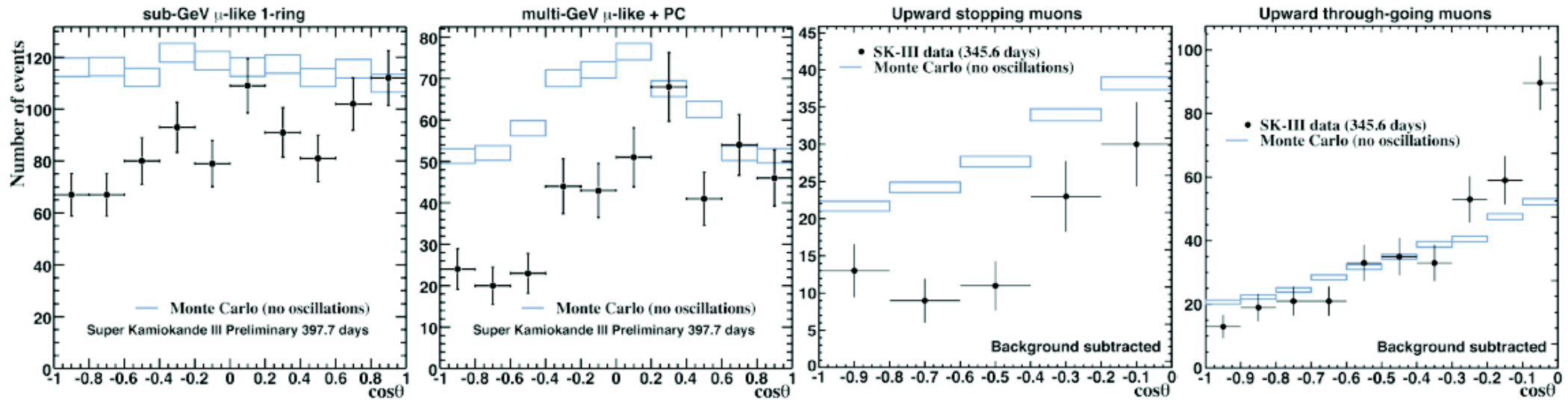


# SK-III Atmospheric $\nu$ Zenith Distributions



>25,000 atmospheric  $\nu$  events in SK-I + II + III

- SK-III data
- Monte Carlo (no oscillations)



No oscillation analysis yet, but zenith angle distortion clearly visible

# Super-K Simulation/Reconstruction Updates

Re-analysis of SK-I and SK-II data due to many changes/improvements:

## Simulation

**atmospheric neutrino flux model:** Honda06

Changed to agree with K2K measurement.  
Effect: Increase number of events

**neutrino interaction model (neut)**

QE:  $M_A = 1.2 \text{ GeV}$

$1\pi$  (resonant):  $M_A = 1.2 \text{ GeV}$

Add  $\Delta \rightarrow N\gamma$

Add lepton mass effects in CC $1\pi$

$1\pi$  (coherent): Rein & Sehgal with lepton mass correction

DIS: GRV98 PDF with Bodek-Yang correction

Effect: Small change in lepton momentum distributions

Effect: Suppression in forward direction of lepton scattering angle

Effect: Reduction in number of multiple- $\pi$  events

**detector simulation**

more detailed model of light reflections and scattering

better OD tuning

Effect: Better data/MC agreement for various quantities

## Reconstruction

improved ring counting

Effect: Reduced systematic errors

## Other

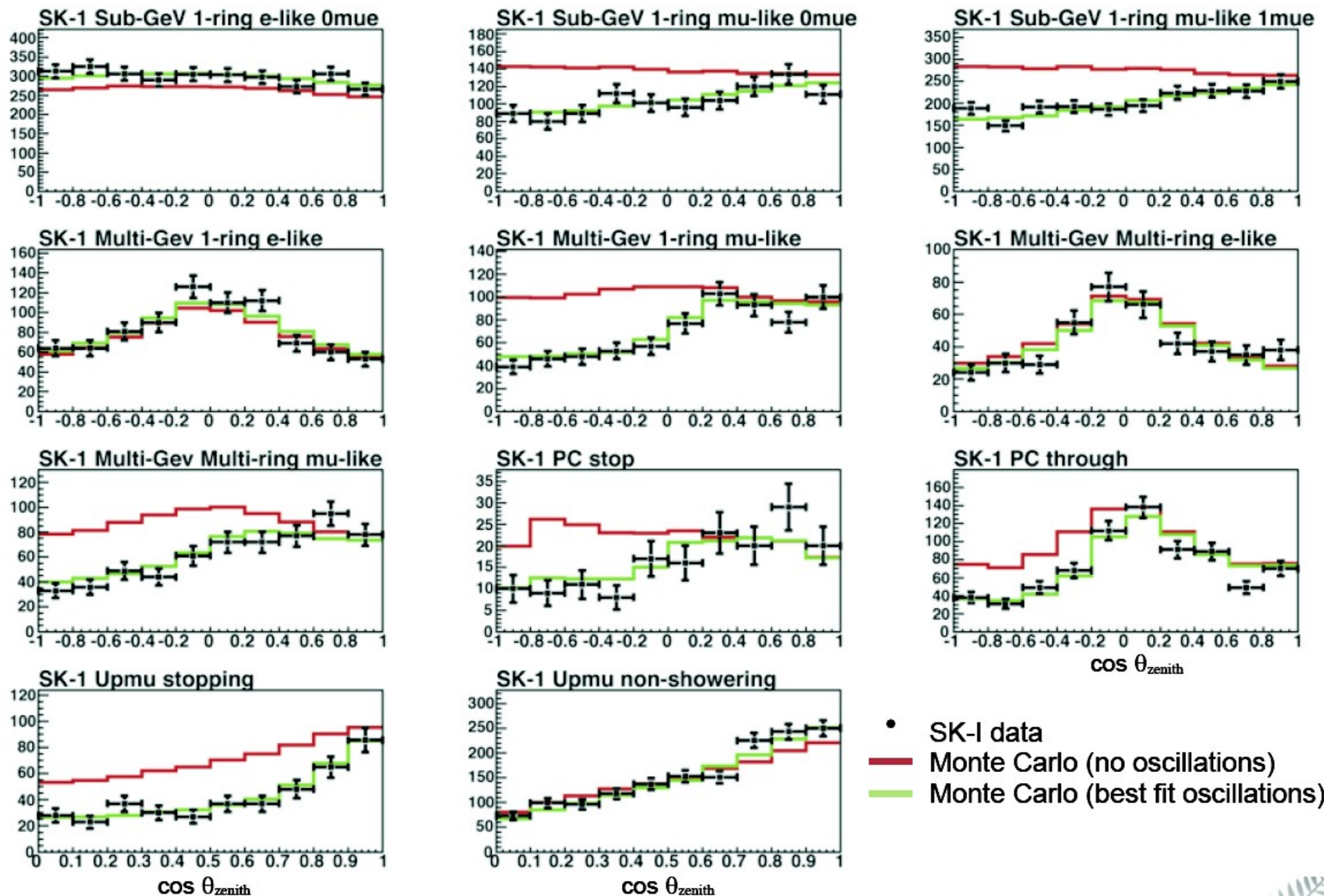
higher MC statistics

re-evaluate and add systematic uncertainties

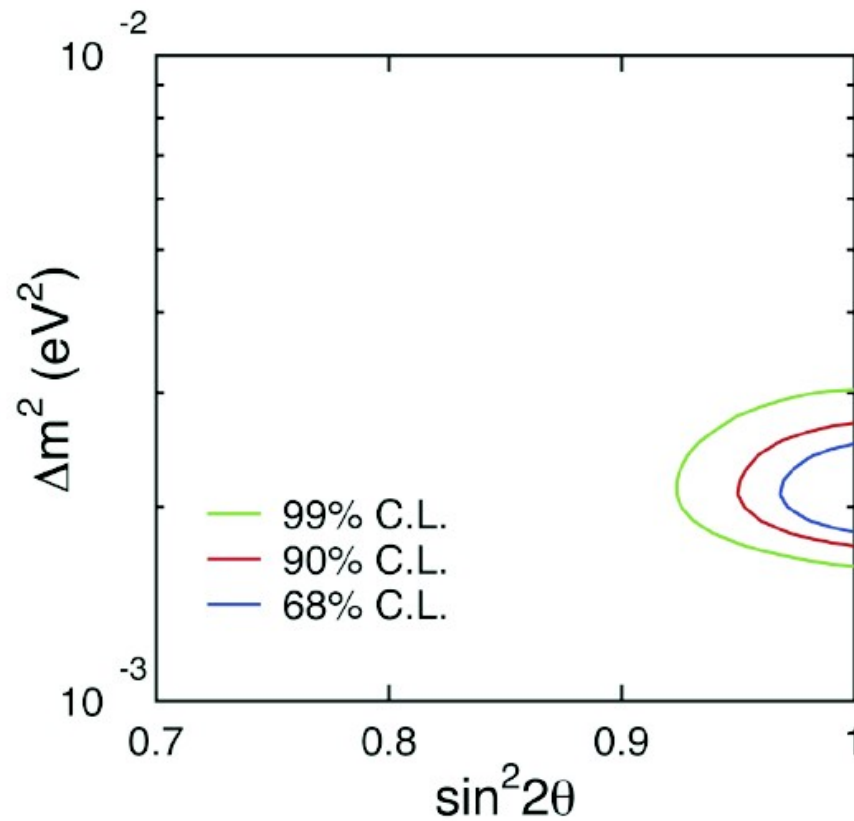
Increase from 100 yrs to 500 yrs



# Zenith Angle Analysis: SK-I + SK-II



# Zenith Angle Analysis: SK-I + SK-II

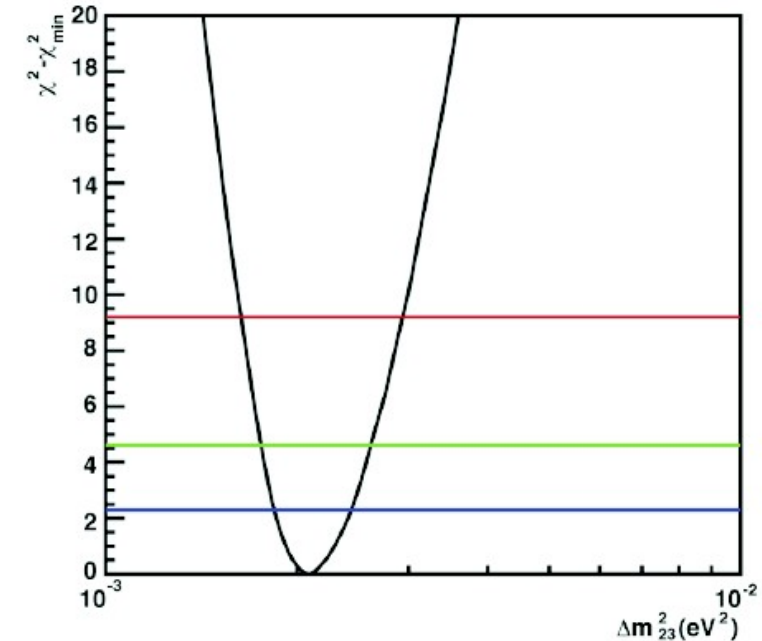
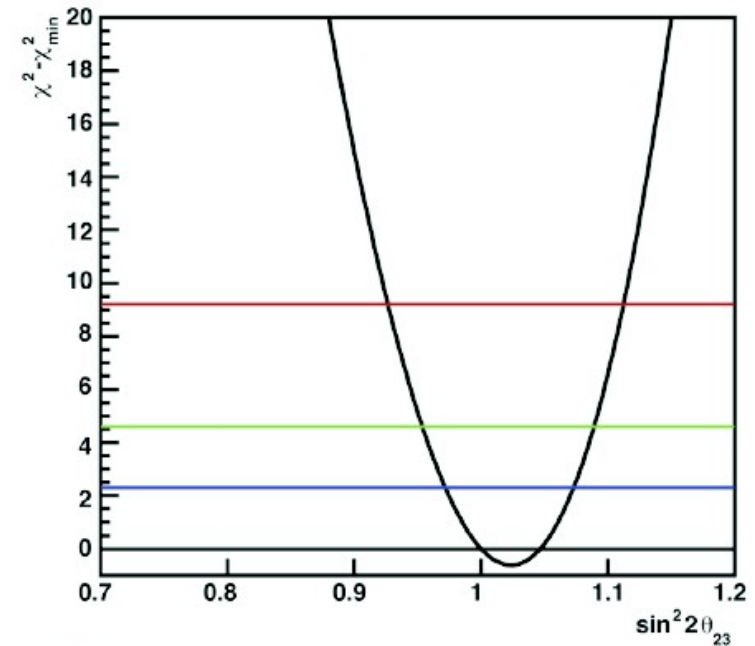


Best fit:

$$\Delta m^2 = 2.1 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta = 1.02$$

$$\chi^2 = 830.1 / 745 \text{ d.o.f.}$$



# L/E Analysis: SK-I + SK-II

## Datasets

SK-I FC/PC  $\mu$ -like: 1489 days

SK-II FC/PC  $\mu$ -like: 799 days

Use only event categories with good L/E resolution:

Partially-contained muons  
Fully-contained muons

$\chi^2$  fit to 43 bins of  $\log_{10}(L/E)$   
with 29 systematic error terms

Compare against:

Neutrino decoherence (5.0 $\sigma$ ) 5.7 $\sigma$

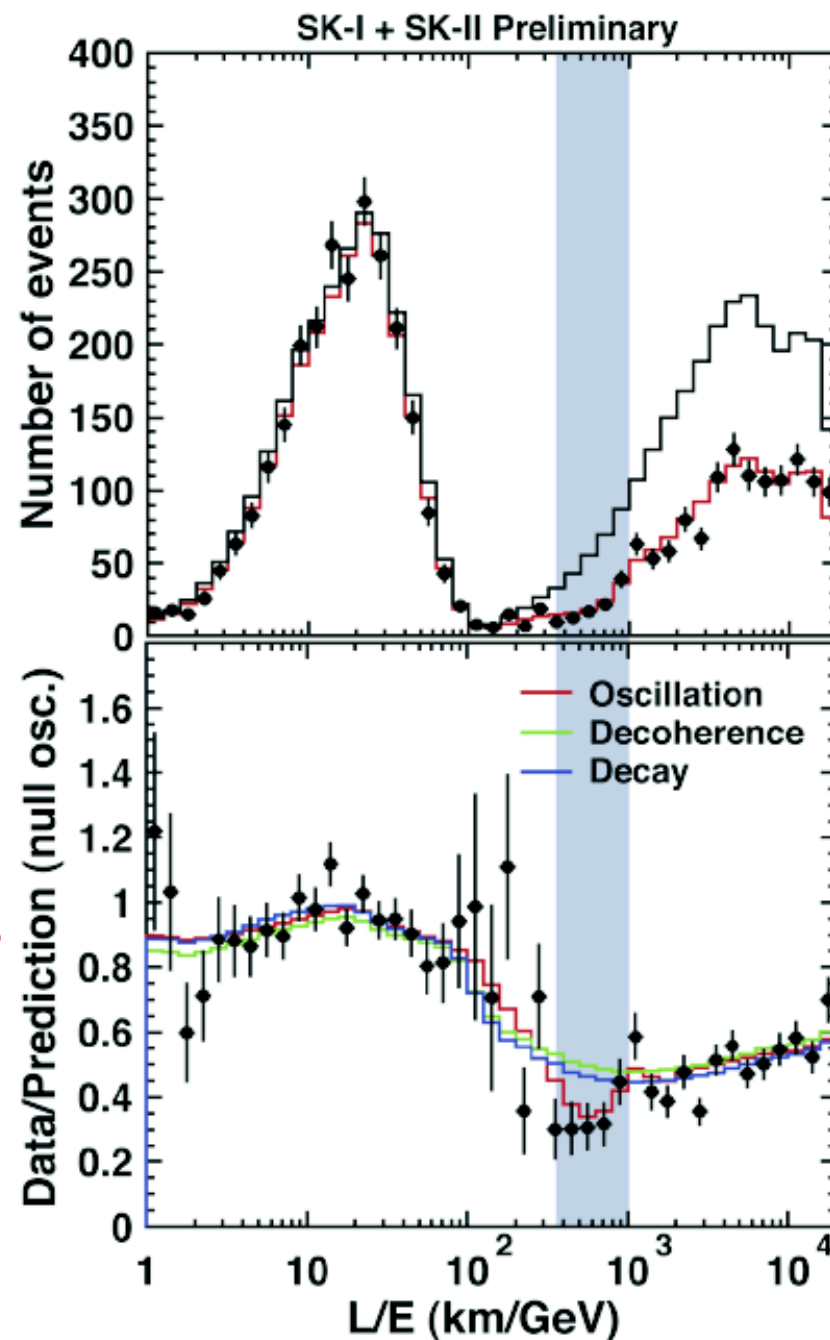
Neutrino decay (4.1 $\sigma$ ) 3.7 $\sigma$

MINOS

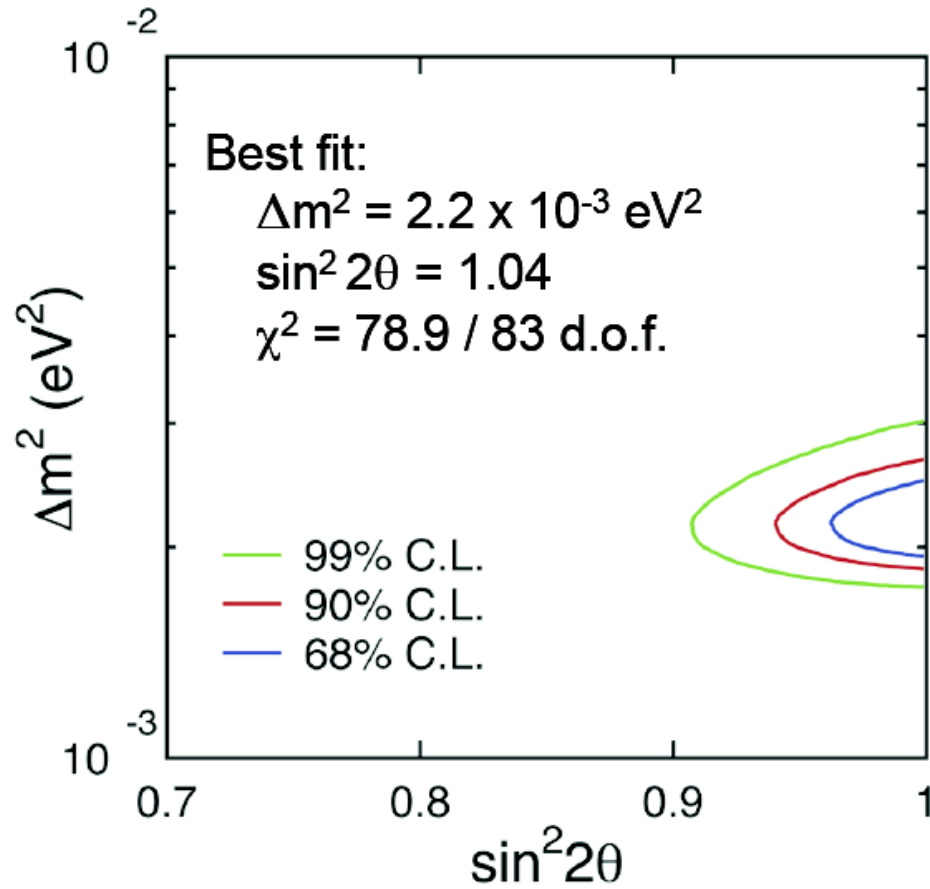
Grossman and Worah: hep-ph/9807511

Lisi *et al.*: PRL85 (2000) 1166

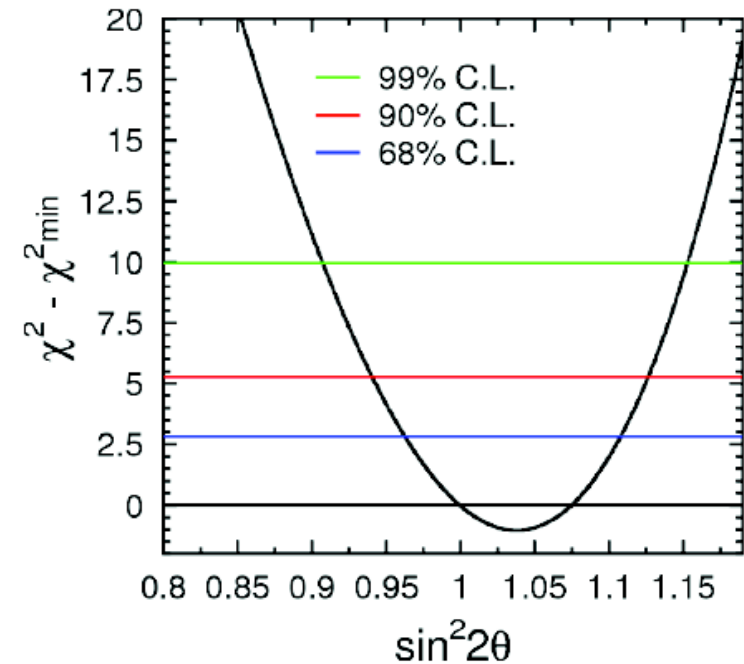
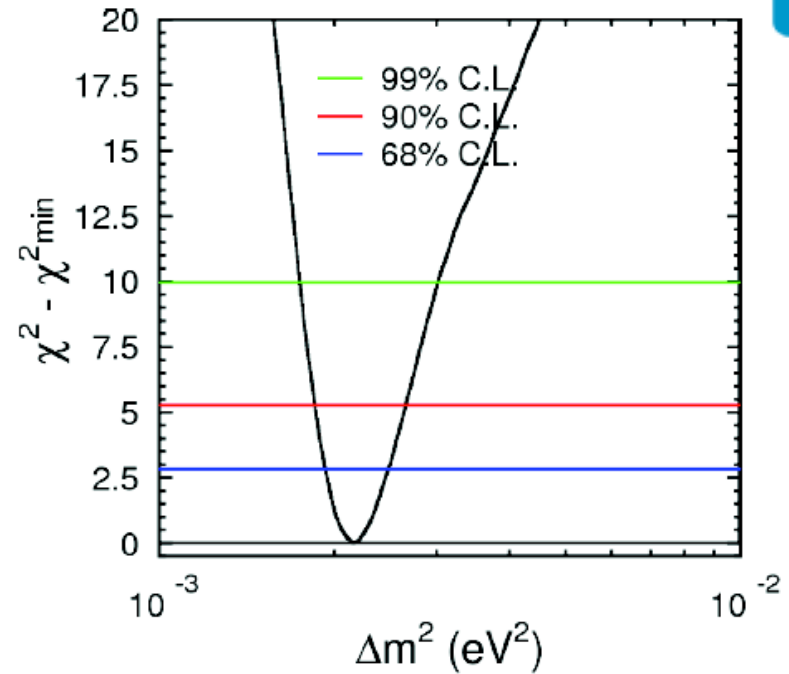
Barger *et al.*: PRD54 (1996) 1, PLB462 (1999) 462



# L/E Analysis: SK-I + SK-II



**90% C.L. allowed region**  
 $\sin^2 2\theta > 0.94$   
 $1.85 \times 10^{-3} < \Delta m^2 < 2.65 \times 10^{-3} \text{ eV}^2$



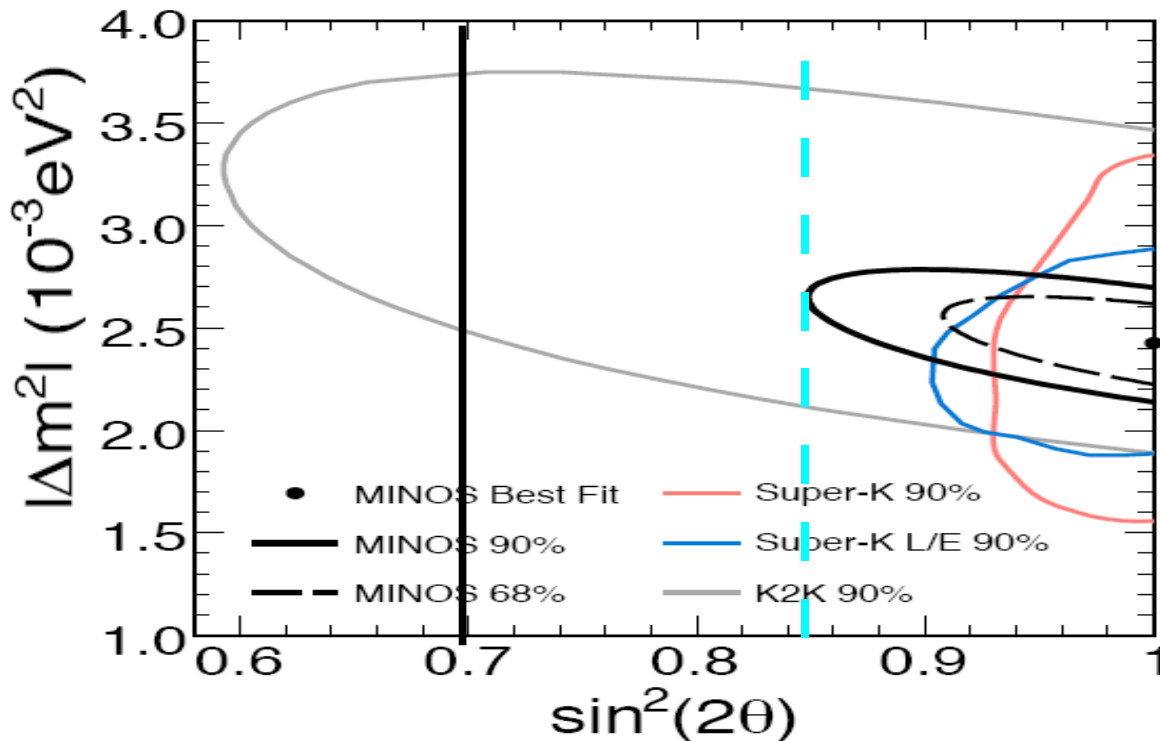
# Comparison between SK and Minos

$$|\Delta m^2| = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2 \quad (68\% \text{ C.L.})$$

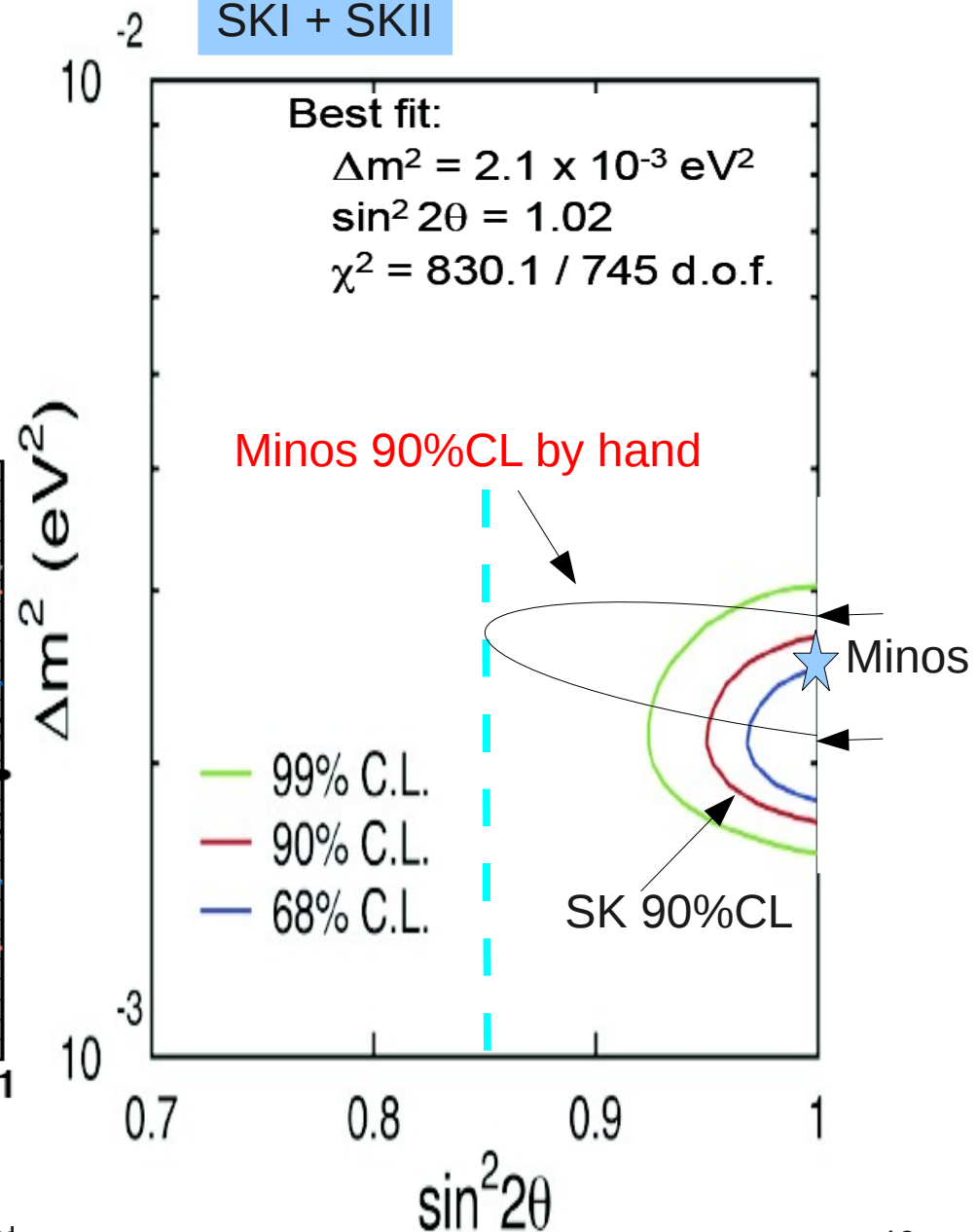
$$\sin^2(2\theta) > 0.90 \quad (90\% \text{ C.L.})$$

$$\chi^2/\text{ndof} = 90/97$$

Minos



SKI + SKII





# Conclusion and Future



SK-I + II + III

12 years dataset for atmospheric & solar neutrinos

SK-IV

detector improvements by upgraded electronics

By Neutrino2010...

→T2K beam on Apr 2009

~40,000 solar  $\nu$

~30,000 atmospheric  $\nu$

Search for sub-dominant, exotic, and non-oscillation physics

Study “Standard Model” oscillation physics

- help constrain solar parameters
- precisely measure atmospheric parameters
  - best constraint on mixing angle
- try to observe every predicted effect

# MiniBooNE Oscillation searches



- Motivation
  - After LSND
- Detector
- Oscillation analysis
  - Global data analysis
- Low Energy  $\nu_e$  candidate excess
- Events from NuMI beamline
- Anti-neutrino appearance at MiniBooNE

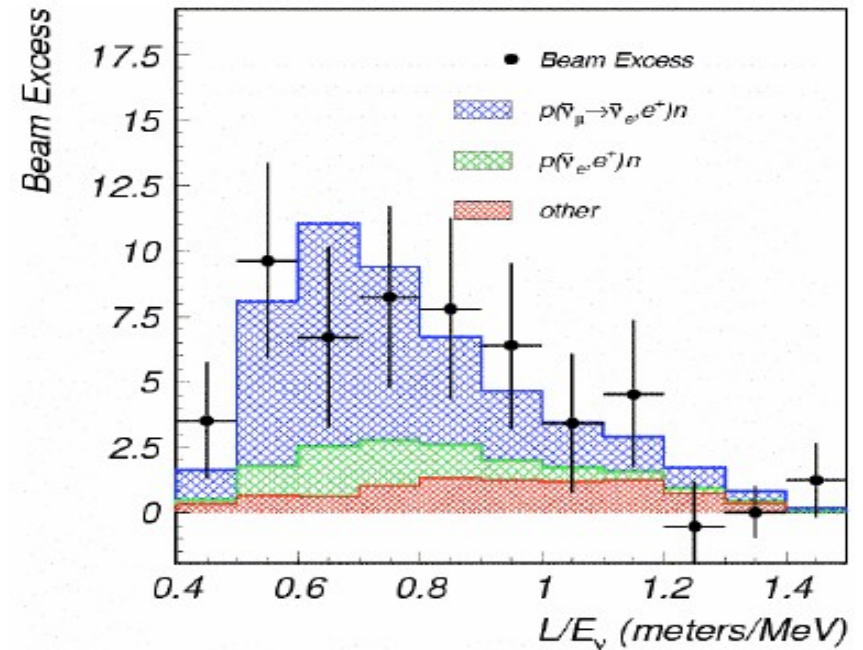
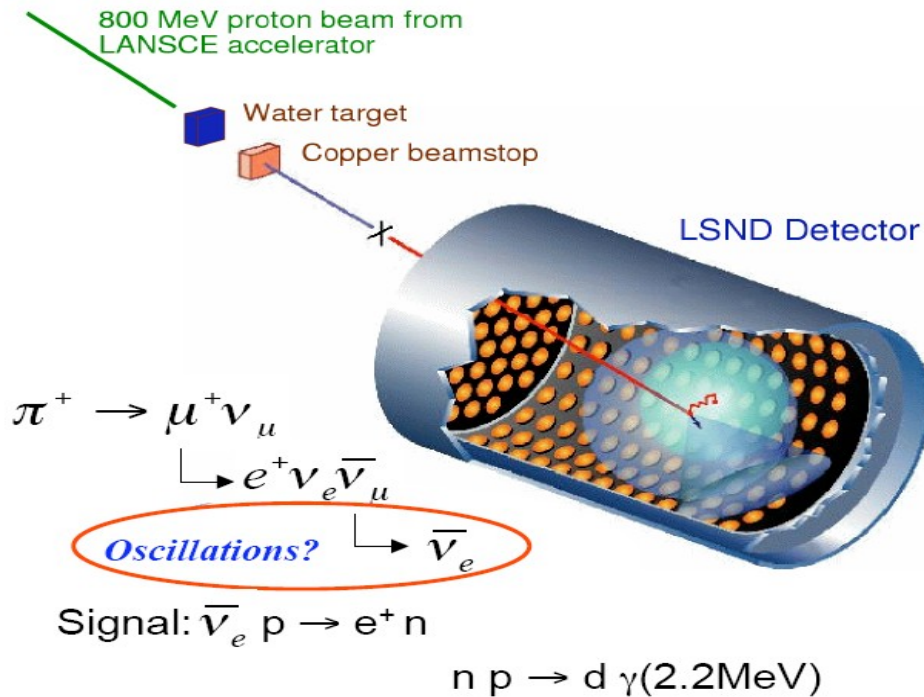


# The MiniBooNE Strategy



Test the LSND indication of anti-electron neutrino oscillations

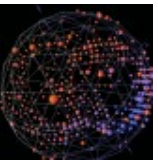
## Oscillation status after LSND



With an oscillation probability of  $(0.264 \pm 0.067 \pm 0.045)\%$ .

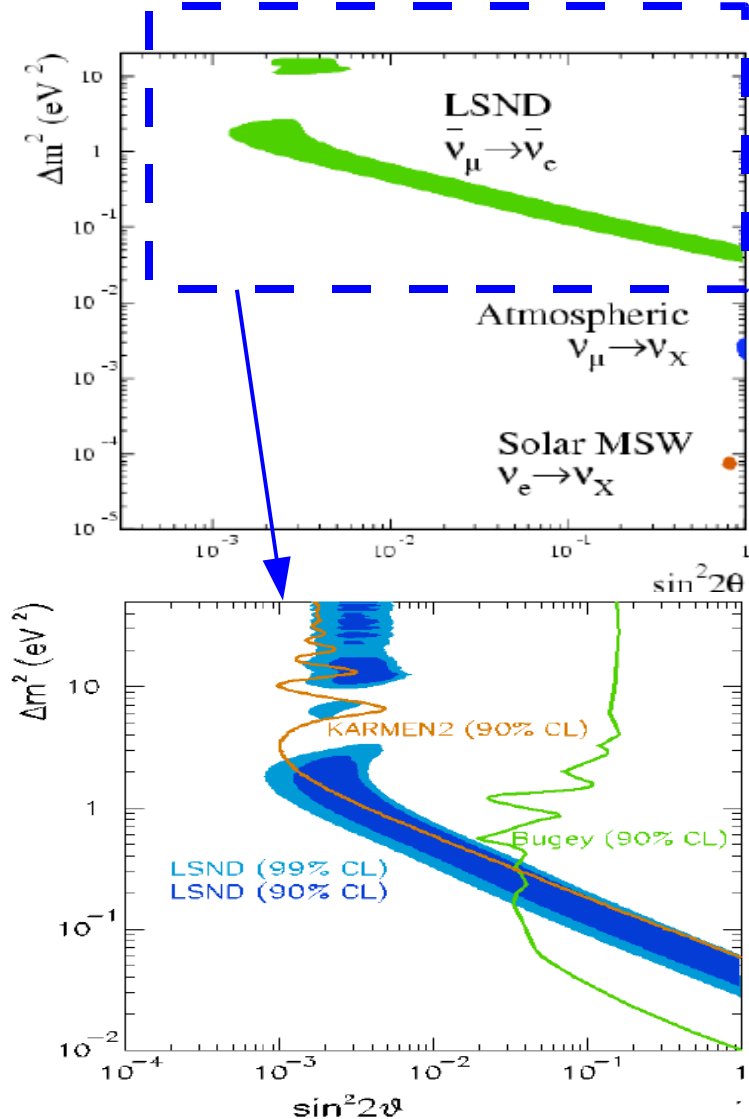
**3.8  $\sigma$  significance for excess.**

# The MiniBooNE Strategy



Test the LSND indication of anti-electron neutrino oscillations

## Oscillation status after LSND

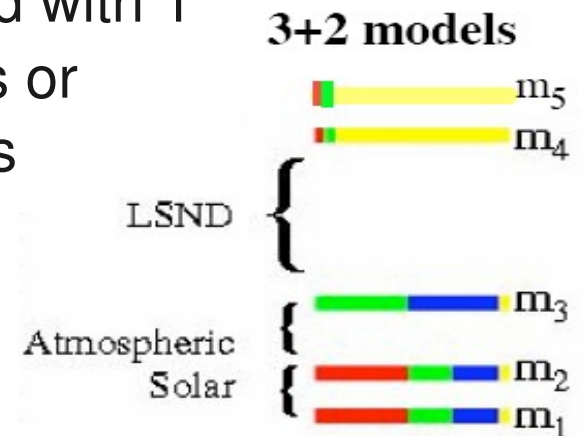


- This signal looks very different from other experiments.

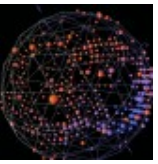
- Much higher  $\Delta m^2 = 0.1 \sim 10$  eV<sup>2</sup>
- Much smaller mixing angle
- Only 1 experiment

- Needed more than 3  $\nu$ 's

- Models developed with 1 or more sterile  $\nu$ 's or other new physics models



# The MiniBooNE Strategy



Test the LSND indication of anti-electron neutrino oscillations  
 Keep L/E same, change beam, energy, and systematic errors

$$P(\nu_{\mu} \rightarrow \nu_e) = \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E)$$

neutrino energy (E):

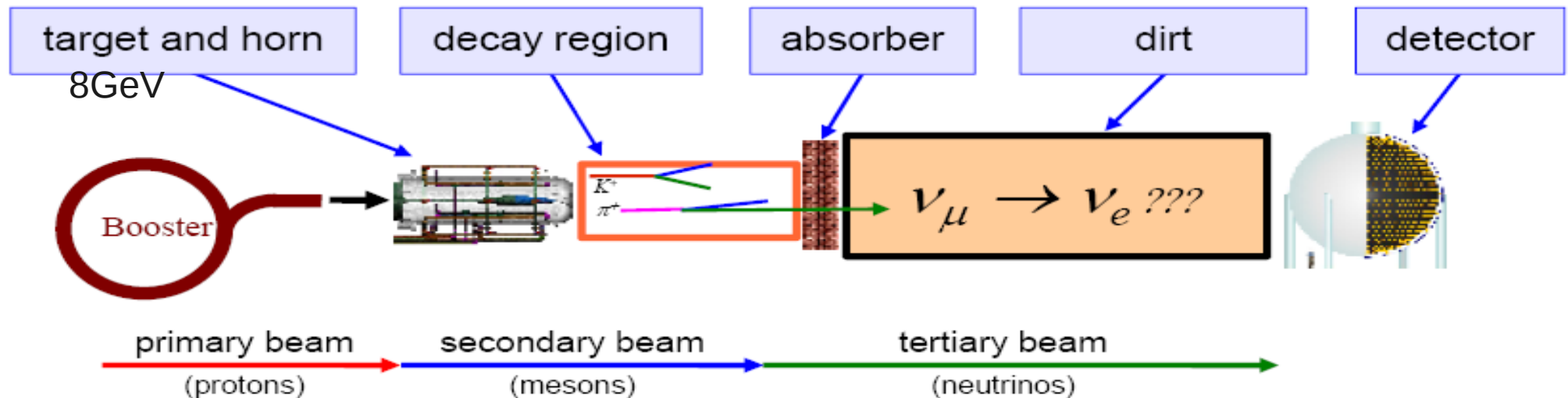
MiniBooNE:  $\sim 500$  MeV

LSND:  $\sim 30$  MeV

baseline (L):

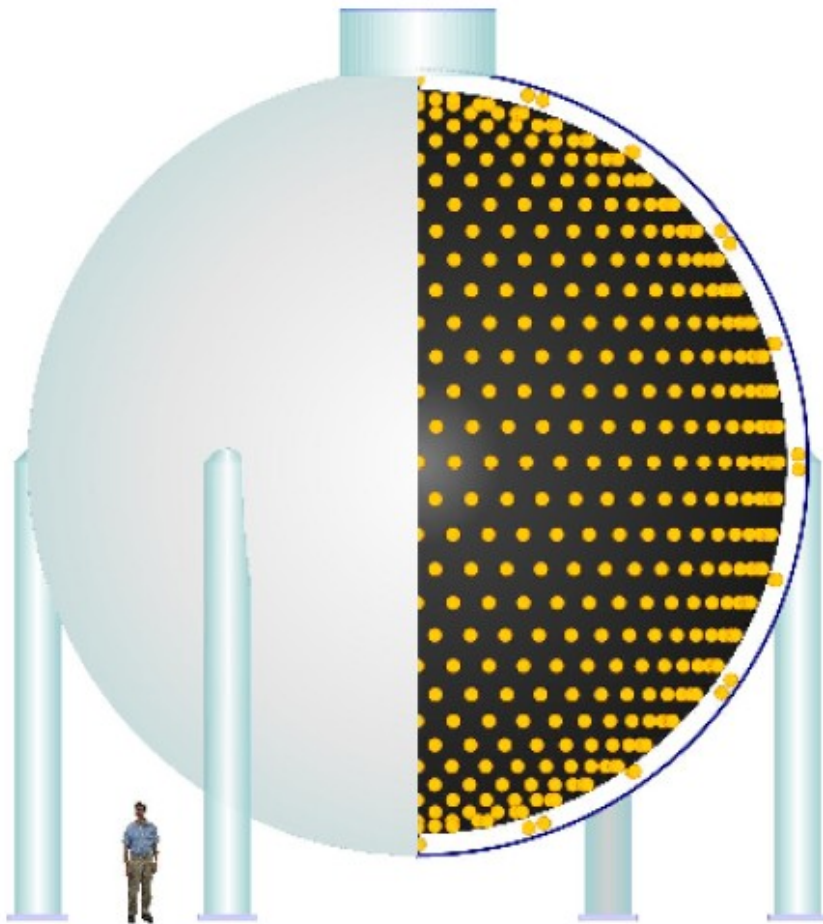
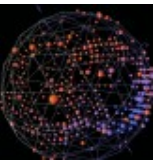
MiniBooNE:  $\sim 500$  m

LSND:  $\sim 30$  m



**Event rates:**  $\nu_{\mu} = 98.1\%$ ,  $\nu_e = 0.6\%$ ,  $\bar{\nu}_{\mu} = 1.2\%$ ,  $\bar{\nu}_e = 0.03\%$

# The MiniBooNE Detector



541 meters downstream of target

3 meter overburden of dirt

12 meter diameter sphere

Filled with 800 t of pure mineral oil

( $\text{CH}_2$ --density 0.86,  $n=1.47$ )

Fiducial volume: 450 t

1280 inner 8" phototubes-10% coverage,

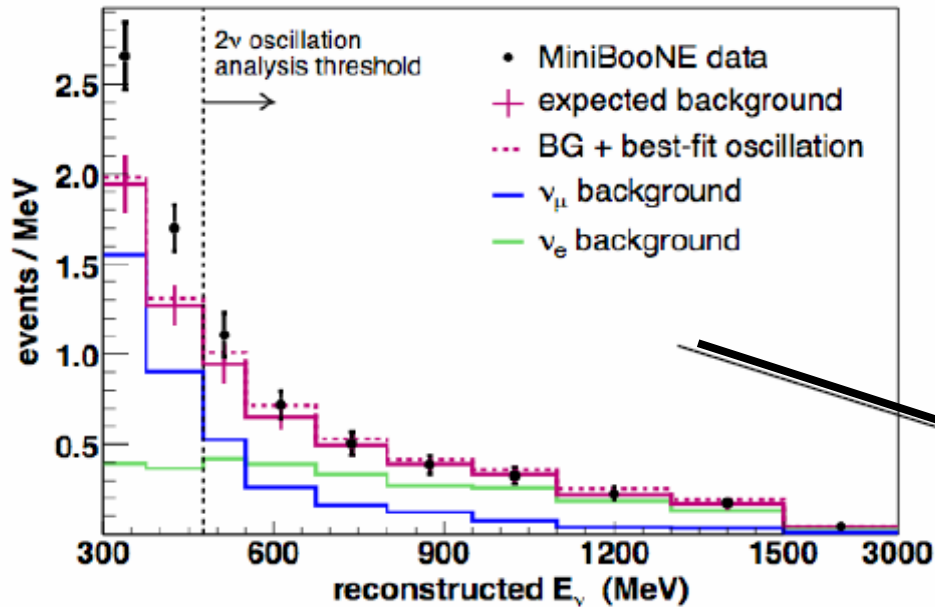
240 veto phototubes

(Less than 2% channels failed during run)

# Oscillation Analysis Results: April 2007



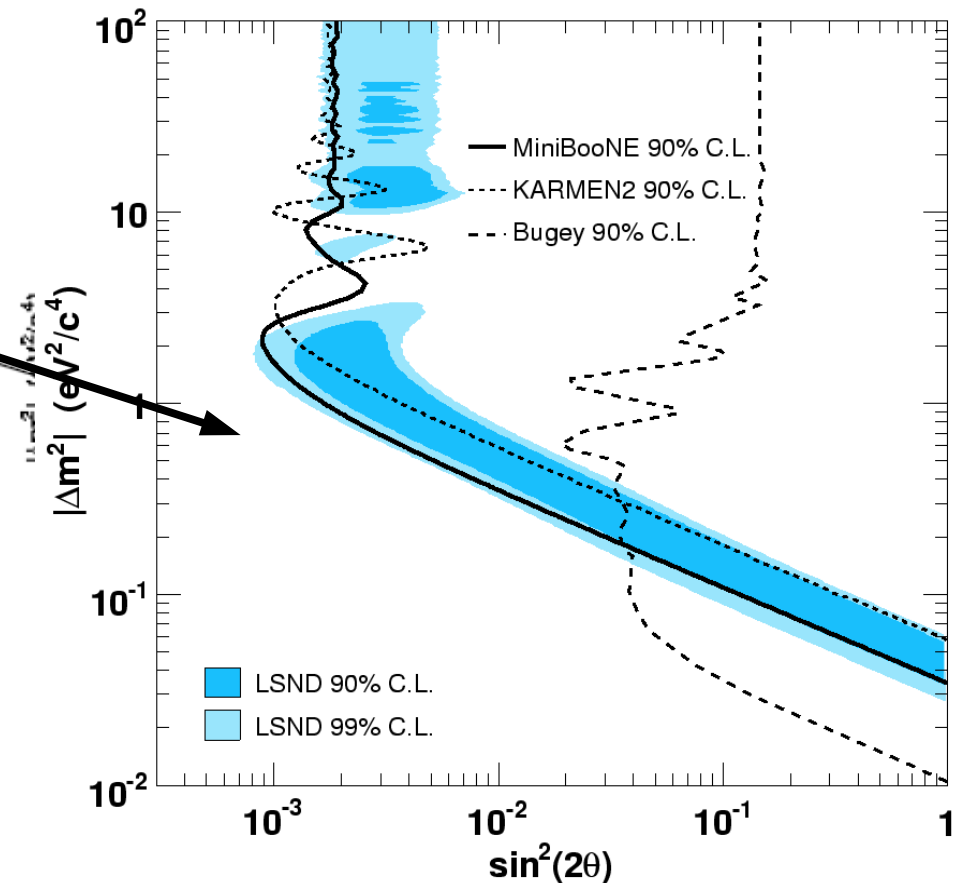
Phys. Rev. Lett. 98, 231801 (2007)



No evidence for  $\nu_{\mu} \rightarrow \nu_e$  appearance in the analysis region

## track-based analysis:

Counting Experiment:  $475 < E_{\nu} < 1250$  MeV  
 data: 380 events  
 expectation:  $358 \pm 19$  (stat)  $\pm 35$  (sys)  
 significance:  $0.55 \sigma$



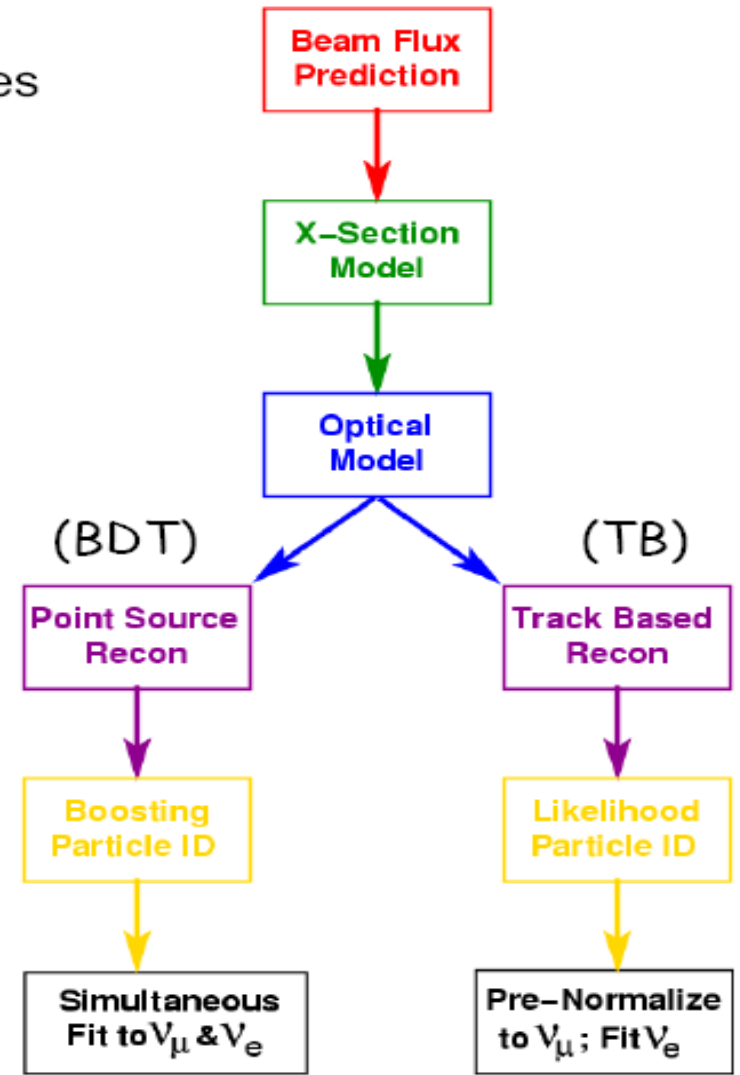
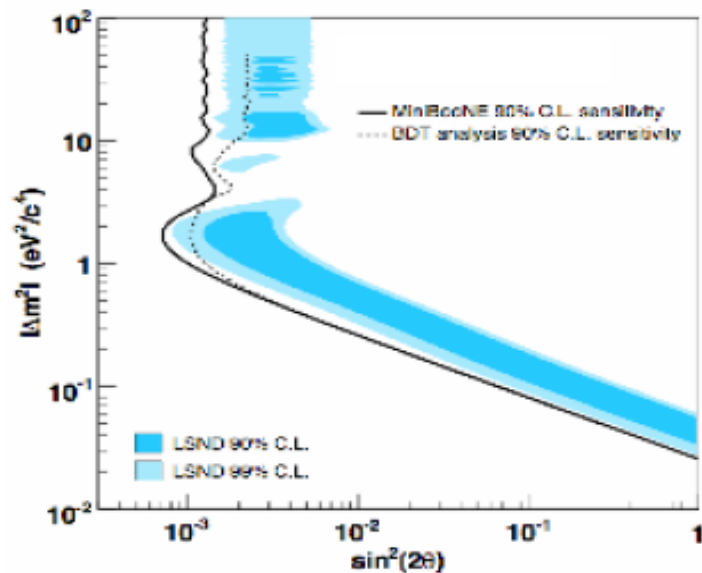
Neutrino 2008

# Oscillation Analysis Strategy

Two algorithms were used:

- “track-based likelihood” (TBL)  
Uses direct reconstruction of particle types and likelihood ratios for particle-ID
- “boosted decision trees” (BDT)  
Set of low-level variables combined with BDT algorithm -> PID “score”

- In the end, the TB analysis had slightly better sensitivity, so was used for primary results.





# Combining $\nu_e$ BDT + $\nu_e$ TBL Samples



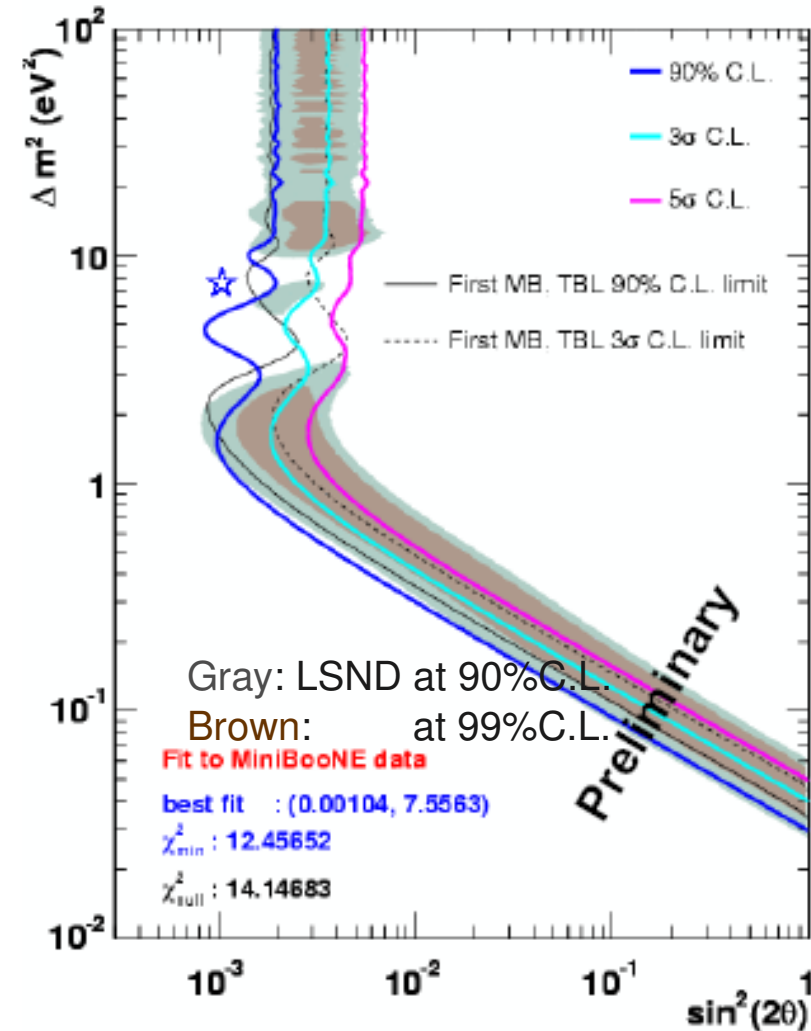
paper at draft stage

The combination of the two  $\nu_e$  samples gives an increase in coverage in the region  $\Delta m^2 < 1 \text{ eV}^2$ .

Differences in the details are due to the specific fluctuations in the data samples and the interplay with correlations among them.

The combination yields a consistent result.

Limits from fits to open data



10%-30% improvement in 90% C.L. limit below  $\sim 1 \text{ eV}^2$ .

# Global data analysis



- Combine results from several experiments : [LSND](#), [KARMEN2](#), [MiniBooNE](#), [Bugey](#)

- **Compatibility**

- How probably is it that all experimental results come from the same underlying 2-ν oscillation hypothesis?

- $\Delta\chi^2 = \Delta\chi^2_{\text{exp1}} + \Delta\chi^2_{\text{exp2}} + \Delta\chi^2_{\text{exp3}} + \dots$

- Robust search

- **Allowed regions**

- Indicate where oscillation parameters would lie, at a given CL, assuming all experiments results can arise in a framework of 2-ν osc.
- The compatibility is the metric for the validity of this assumption.

[arXiv:0805.1764 \[hep-ex\], submitted to Phys. Rev. D](#)

Method : M.Maltoni, T. Schwetz, Phys. Rev. D 68, 033020(2003).

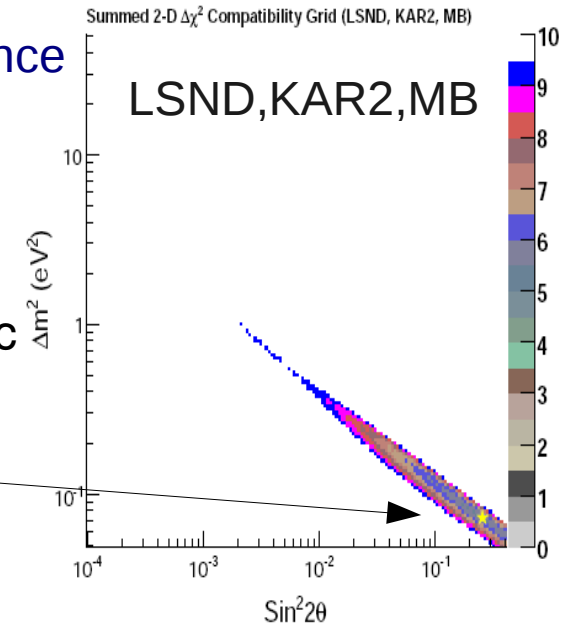
## Global Fits to Experiments

| LSND | KARMEN2 | MB | Bugey | Max. Compat % | $\Delta m^2$ | $\text{Sin}^2 2\theta$ |
|------|---------|----|-------|---------------|--------------|------------------------|
| X    | X       | X  |       | 25.36         | 0.072        | 0.256                  |
| X    | X       | X  | X     | 3.94          | 0.242        | 0.023                  |
|      |         |    |       |               |              |                        |
| X    |         | X  |       | 16.00         | 0.072        | 0.256                  |
| X    |         | X  | X     | 2.14          | 0.253        | 0.023                  |
|      |         |    |       |               |              |                        |
|      | X       | X  |       | 73.44         | 0.052        | 0.147                  |
|      | X       | X  | X     | 27.37         | 0.221        | 0.012                  |

- ▶ Combination of  $\nu_e$  appearance searches (non-reactor)

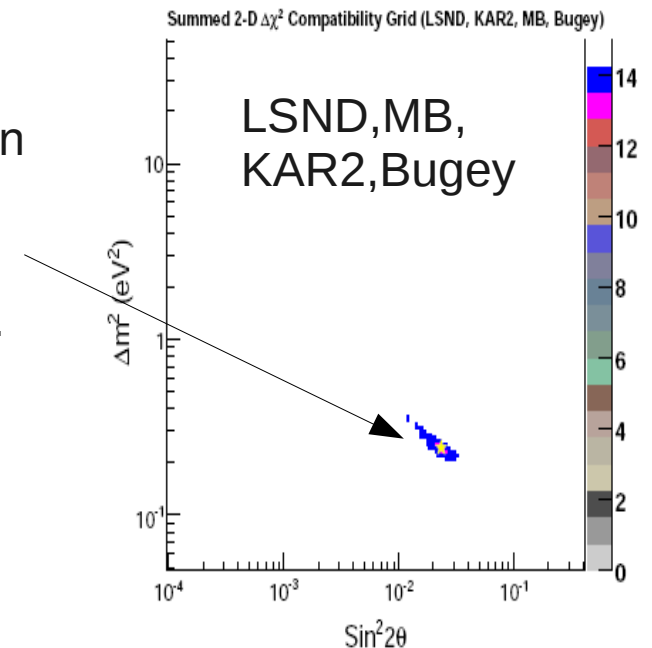
25.36% compatible with having come from 2- $\nu$  osc

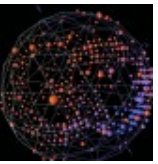
Best fit point is excluded area by Bugey.



- ▶ Combination of all

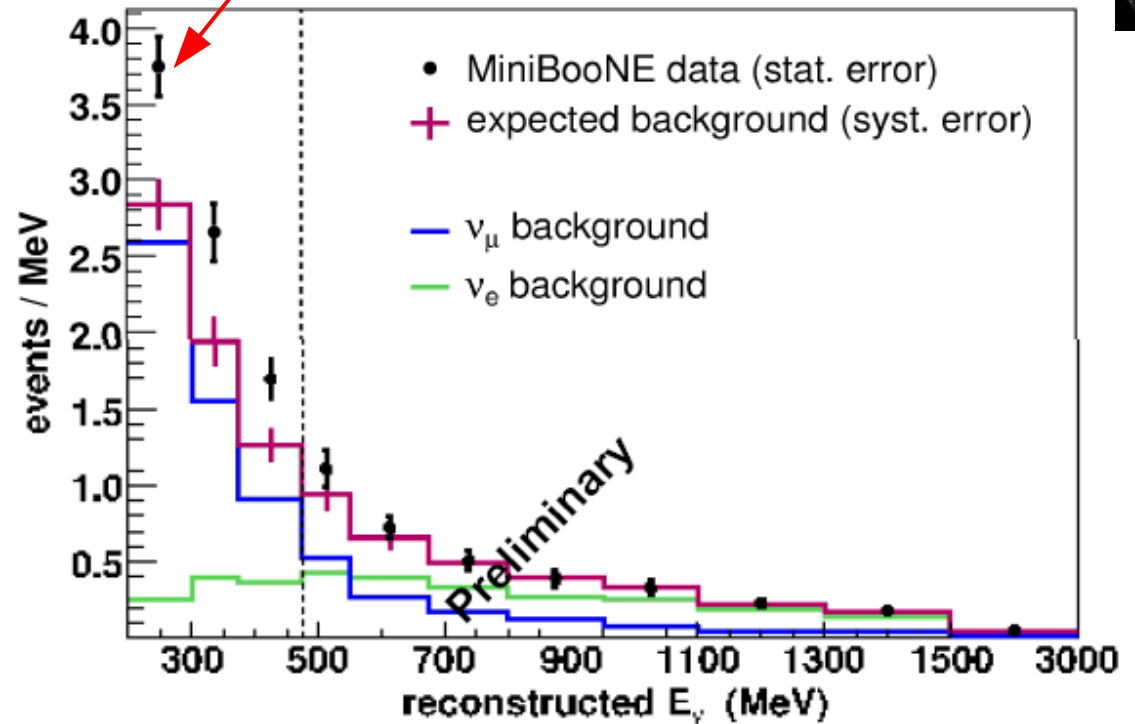
There is no more than 3.94% compatible (chance) with having resulted from 2- $\nu$  osc.





# Low Energy $\nu_e$ Candidate Excess

Extends the analysis to lower energies



- No significant excess at higher E, where  $\nu_e$  bkgd dominates.

- Largest backgrounds at lower E are  $\nu_\mu$ -induced, in particular:

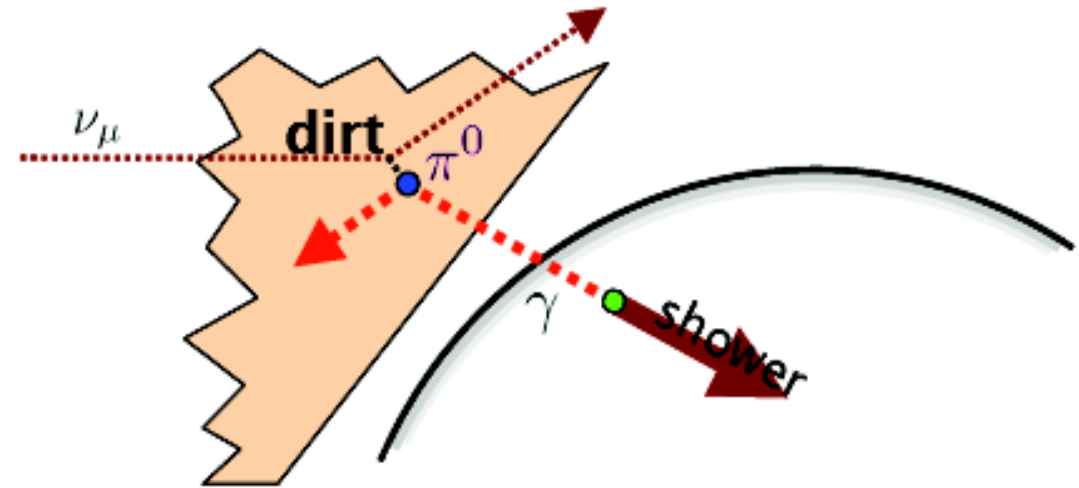
- NC  $\pi^0$
- NC  $\Delta \rightarrow N\gamma$
- Dirt

|                   | reconstructed neutrino energy bin (MeV) |         |          |
|-------------------|---|---------|----------|
|                   | 200-300                                 | 300-475 | 475-1250 |
| Data              | 375±19                                  | 369±19  | 380±19   |
| total background  | 284±25                                  | 274±21  | 358±35   |
| $\nu_e$ intrinsic | 26                                      | 67      | 229      |
| $\nu_\mu$ induced | 258                                     | 207     | 129      |
| Data-MC           | 91±31                                   | 95±28   | 22±40    |

# Updates to low Energy $\nu_e$ cut

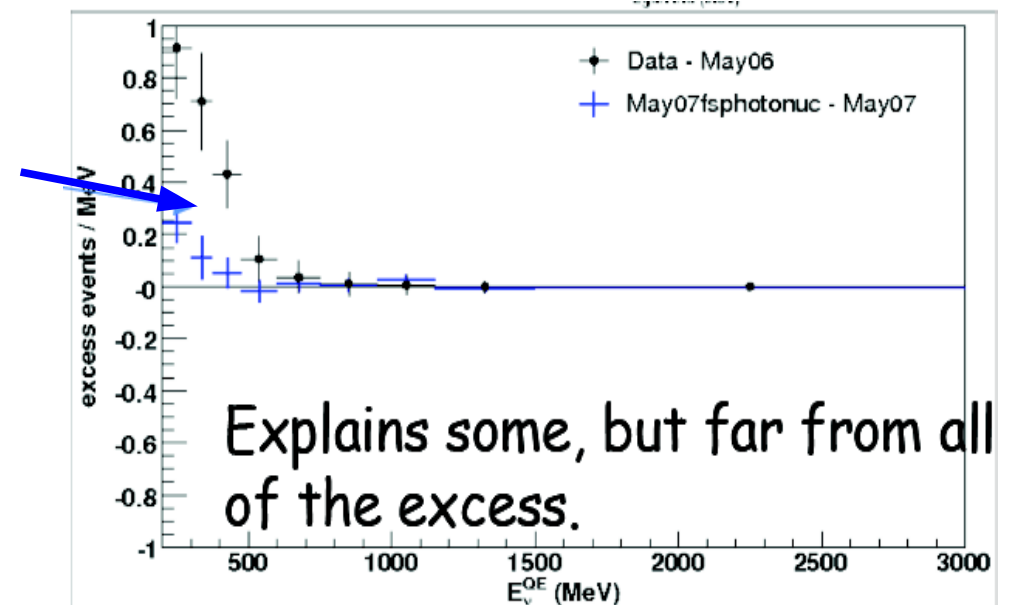


- Removing BG from neutrino interactions in the dirt outside the tank



- Adding this cut and photonuclear absorption of  $\pi^0$  production into MC

Clearly, more evidence is needed to quantify/verify the excess...



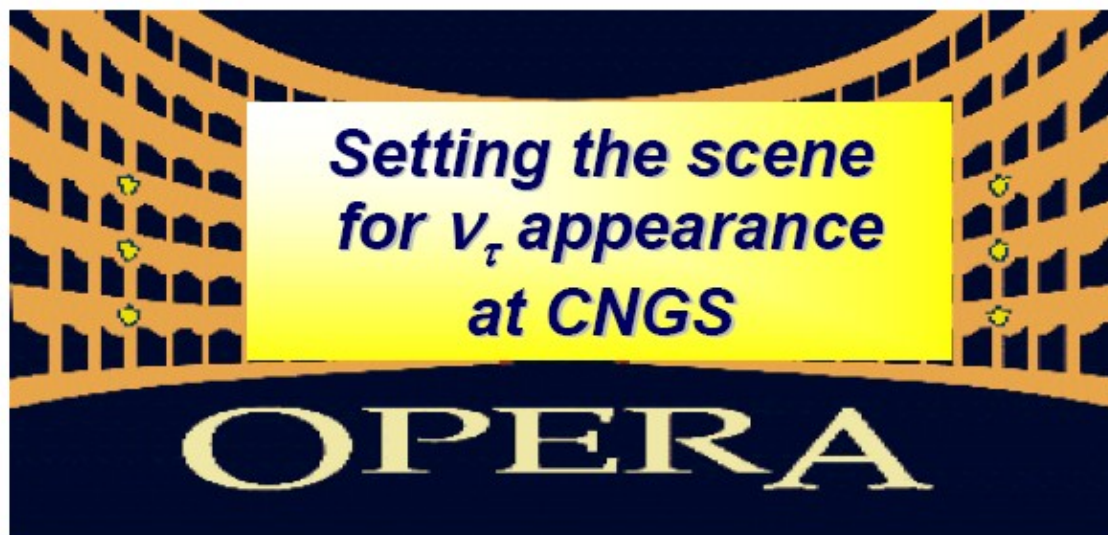
# Conclusion and Future



- No evidence for  $\nu_{\mu} \rightarrow \nu_e$  appearance in the analysis region
- Global fits with other experiments
- Update of low E  $\nu_e$  candidates

## MiniBooNE Present and Future

- Taken  $\sim 6.6 \times 10^{20}$  POT in neutrino mode
  - Making suite of cross-section measurements
  - Searching for various neutrino oscillations
  - Publications coming out
- Taken  $\sim 2.5 \times 10^{20}$  POT in anti-neutrino mode
  - Making suite of cross-section measurements
  - Searching for anti-neutrino disappearance
- In Nov 2007 request granted for extra running for an anti-nue appearance search
  - LSND result was an indication of anti-nue appearance
  - Extra  $\sim 2.5 \times 10^{20}$  POT (making grand total of  $\sim 5 \times 10^{20}$  POT)
  - Should take FY2008 and FY2009 running



**G. Rosa**  
Sapienza University and INFN, Rome  
on behalf of  
**The OPERA Collaboration**  
(13 Countries, 35 Institutions, ~ 200 members)

- Belgium**  
IIHE(ULB-VUB) Brussels
- Bulgaria**  
Sofia
- Croatia**  
IFB Zagreb
- France**  
LAPP Annecy, IPNL Lyon,  
IRES Strasbourg
- Germany**  
Hamburg, Münster, Rostock
- Israel**  
Technion Haifa
- Italy**  
Bari, Bologna, LNF Frascati,  
L'Aquila, LNGS, Naples Federico II,  
Padova, Rome Sapienza, Salerno
- Japan**  
Aichi, Nagoya, Kobe,  
Toho, Utsunomiya
- Korea**  
Gyeongsang Jinju
- Russia**  
INR Moscow, LPI Moscow,  
ITEP Moscow, SINPMSU Moscow,  
JINR Dubna, Obninsk
- Switzerland**  
Bern, Neuchâtel, ETHZ Zurich
- Tunisia**  
UPHNE Tunis
- Turkey**  
METU Ankara

- Contents:**
- **Motivation, Design**
  - **Detector overview**
  - **At work with CNGS (& cosmics)**
  - **Location and study of neutrino events**  
(the 2007 *appetizer* run)
  - **About to start the full action**  
(the scheduled 2008 *physics* run, and beyond )

*Neutrino 2008*  
*Christchurch May 27<sup>th</sup> 2008*

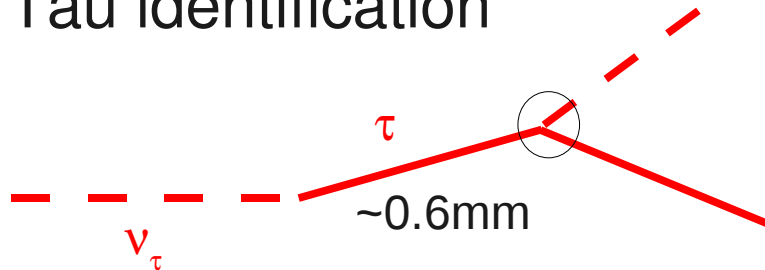
# Oscillation Project with Emulsion tRacking Apparatus



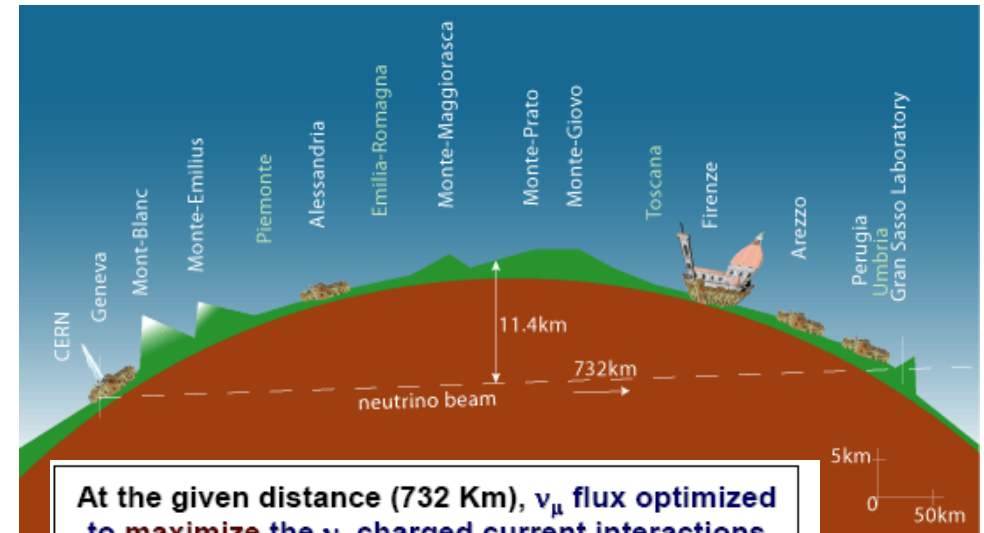
## Motivation

- Provide a direct evidence of  $\nu_\mu \leftrightarrow \nu_\tau$  oscillation look for  $\nu_\tau$  appearance in a pure  $\nu_\mu$  beam

## Tau identification



|                      |                                      |         |                     |
|----------------------|--------------------------------------|---------|---------------------|
| $\tau^- \rightarrow$ | $\mu^- \nu_\tau \nu_\mu$             | (17.4%) | } <b>Kink</b>       |
|                      | $e^- \nu_\tau \nu_e$                 | (17.8%) |                     |
|                      | $h^- \nu_\tau n \pi^0$               | (49.5%) | } <b>Multiprong</b> |
|                      | $\pi^+ \pi^- \pi^- \nu_\tau n \pi^0$ | (14.5%) |                     |



At the given distance (732 Km),  $\nu_\mu$  flux optimized to maximize the  $\nu_\tau$  charged current interactions  
 $\langle L/E \rangle = 43 \text{ Km/GeV}$

|                                   |                   |
|-----------------------------------|-------------------|
| $\langle E \nu_\mu \rangle$       | 17 GeV            |
| $(\nu_e + \bar{\nu}_e) / \nu_\mu$ | 0.87%             |
| $\bar{\nu}_\mu / \nu_\mu$         | 2.1%              |
| $\nu_\tau$ prompt                 | <b>negligible</b> |

- Need Large target mass, high spacial resolution, lepton ID

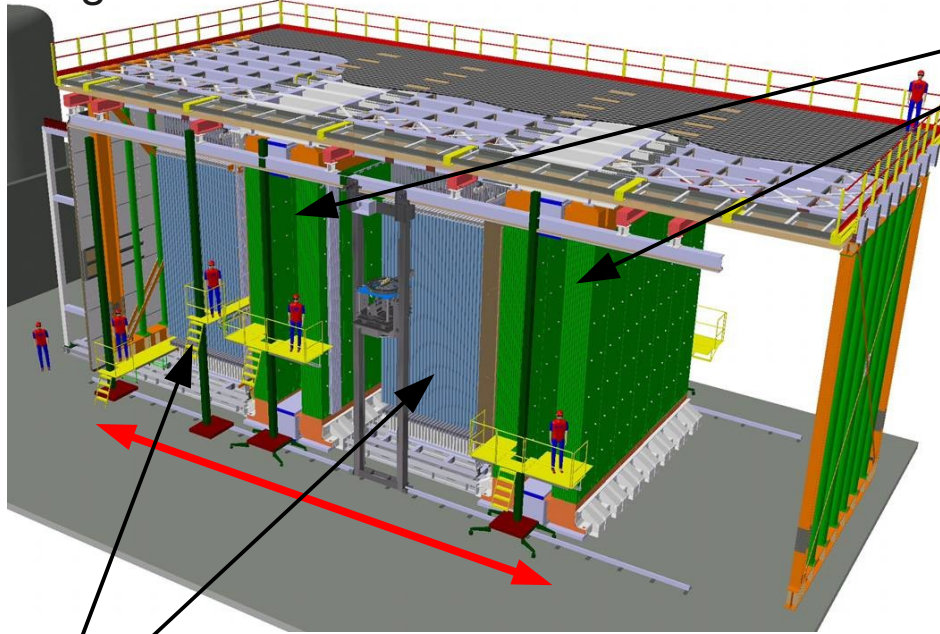
- $c\tau \sim 0.6 \text{ mm}$



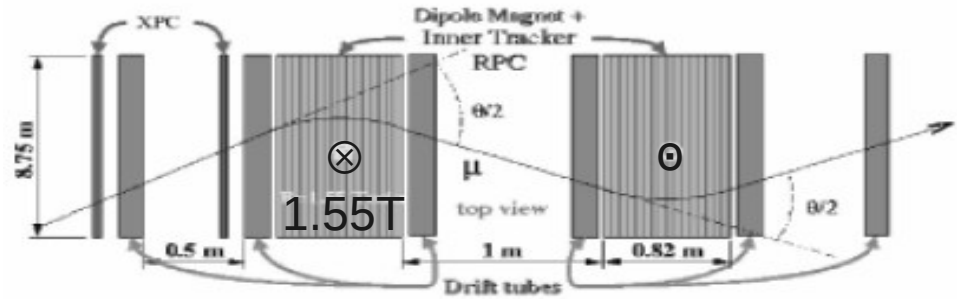
# OPERA detector overall



Total target mass : 1.35 kton



•  $\mu$  ID : magnetic spectrometer



• Data taking

- DAQ at Gran Sasso
- Emulsion scanning at EU & Japan

•  $\nu_\tau$  target and tracker : target tracker

• Emulsion Cloud Chamber bricks & scintillator strips

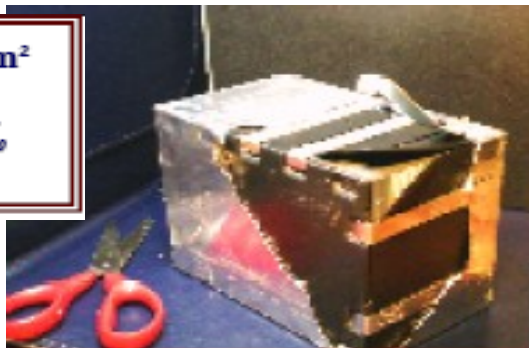
• A ECC brick has

- 57 Emulsion films
- 56 Pb sheets(1mm thick)

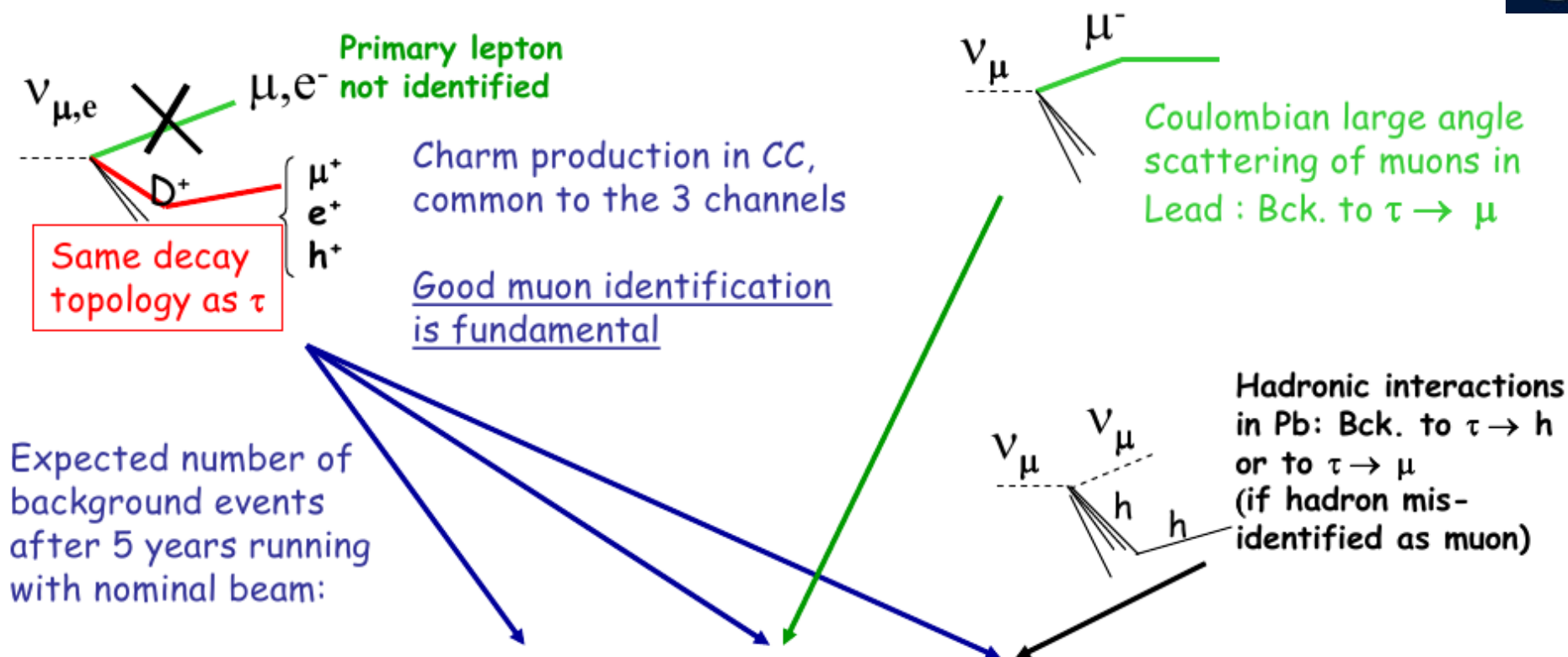
• ~154,000 ECC bricks in total

Naho Tanimoto

12.5x10.2 cm<sup>2</sup>  
8 cm thick  
8.4 kg, 10 X<sub>0</sub>  
(94% Pb)



# $\tau$ search : Backgrounds



|                              | $\tau \rightarrow e$ | $\tau \rightarrow \mu$ | $\tau \rightarrow h$ | $\tau \rightarrow 3h$ | Total |
|------------------------------|----------------------|------------------------|----------------------|-----------------------|-------|
| Charm background             | .173                 | .008                   | .134                 | .181                  | .496  |
| Large angle $\mu$ scattering |                      | .096                   |                      |                       | .096  |
| Hadronic background          |                      | .077                   | .095                 | .                     | .172  |
| Total per channel            | .173                 | .181                   | .229                 | .181                  | .764  |

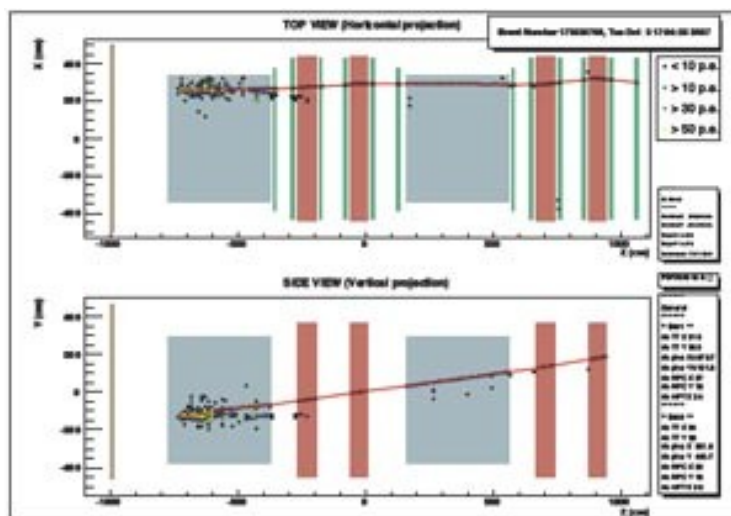
# Neutrino events in OPERA



- 2007 “appetizer” run : very short
  - 36 out of 38 events with good CS tagging
  - Wall finding, brick finding : OK
  - TT to CS position accuracy : ~ 3 cm

| Bricks at the start of the run<br>( 5/10/07) | Bricks at the end of the run<br>(29/10/07) | Integrated p.o.t      | In-target events (bricks +<br>scintillators + walls → extra<br>10% contribution) |
|--|--|-----------------------|--|
| 57040  | 64060                                      | $8.24 \times 10^{17}$ | <b>38</b> (31,5 expected)  |

- First event recorded in the OPERA target : Oct 2, 2007



# Future : sensitivity to $\nu_\tau$ search



About to start the full action  
(2008 schedule and beyond)  
Sensitivity to  $\nu_\tau$  search

**CNGS in 2008**  
After restart, setting-up etc:

June 16th to Nov. 11th :  
130 effective days  
@  $4 \times 10^{13}$  pot/cycle

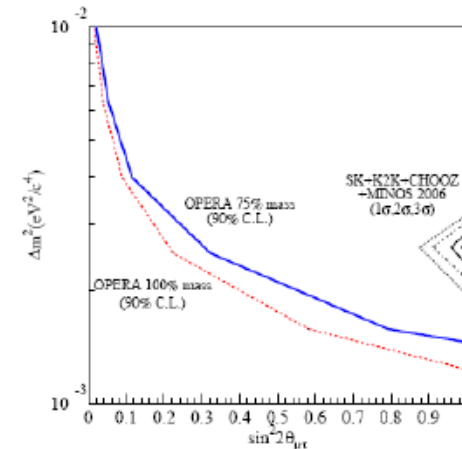
$2.4 \div 2.6 \times 10^{19}$  pot

~ 2500 interactions  
in OPERA target

~ 1.2  $\nu_\tau$  candidates expected!

First  $\bar{\nu}_\tau$  event expected in 1-2 yr

- 5 years CNGS data taking  
(  $4.5 \times 10^{19}$  pot/year )
- 1.35 ktons target mass



| $\tau^-$ decay channels    | Signal $\div \Delta m^2$<br>(Full mixing)  |  | Background  |
|----------------------------|--|--|-------------|
|                            | $2.5 \times 10^{-3}$<br>(eV <sup>2</sup> ) | $3.0 \times 10^{-3}$<br>(eV <sup>2</sup> ) |             |
| $\tau^- \rightarrow \mu^-$ | 2.9  | 4.2  | 0.17        |
| $\tau^- \rightarrow e^-$   | 3.5  | 5.0  | 0.17        |
| $\tau^- \rightarrow h^-$   | 3.1  | 4.4  | 0.24        |
| $\tau^- \rightarrow 3h$    | 0.9  | 1.3  | 0.17        |
| <b>ALL</b>                 | <b>10.4</b>                                | <b>15.0</b>                                | <b>0.76</b> |



# Conclusion and Future



- Detector is about to fully installed
- First beam related event has been observed last October
- First  $\nu_{\tau}$  event is expected to be observed in 1-2 yr

# Grand Conclusion



Upside down Moon

## ● MINOS

- CC : atmospheric  $\nu$  osc, decay and decoherence are disfavored
- NC : haven't seen significant disappearance

## ● SK

- SKI+II analysis, SKIII result is coming soon, SKIV upgrade is on going

## ● MiniBooNE

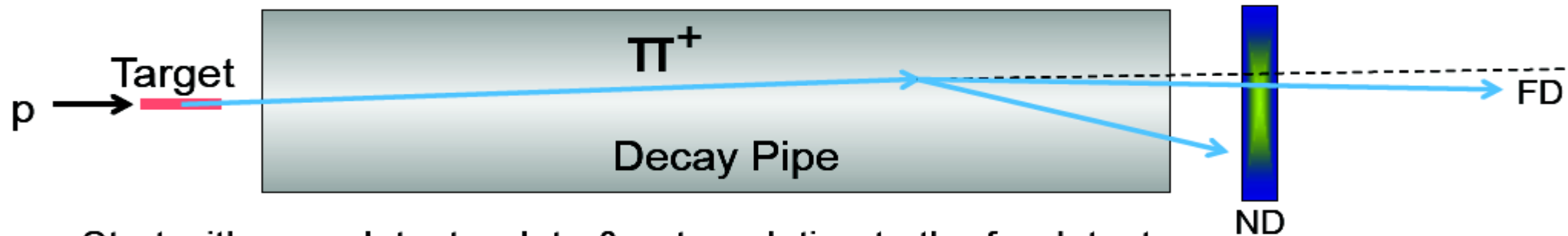
- $\nu_e$  appearance search --- combined analysis & low E

## ● OPERA

- Detector is almost fully installed. Will see first  $\nu_\tau$  in 1-2 yr.

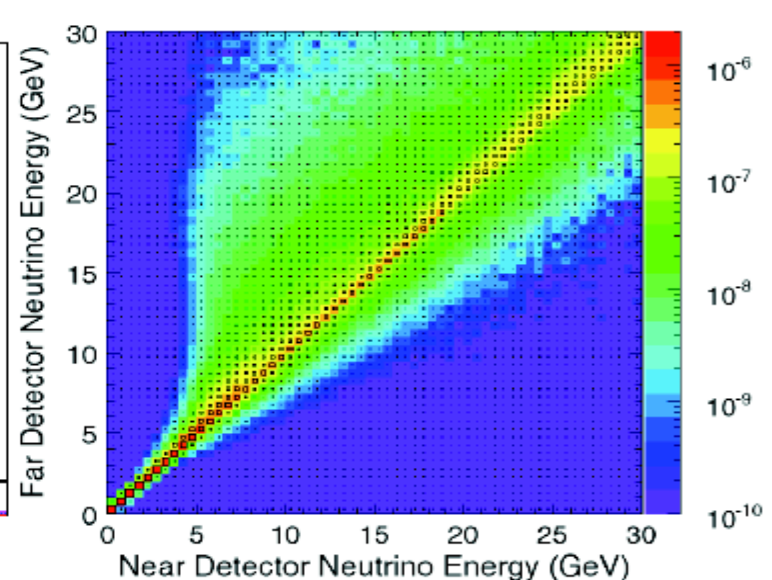
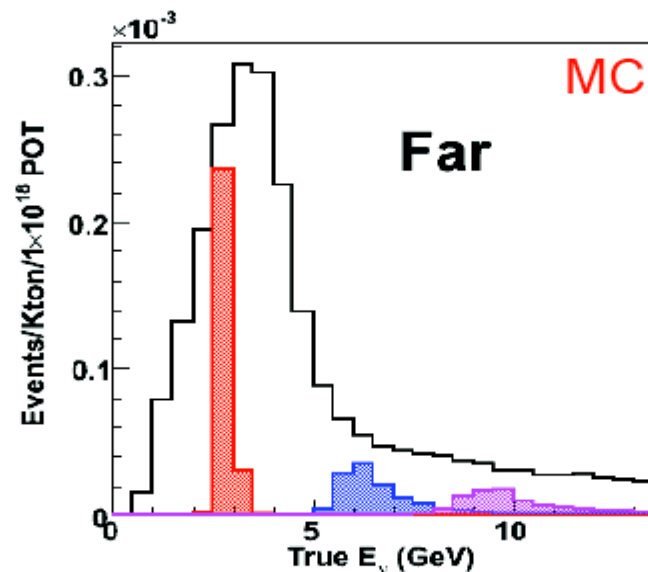
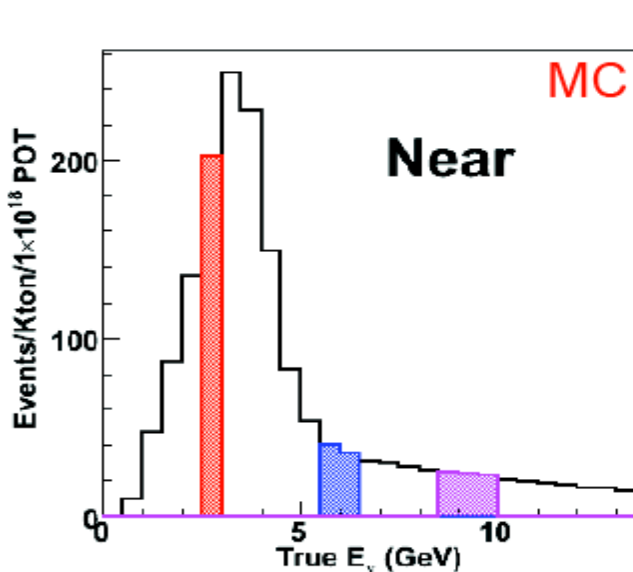
# Supplements

# Near to Far Extrapolation



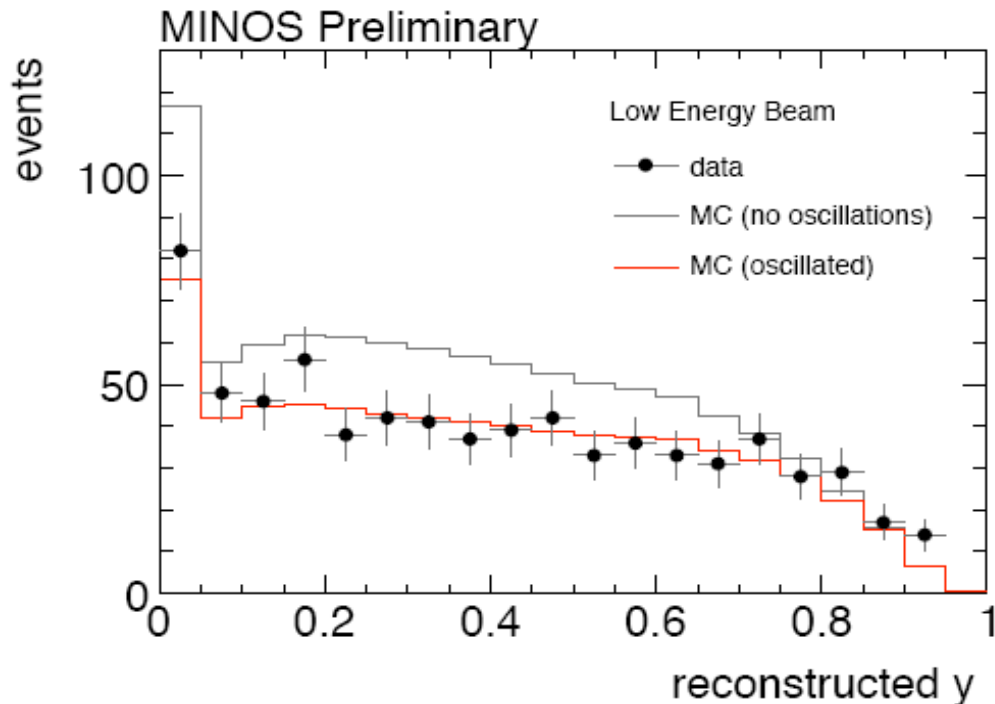
Start with near detector data & extrapolation to the far detector

- Use Monte Carlo to provide corrections due to energy smearing and acceptance
- Encode pion decay kinematics & the geometry of the beamline into a **matrix** used to transform the ND spectrum into the FD energy spectrum





# Far Detector Distributions - LE



Oscillation fit data:

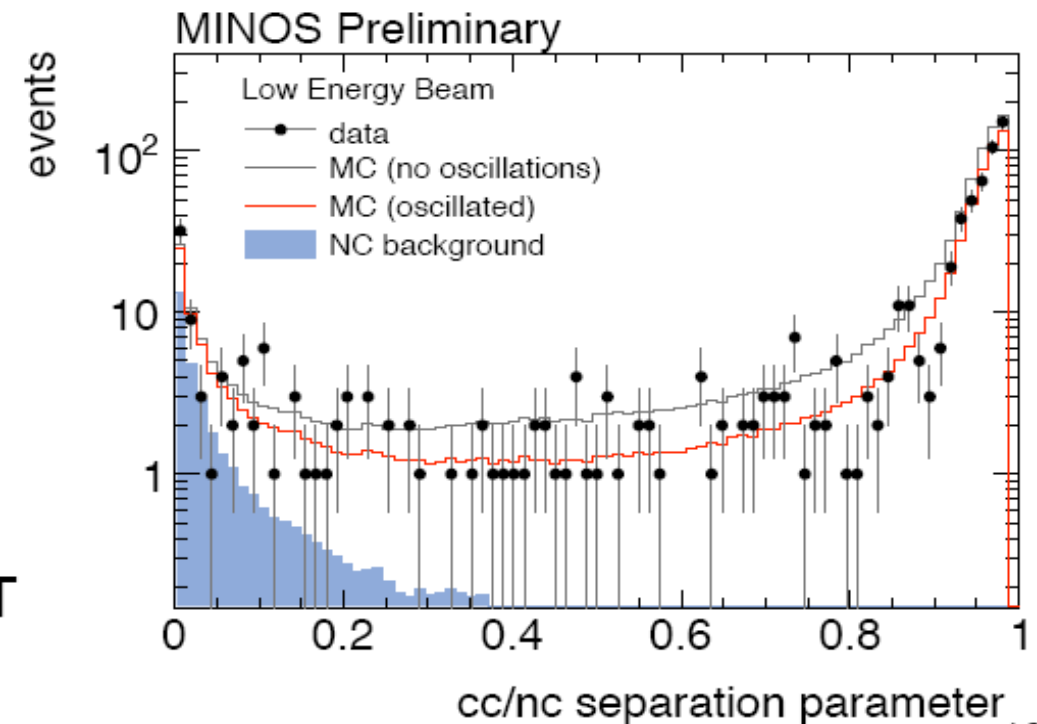
Run I LE data:  $1.27 \times 10^{20}$  POT

Run II LE data:  $1.94 \times 10^{20}$  POT

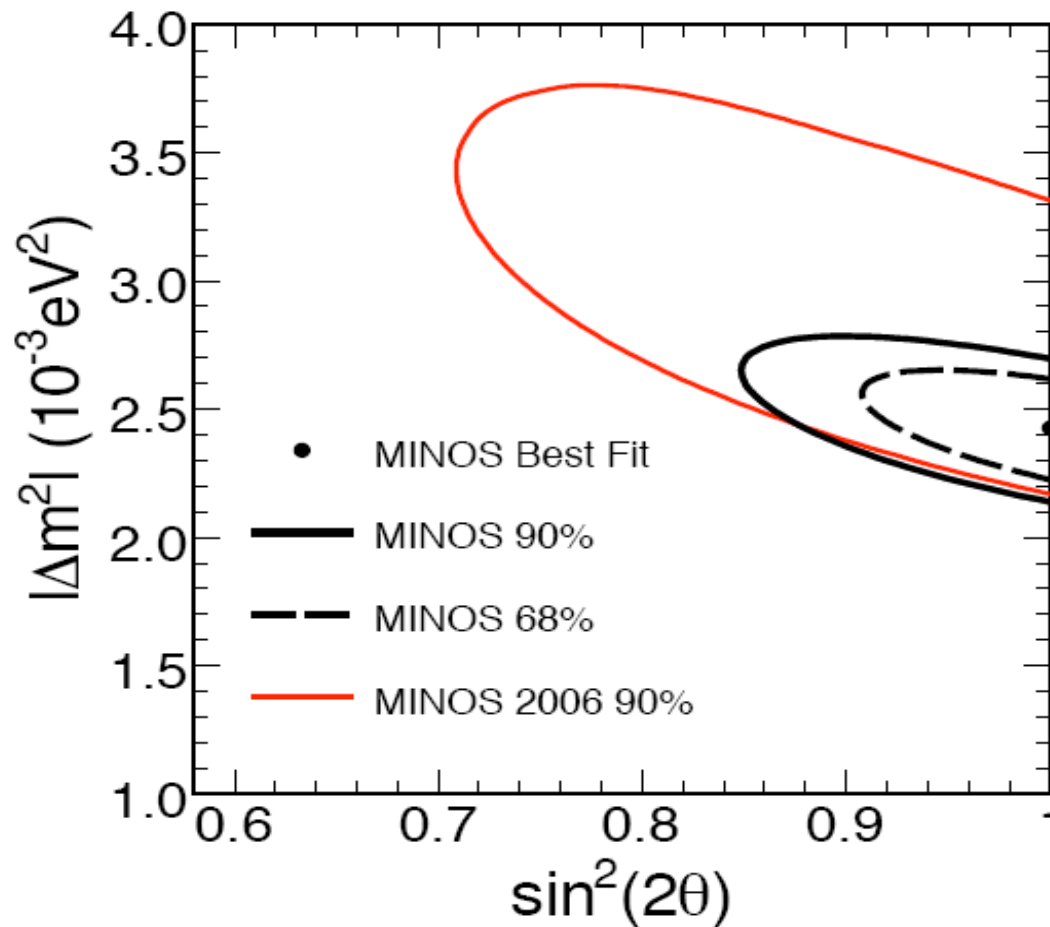
Run II HE data:  $0.15 \times 10^{20}$  POT

Good agreement is also seen in reconstructed quantities.

- Numbers of tracks/showers
- Track energies
- Shower energies
- Kinematic distributions



# Comparison with 2006 Result



Run I:  $|\Delta m^2| = (2.57^{+0.19}_{-0.20}) \times 10^{-3} \text{ eV}^2, \sin^2(2\theta) = 1.0$

Run II:  $|\Delta m^2| = (2.32^{+0.15}_{-0.16}) \times 10^{-3} \text{ eV}^2, \sin^2(2\theta) = 1.0$

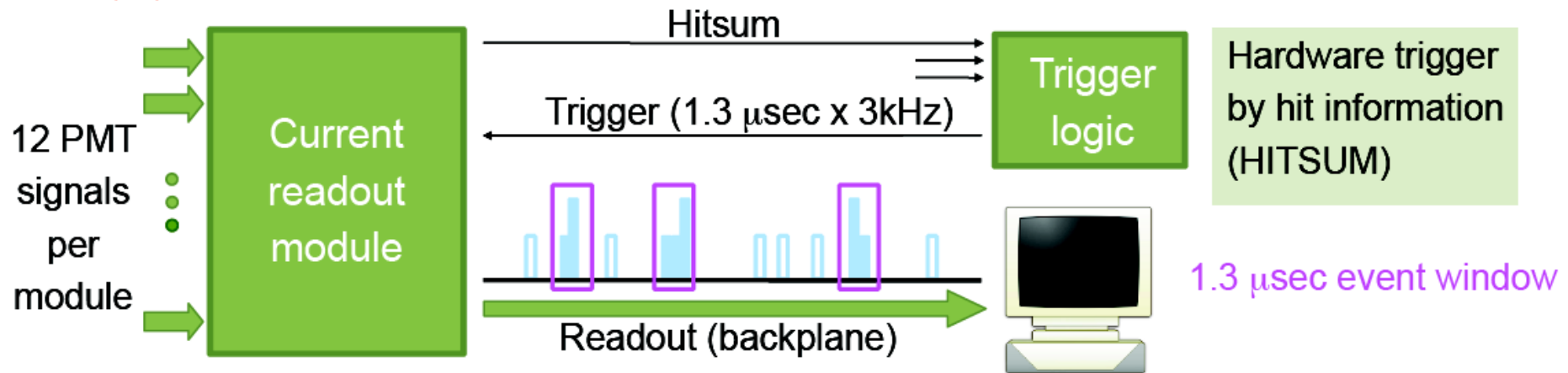
Improved:  
• Reconstruction  
• Monte Carlo  
• Analysis

# NC Systematic Errors

- **Normalization:  $\pm 4\%$** 
  - POT counting, Near/Far reconstruction efficiency, fiducial mass
- **Relative Hadronic Calibration:  $\pm 3\%$** 
  - Inter-Detector calibration uncertainty
- **Absolute Hadronic Calibration:  $\pm 11\%$** 
  - Hadronic Shower Energy Scale( $\pm 6\%$ ), Intranuclear rescattering( $\pm 10\%$ )
- **Muon energy scale:  $\pm 2\%$** 
  - Uncertainty in  $dE/dX$  in MC
- **CC Contamination of NC-like sample:  $\pm 15\%$**
- **NC contamination of CC-like sample:  $\pm 25\%$**
- **Cross-section uncertainties:**
  - $m_A$  (qe) and  $m_A$  (res):  $\pm 15\%$
  - KNO scaling:  $\pm 33\%$
- **Poorly reconstructed events:  $\pm 10\%$**
- **Near Detector NC Selection:  $\pm 8\%$  in 0-1 GeV bin**
- **Far Detector NC Selection:  $\pm 4\%$  if  $E < 1$  GeV,  $< 1.6\%$  if  $E > 1$  GeV**
- **Beam uncertainty:  $1\sigma$  error band around beam fit results**

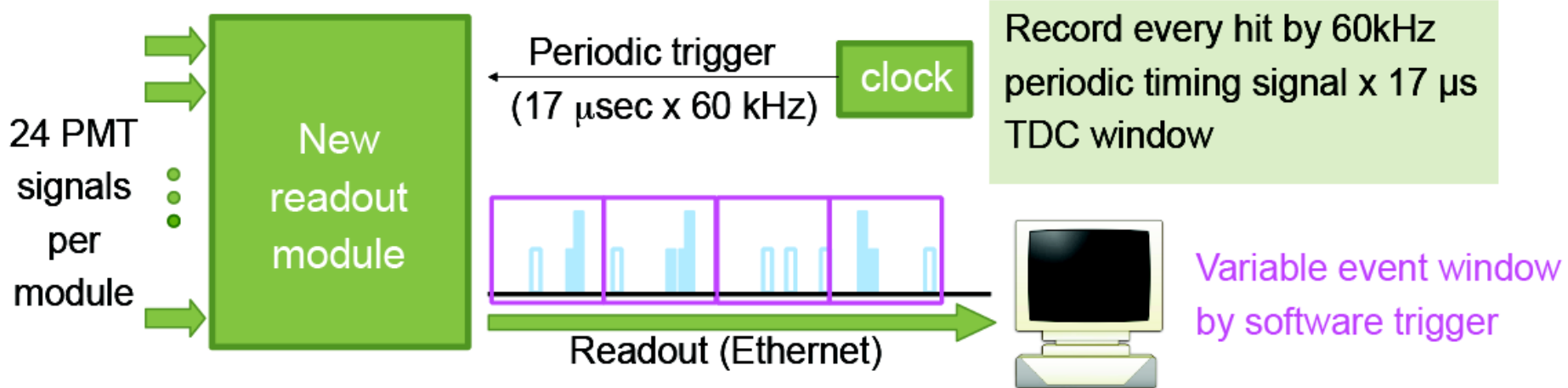
# New DAQ readout scheme

## SK-I,II,III DAQ scheme:



## SK-IV DAQ scheme:

No hardware trigger. Instead record all hits and apply software triggers.

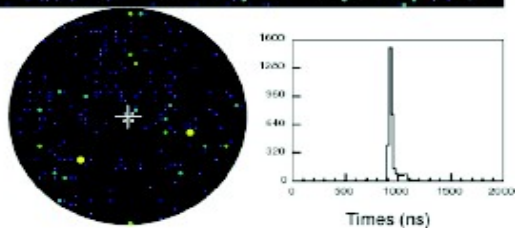
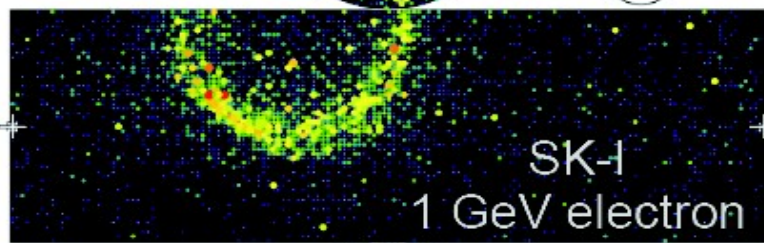
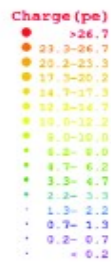
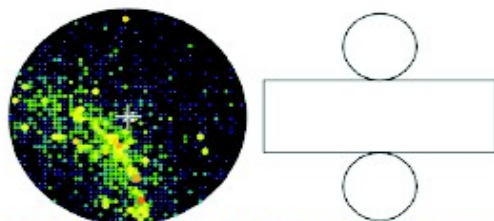


# Atmospheric $\nu$ 's at Super-K (simulated events)



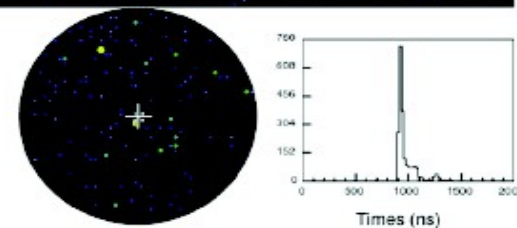
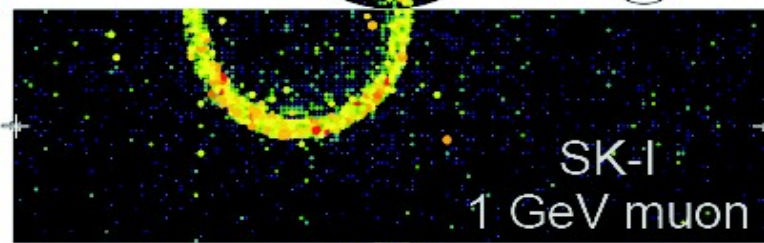
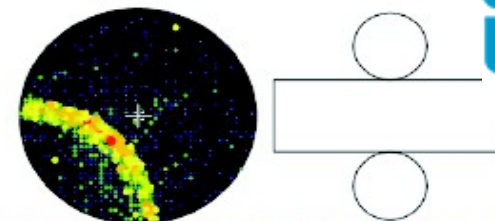
## Super-Kamiokande I

Run 0 Sub 0 Ev 1  
 98-05-19:03:56:17  
 Inners: 3349 hits, 9190 pE  
 Outers: 0 hits, 0 pE (in-time)  
 Trigger: 30: 0x00  
 S Wall: 1490.0 cm  
 Fully-Contained Mode



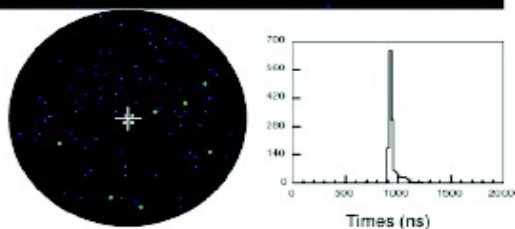
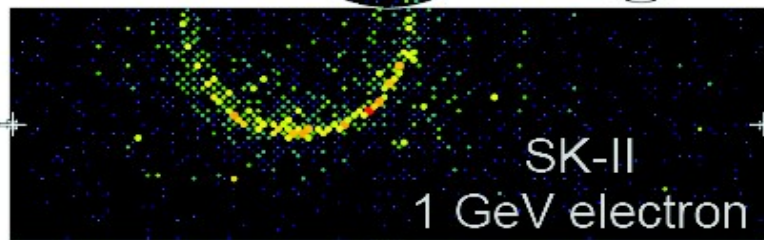
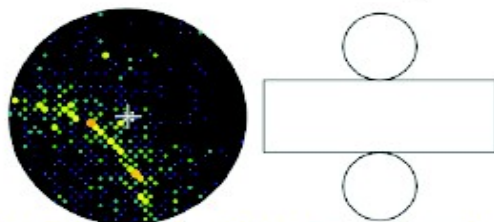
## Super-Kamiokande I

Run 0 Sub 0 Ev 2  
 98-05-19:03:56:30  
 Inners: 2132 hits, 4150 pE  
 Outers: 0 hits, 0 pE (in-time)  
 Trigger: 30: 0x00  
 S Wall: 1490.0 cm  
 Fully-Contained Mode



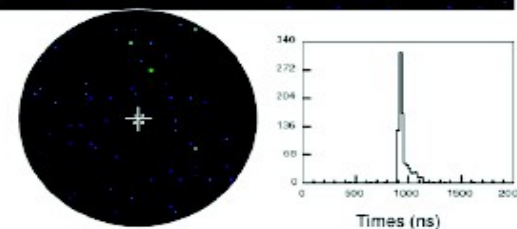
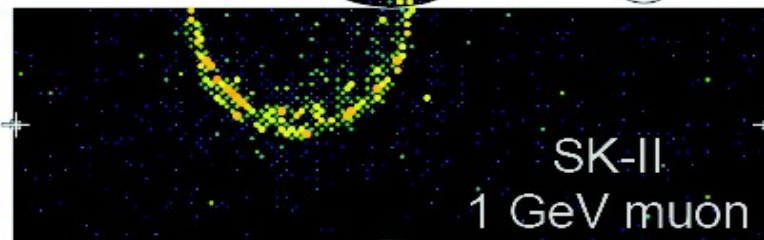
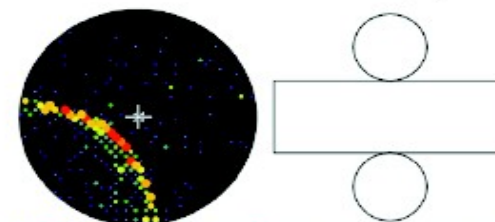
## Super-Kamiokande II

Run 0 Sub 0 Ev 1  
 98-05-19:04:05:46  
 Inners: 1414 hits, 3541 pE  
 Outers: 0 hits, 0 pE (in-time)  
 Trigger: 30: 0x00  
 S Wall: 1490.0 cm  
 Fully-Contained Mode



## Super-Kamiokande II

Run 0 Sub 0 Ev 2  
 98-05-19:04:06:05  
 Inners: 917 hits, 2370 pE  
 Outers: 0 hits, 0 pE (in-time)  
 Trigger: 30: 0x00  
 S Wall: 1490.0 cm  
 Fully-Contained Mode



# Atmospheric $\nu$ Analyses

## Exotic Scenarios

| Model  | Exclusion level or limit       |
|--|--------------------------------|
| $\nu_\mu \rightarrow \nu_s$ oscillation                                  | SK-I+II: $7.3\sigma$           |
| Admixture (2+2 hierarchy)  | SK-I+II: 23% allowed           |
| Decay I ( $\sin^4\theta + \cos^4\theta e^{-\alpha L/E}$ )                | SK-I+II: $17\sigma$            |
| Decay II ( $\sin^2\theta + \cos^2\theta e^{-\alpha L/2E}$ ) <sup>2</sup> | SK-I+II: $3.9\sigma$           |
| Decay Limit (GeV <sup>2</sup> )  | SK-I+II: $6.5 \times 10^{-23}$ |
| Decoherence ( $(1+e^{-\beta L/E})/2$ )                                   | SK-I+II: $4.2\sigma$           |
| Decoherence Limit (GeV)  | SK-I+II: $6.0 \times 10^{-24}$ |
| LIV Limit  | SK-I+II: $1.2 \times 10^{-24}$ |
| CPTV Limit (GeV)   | SK-I+II: $0.9 \times 10^{-23}$ |
| MaVaNs (various models)  | SK-I: $3.5-3.8\sigma$          |
| Non-Standard Interactions  | See poster by G. Mitsuka       |

Neutrinos frequently set stringent limits, although not usually testing exactly the same parameters.

e.g., cosmic ray spectrum LIV  $< 10^{-15}$ , NMR LIV  $< 10^{-22}$   
 $K^0\bar{K}^0$  CPTV  $< 10^{-18}$



# Zenith Angle Analysis (2-flavor)

Data binned according to:

event type  
 +  
 momentum  
 +  
 zenith angle

} 400 bins for SK-I  
 } 350 bins for SK-II

## Datasets

|              |           |
|--------------|-----------|
| SK-I FC/PC:  | 1489 days |
| SK-I Upmu:   | 1646 days |
| SK-II FC/PC: | 799 days  |
| SK-II Upmu:  | 828 days  |

$\chi^2$  fit in bins of zenith angle with systematic error pull terms:

$$\chi^2 = \sum_{i=1}^{N_{bins}} 2 \left( N_i^{exp} - N_i^{obs} + N_i^{obs} \ln \frac{N_i^{obs}}{N_i^{exp}} \right) + \sum_{j=1}^{N_{sys}} \left( \frac{\epsilon_j}{\sigma_j^{sys}} \right)^2$$

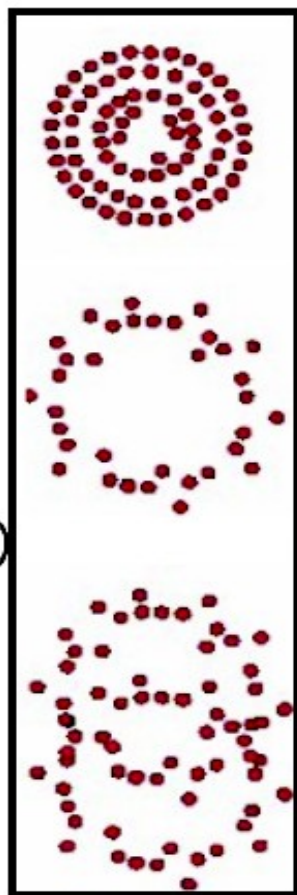
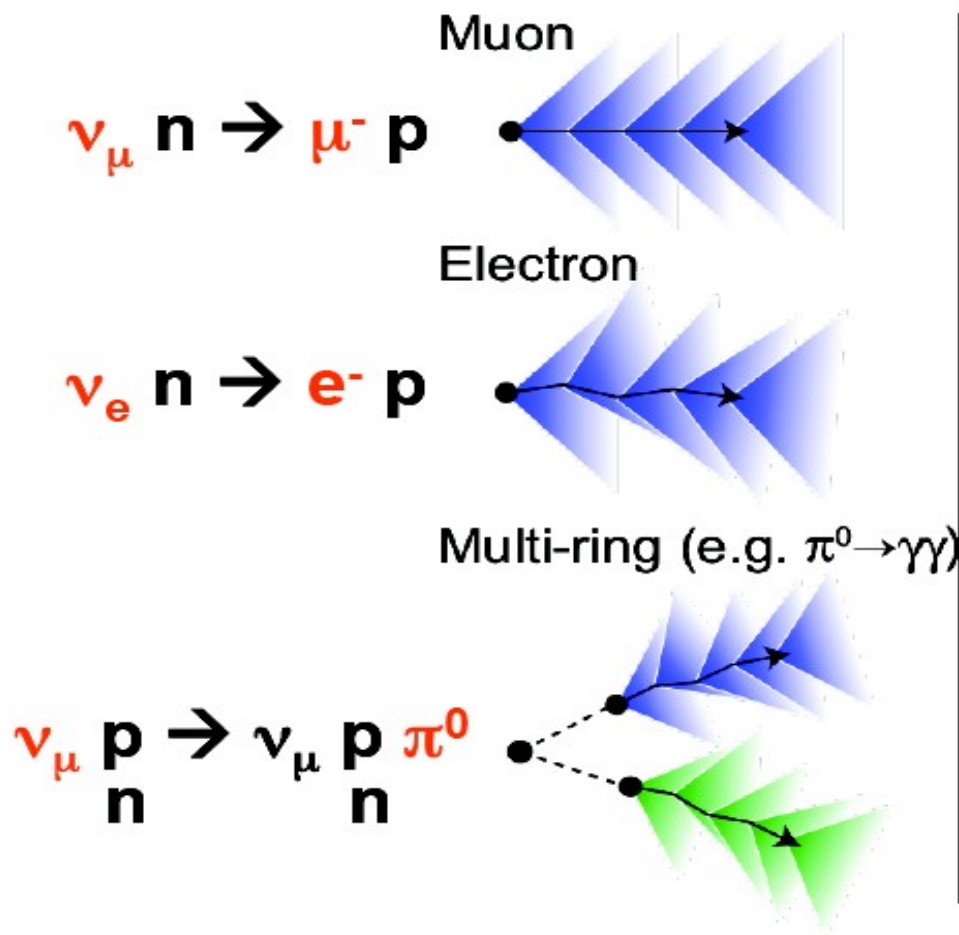
where  $N_i^{exp} = N_i^0 \cdot P(\nu_\alpha \rightarrow \nu_\beta) \left( 1 + \sum_{j=1}^{N_{sys}} f_j^i \epsilon_j \right)$

90 systematic error terms to account for uncertainties in:

|                      |                |
|----------------------|----------------|
| Neutrino flux        | Cross sections |
| Event reconstruction | Data reduction |

# Particle Identification

Čerenkov rings provide primary means of identifying products of  $\nu$  interactions in the detector

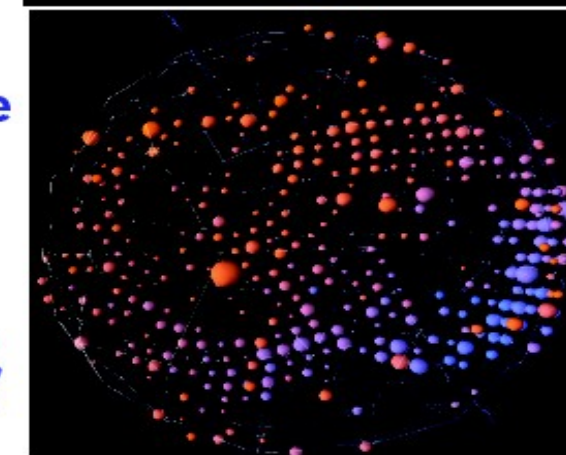
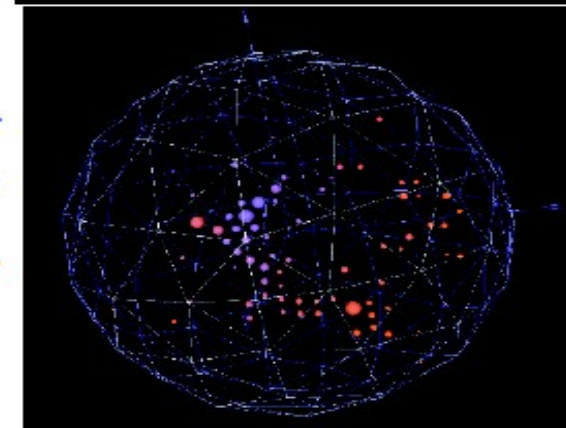
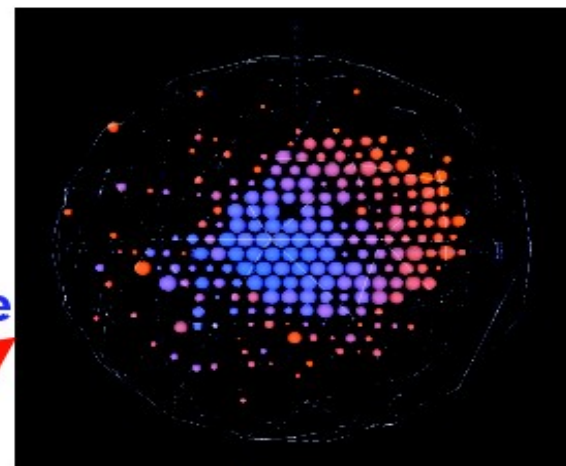


beam  $\mu$  candidate

$\mu$ -decay  $e^{-}$  candidate

beam  $\pi^0$  candidate

$\pi^0 \rightarrow \gamma\gamma$



Slide from Zelimir Djurcic's talk at PPC 2008