

# Studies of Neutrino Oscillations *with* and *without* Mass using Super-K

Wei Wang, Boston University  
Neutrino Workshop, Kashiwa, Feb 20, 2007

# Preaching Buddhism to Buddha

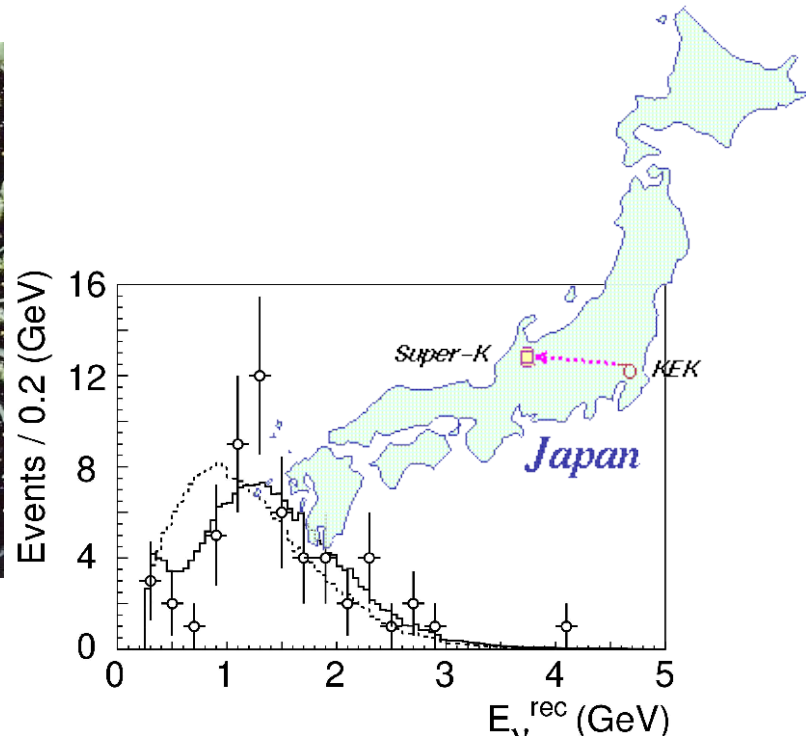
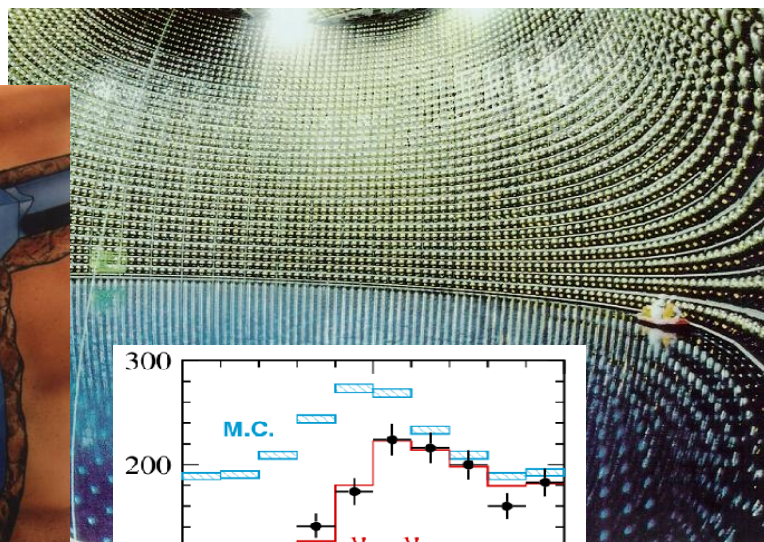
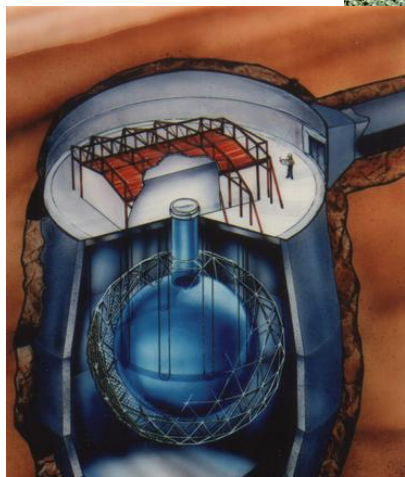


Syaka ni Seppou

- Teaching Grandmas to Suck Eggs

- 班門弄斧  
Ban-Men Nong-Fu

# An Era of Discovery in Neutrino Physics



The Standard "Blame"  $\rightarrow$

$$\begin{pmatrix} \nu_\alpha \\ \nu_\beta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_{m_a} \\ \nu_{m_b} \end{pmatrix}$$

$$\rightarrow P_{\nu_\alpha \rightarrow \nu_\alpha} = 1 - \sin^2 2\theta \sin^2 \frac{\Delta m^2 L}{4E}$$

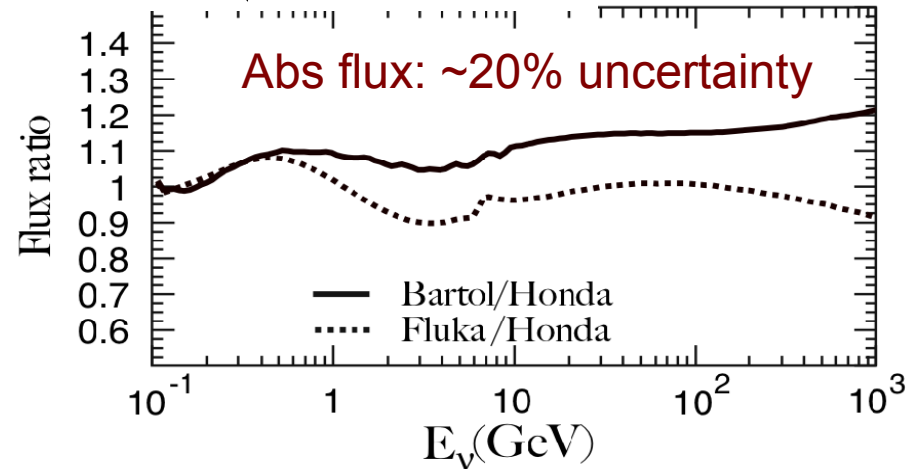
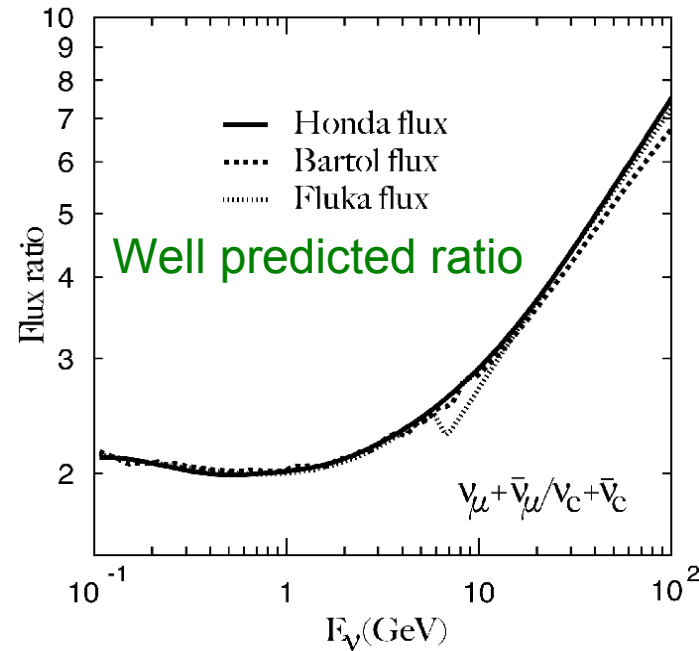
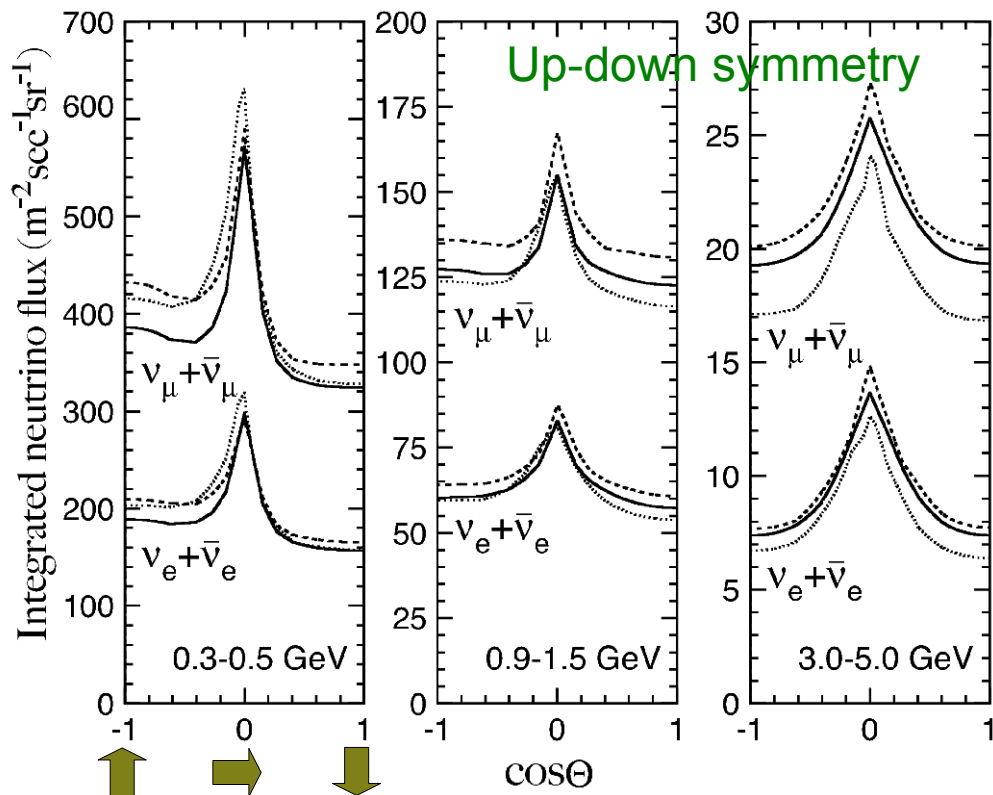
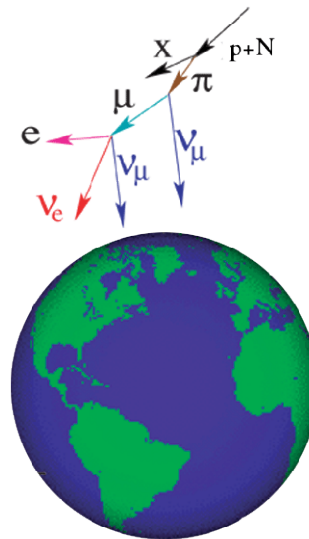


# Outline

- ♦ Atmospheric neutrinos, Super-K experiment and events
- ♦ Standard  $\nu_{\mu}$  -  $\nu_{\tau}$  oscillation analysis using zenith distributions
- ♦ Sterile neutrino as a alternative to tau neutrino
  - $\nu_{\mu}$  -  $\nu_{\tau}$  mixing vs  $\nu_{\mu}$  -  $\nu_s$  mixing
  - An admixture analysis
- ♦ Neutrino oscillations induced by the violations of Lorentz (LIV) invariance and CPT (CPTV) symmetry
  - Fit LIV and CPTV induced oscillation against Super-K data
  - Allowed limits of LIV and CPTV
- ♦ Summary and conclusions

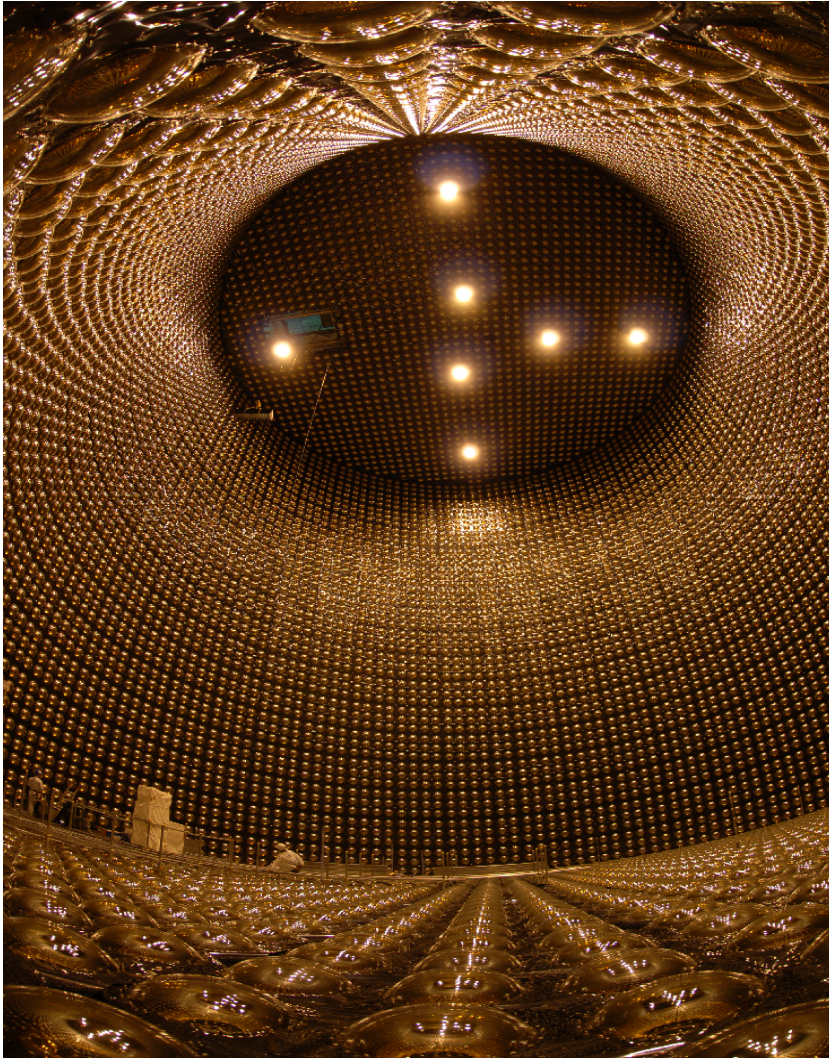
# Atmospheric Neutrinos

- A large uncertainty on the absolute flux
- Good knowledge on flavor ratio 😊
- Up-down symmetric 😊



# Super-Kamiokande Collaboration

- 140 collaborators from 35 institutes of 5 countries



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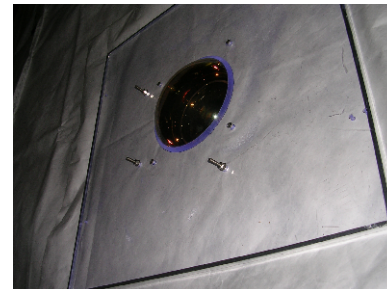
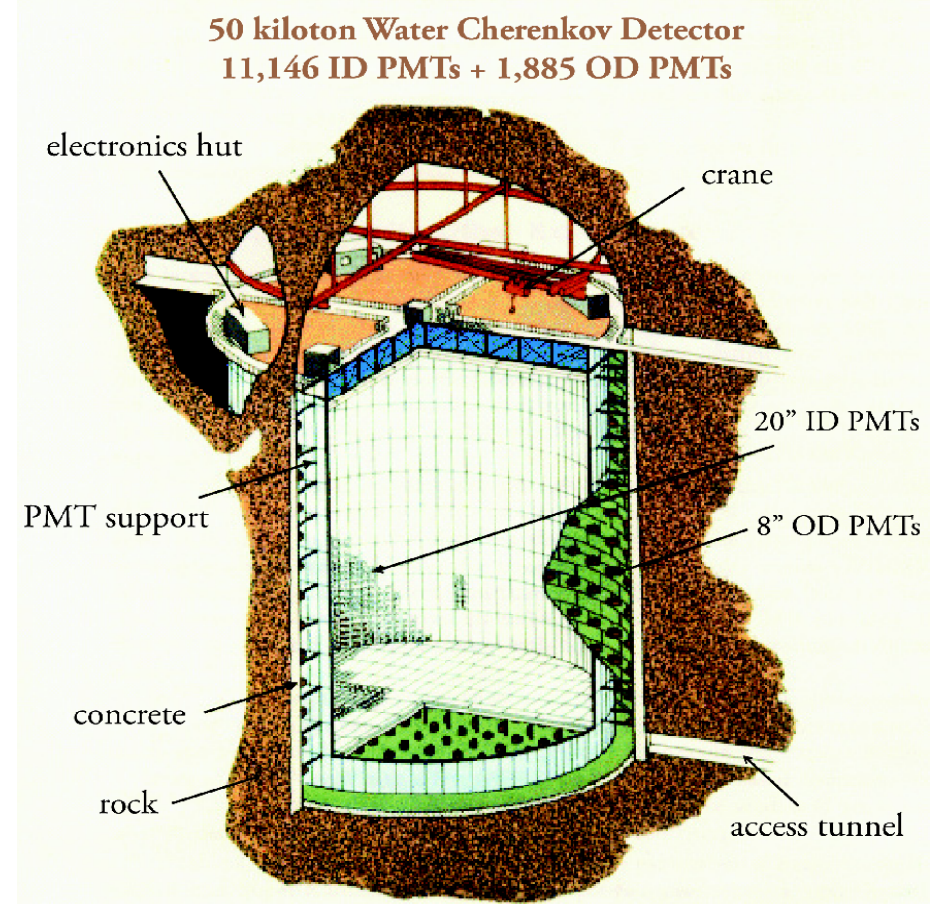
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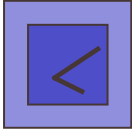

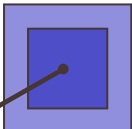
# Super-Kamiokande Experiment

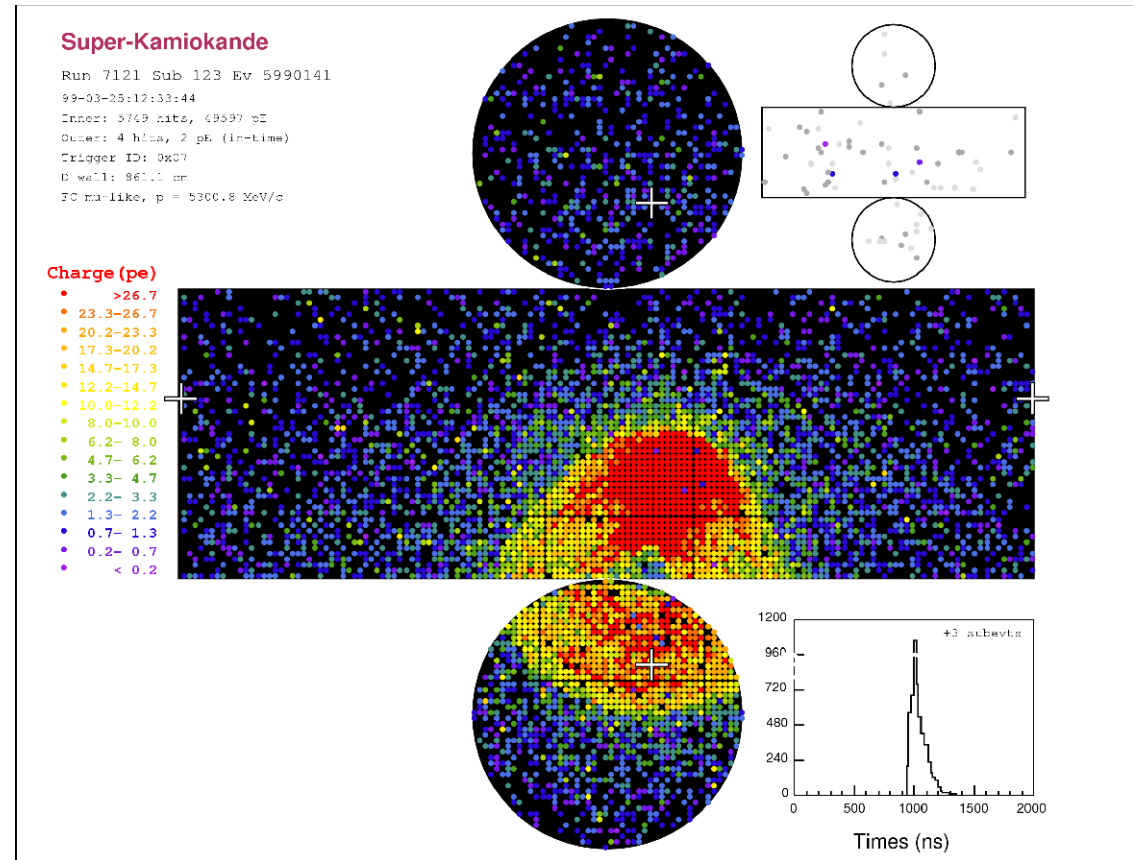
- A 50 kt water Cherenkov detector
  - Inner detector and outer detector optically separated
  - ID: 25in PMTs; gaps filled by black sheet
  - OD: 8in PMTs with wavelength shifters, wall covered by reflective Tyvek
- Operating periods
  - **SK-I: 1996 – 2001**
    - ✓ 1489 days livetime
    - ✓ ~40% ID coverage
  - **SK-II: 2003 – 2005**
    - ✓ 804 days livetime
    - ✓ Half ID tubes (acrylic&FRP)
    - ✓ ~20% coverage
  - **SK-III: since Summer 2006**
    - ✓ ID tubes (acrylic&FRP) fully recovered

this analysis



# Super-K Neutrino Events

- Neutrino interaction
  - charged particles
  - Cherenkov radiation
  - recorded by PMTs
- Neutrino event categories
  - Fully contained 
  - Partially contained 
  - Upward going  $\mu$  

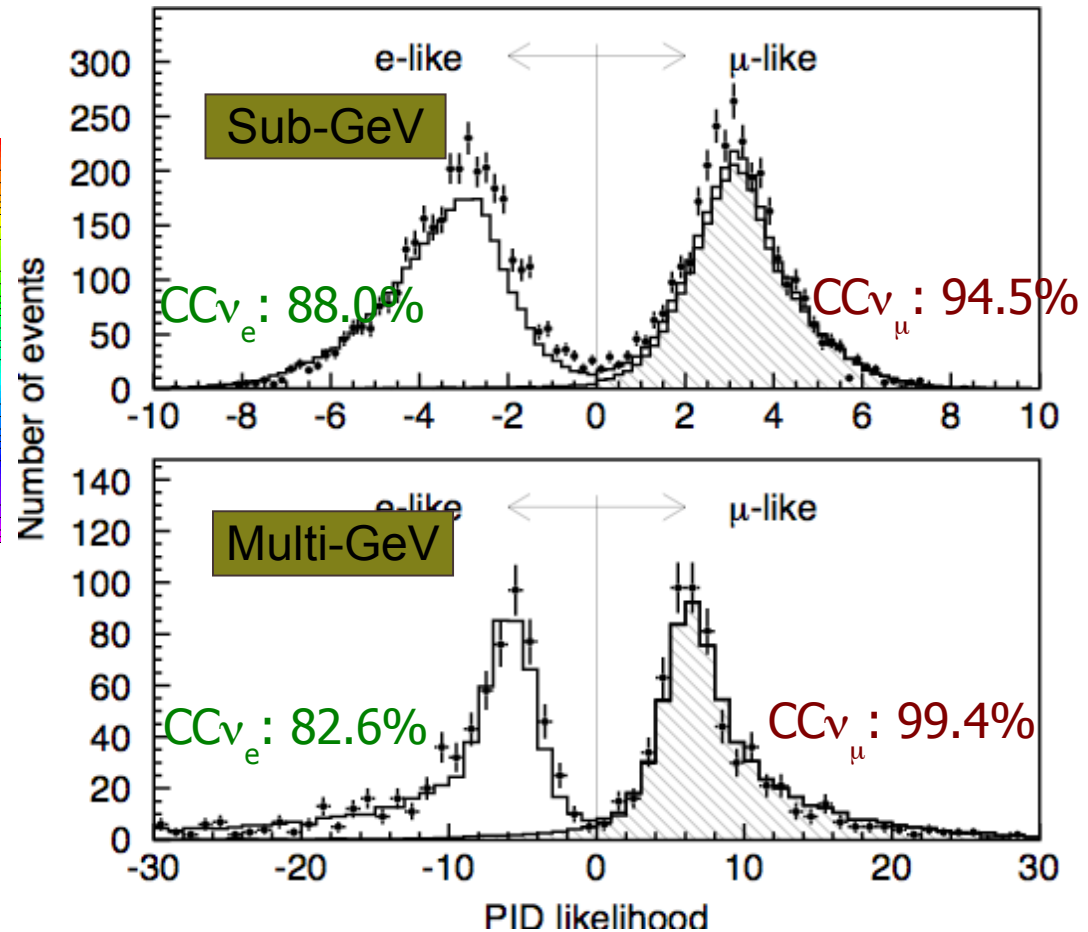
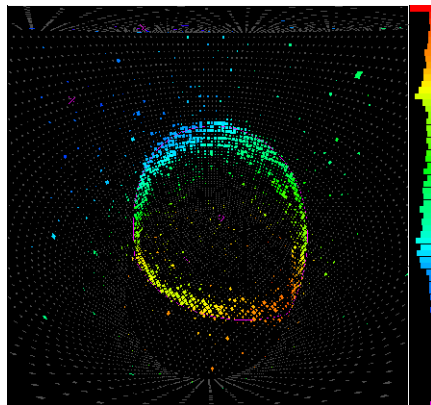
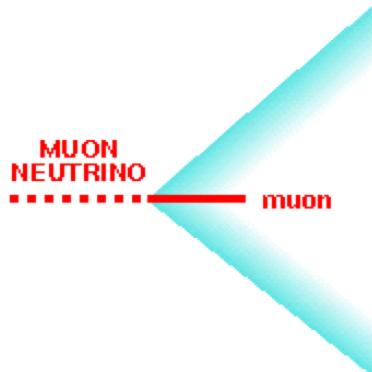
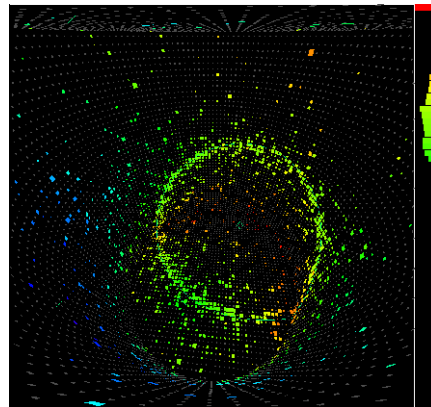
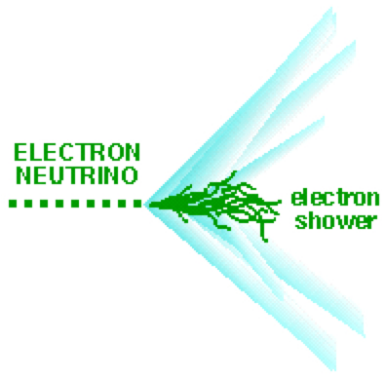




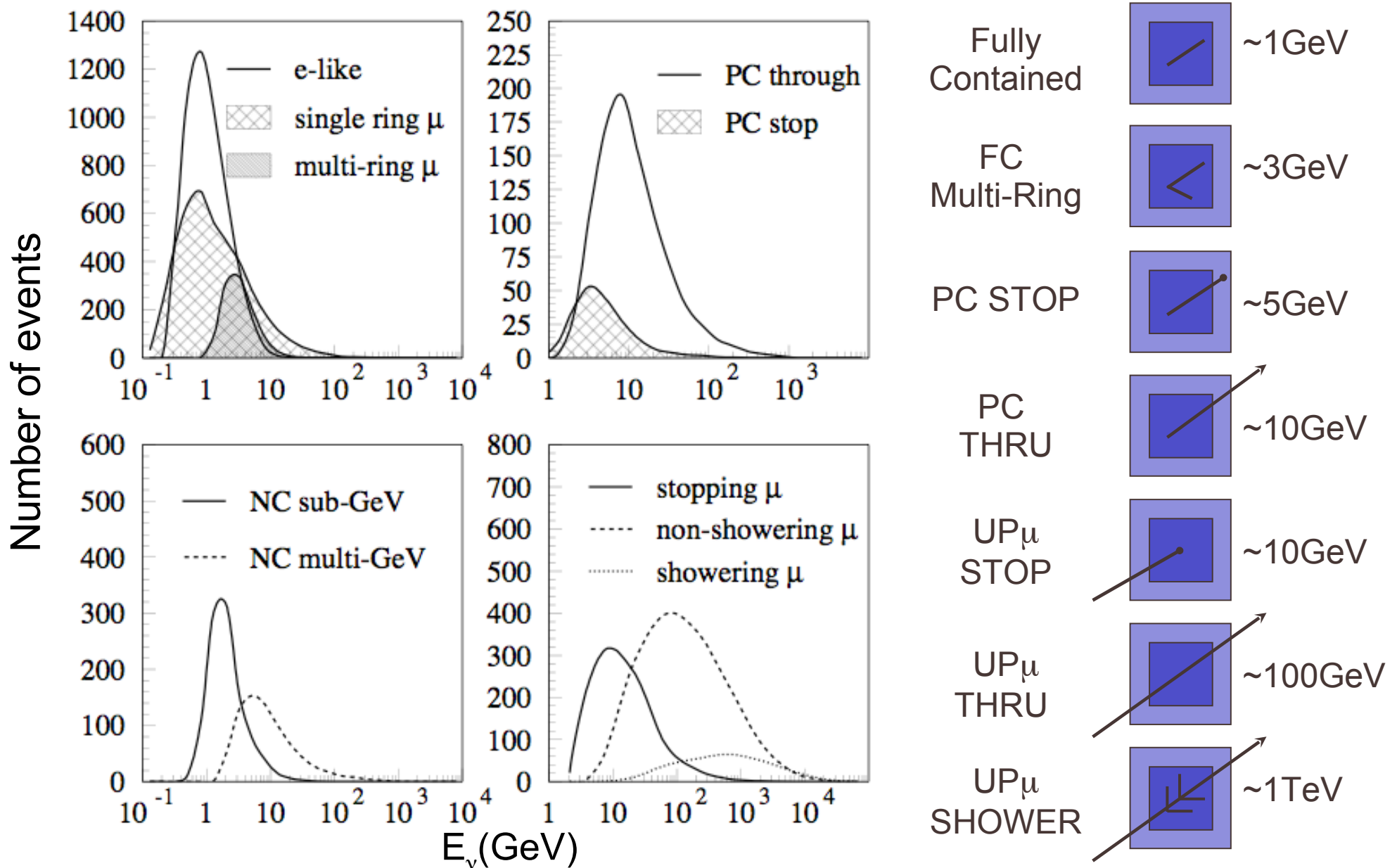
# Event Reconstruction

- Vertex finding
- Ring recognition
- PID (e-/ $\mu$ -like)
- Momentum reconstruction

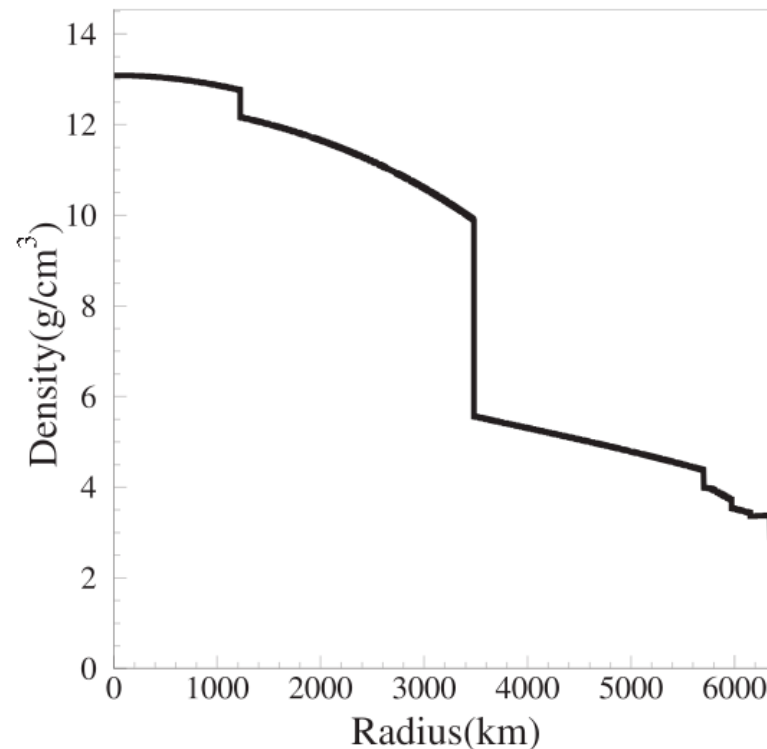
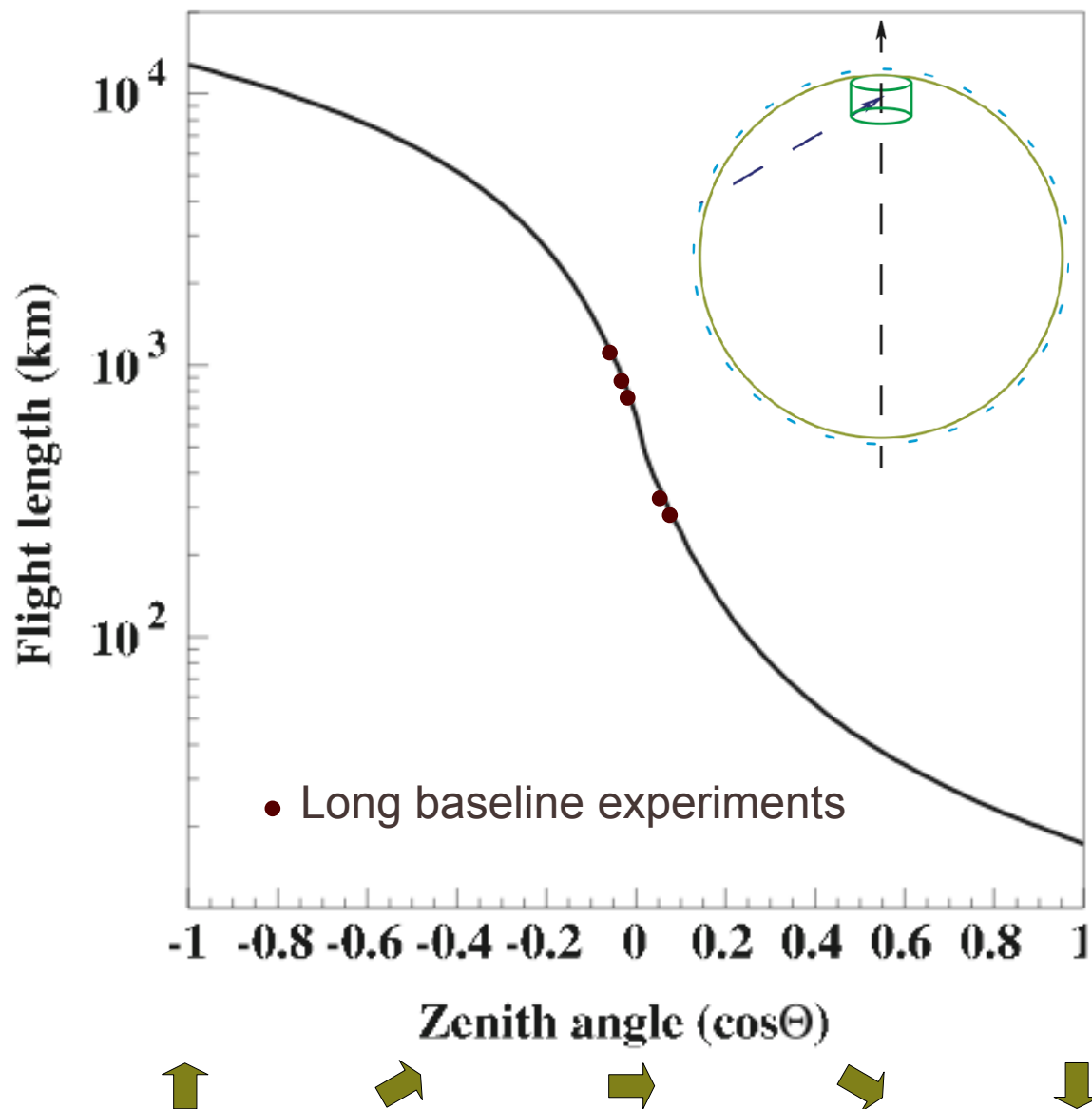
FC Single Ring Events



# Five Decades of Energy

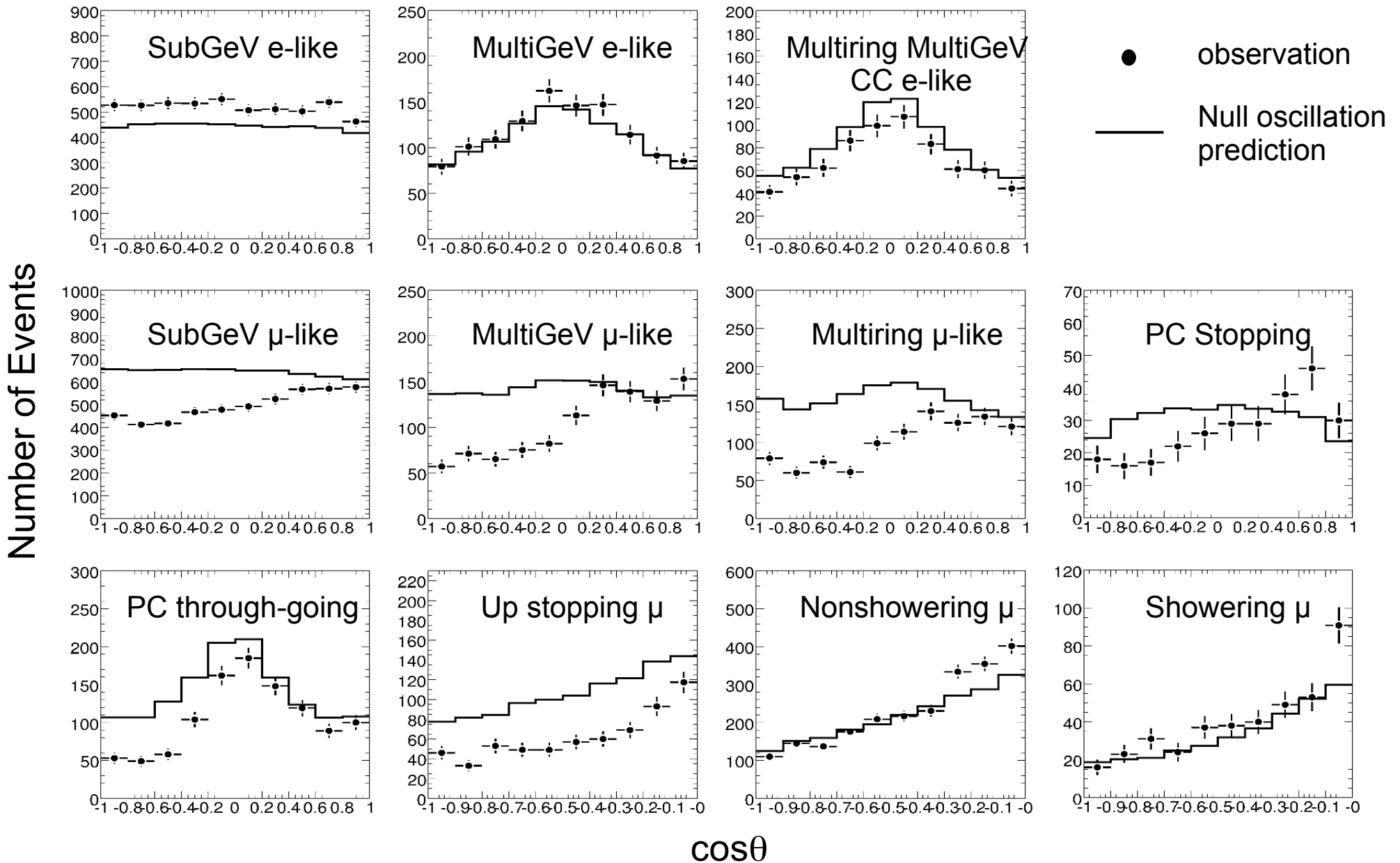


# Four Decades of Pathlengths

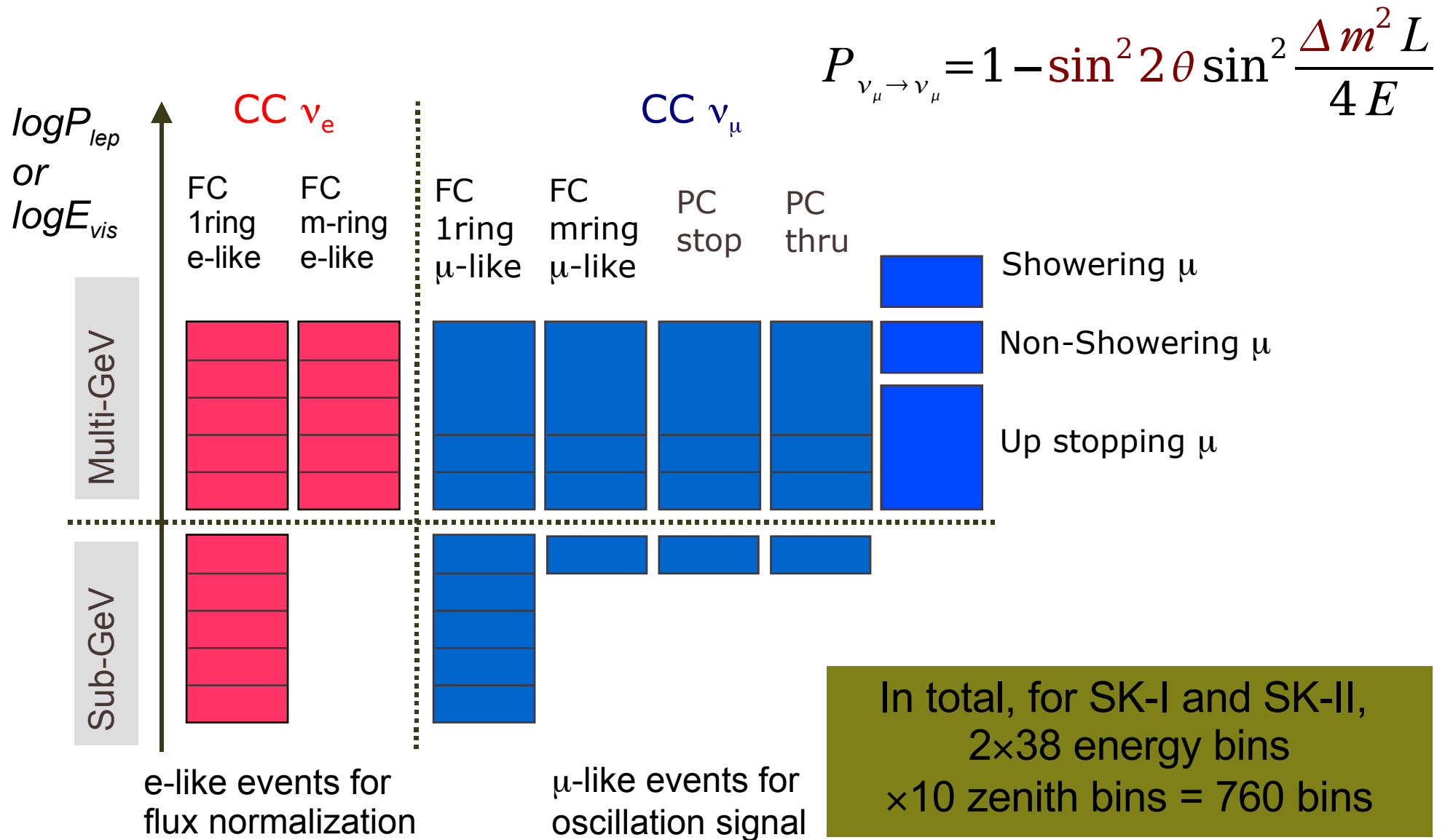


- Large ranges of  $L$  and  $E$
- Various matter densities
- ⇒ great advantages for studying exotic phenomena

# Atmospheric Neutrino Observations



# Data Analysis: Binning



# Data Analysis: Pull Method

$$\chi^2 = \underbrace{\sum_{i=1}^N 2 \left( N_i^{\text{exp}} - N_i^{\text{obs}} - N_i^{\text{obs}} \ln \frac{N_i^{\text{obs}}}{N_i^{\text{exp}}} \right)}_{\text{Data bins: likelihood ratio}} + \underbrace{\sum_{j=1}^M \left( \frac{\epsilon_j}{\sigma_j} \right)^2}_{\text{Systematic uncertainties: Gaussian}}$$

Data bins: likelihood ratio

Systematic uncertainties: Gaussian

Predicted events based on  $\nu$  flux

$$N_i^{\text{exp0}} = P_{\text{survival}}(\text{model x with parameters } \vec{x}) N_i^{\text{nosc}}$$

$$N_i^{\text{exp}} = \left( 1 + \sum_{j=1}^M f_i^j \epsilon_j \right) N_i^{\text{exp0}}$$

Expected number of events without considering systematics

Expected number of events

Plug in different models and find the minimum chi-squares

1. Minimize wrt systemic terms

➤ Solving a linear equation set

2. Minimize wrt model parameters

➤ searching on a grid in parameter space)

# Combining SK-I and SK-II

- Data bins are considered as independent observations
- Systematic uncertainties

→ Identical for SK-I and SK-II

Atm neutrino flux (14)

Neutrino interaction (12)

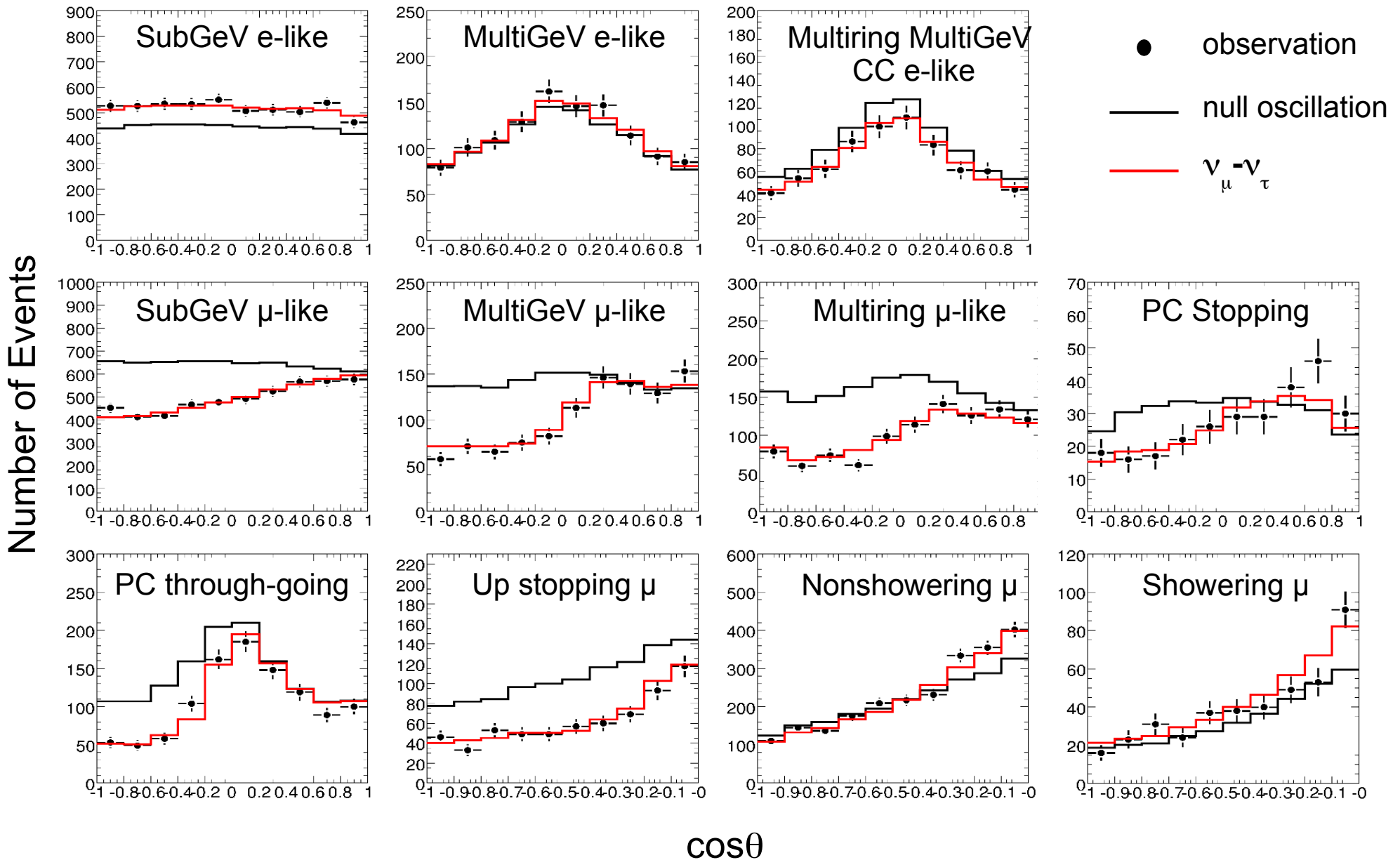
→ Independent for SK-I and SK-II

Solar activity (1)

Data selection and event reconstruction (21)

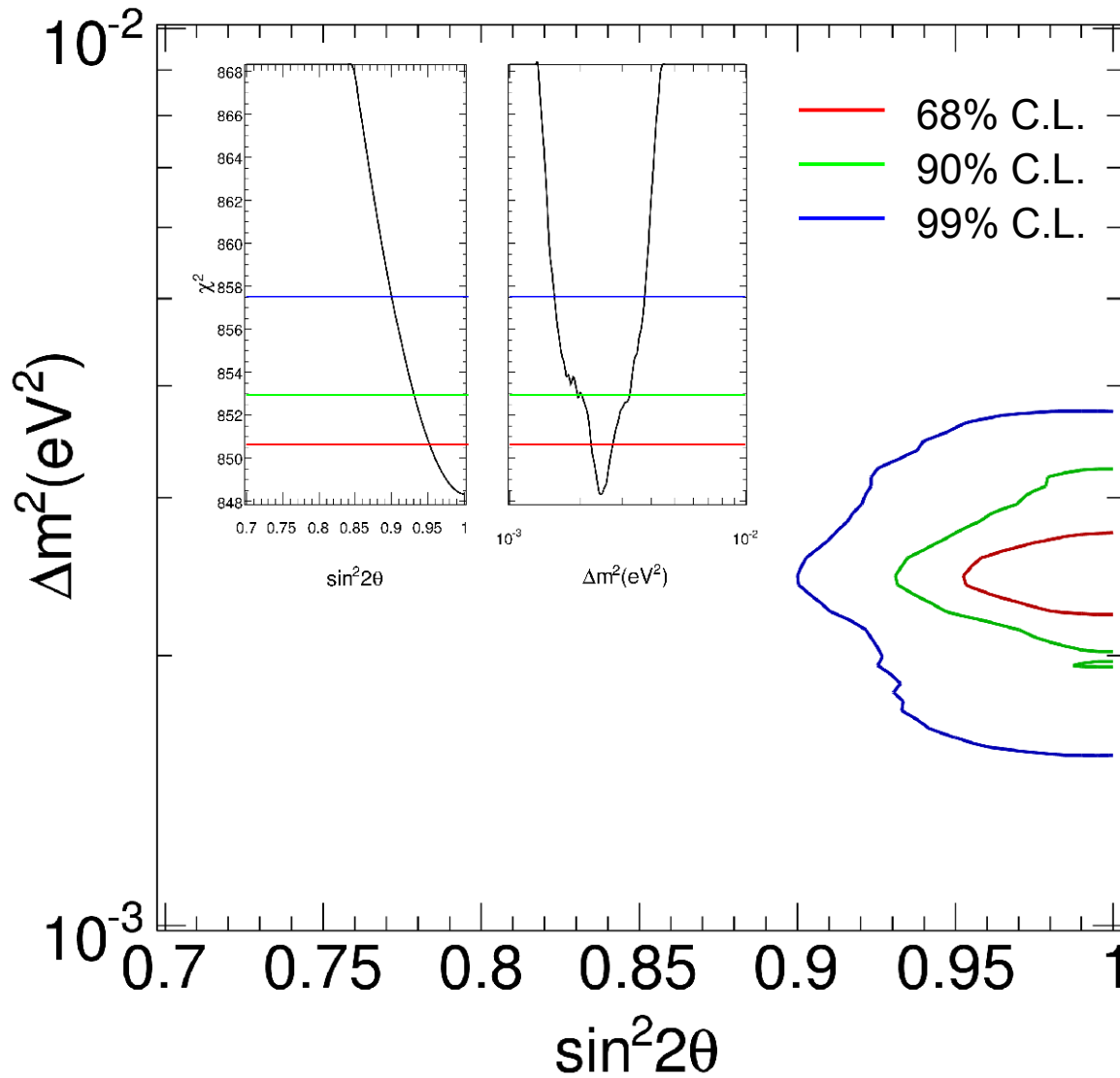
→ In total, 70 systematic uncertainties in SK-I and SK-II combined analysis

# Zenith Distributions of $\nu_{\mu} \rightarrow \nu_{\tau}$ Oscillation





# Standard Mixing Parameters



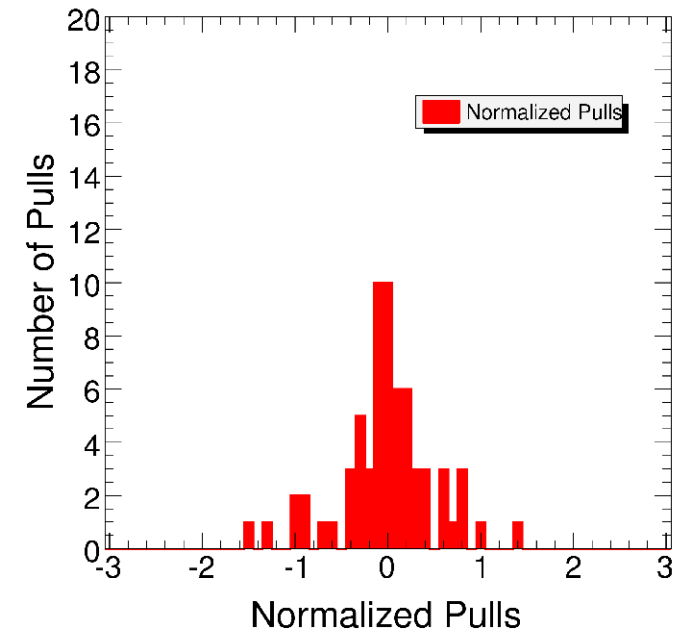
$$\nu_\mu \rightarrow \nu_\tau$$

$$\sin^2 2\theta = 1$$

$$\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

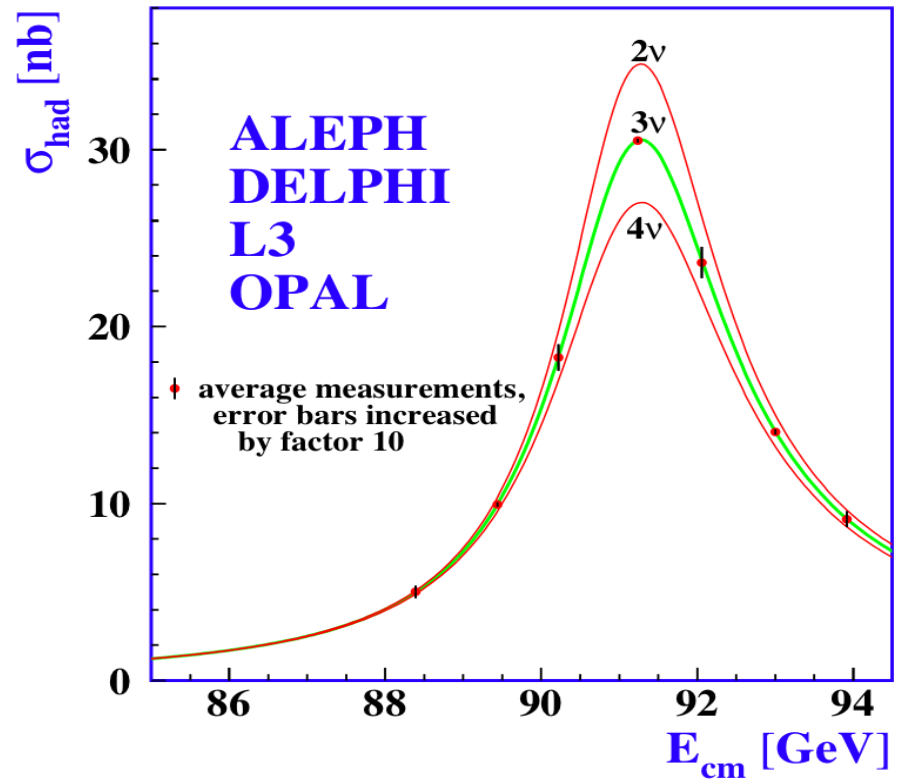
$$\chi^2 / \text{dof} = 839.7 / 755$$

$$p\text{-value} = 18\%$$



# Must It Be Tau Neutrino?

- LEP experiments:  
Z decay cross section  
indicates there are only  
three neutrino flavors:  
 $N_\nu = 2.992 \pm 0.020$



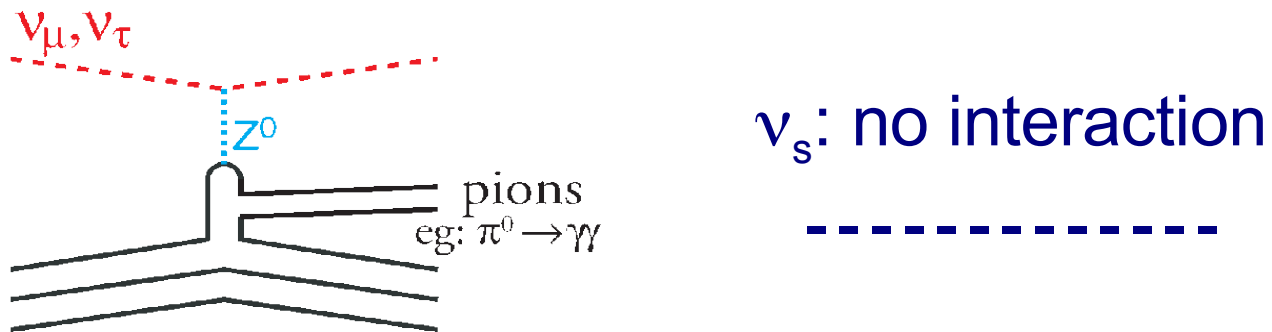
- If only three flavors of neutrinos, it must be tau neutrino
  - $\nu_\mu - \nu_e$  oscillation does not explain the Super-K observation
  - Chooz and Palo Verde experiments  
⇒ NO  $\bar{\nu}_e \rightarrow \bar{\nu}_x$  oscillation at the scale of  $\Delta m^2 \sim 10^{-3} \text{eV}^2$

# Sterile Neutrinos Are Possible

- Sterile neutrino ( $\nu_s$ : no electric, strong or weak charge) is not charged under Standard Model  $\rightarrow$  a potential candidate of atmospheric neutrino oscillation
  - Some theoretical models do predict the existence of sterile neutrinos
    - ✓ e.g. right-handed neutrino to explain neutrino mass
  - Some observation are in favor of the existence of sterile neutrinos
    - ✓ Sterile neutrino helps to solve the LSND anomaly
    - ✓ Sterile neutrino helps to solve the nuclear synthesis problem during the supernova R-process
- ➔ Compare  $\nu_\mu$ - $\nu_\tau$  oscillation and  $\nu_\mu$ - $\nu_s$  oscillation

# Signatures of Sterile Neutrinos

Based on the definition of sterile neutrino:

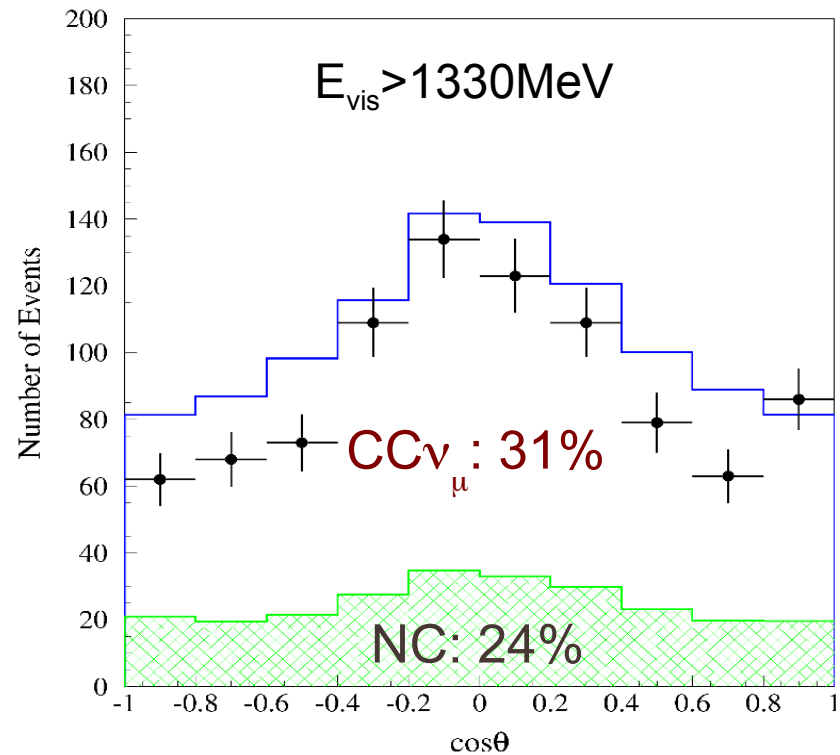
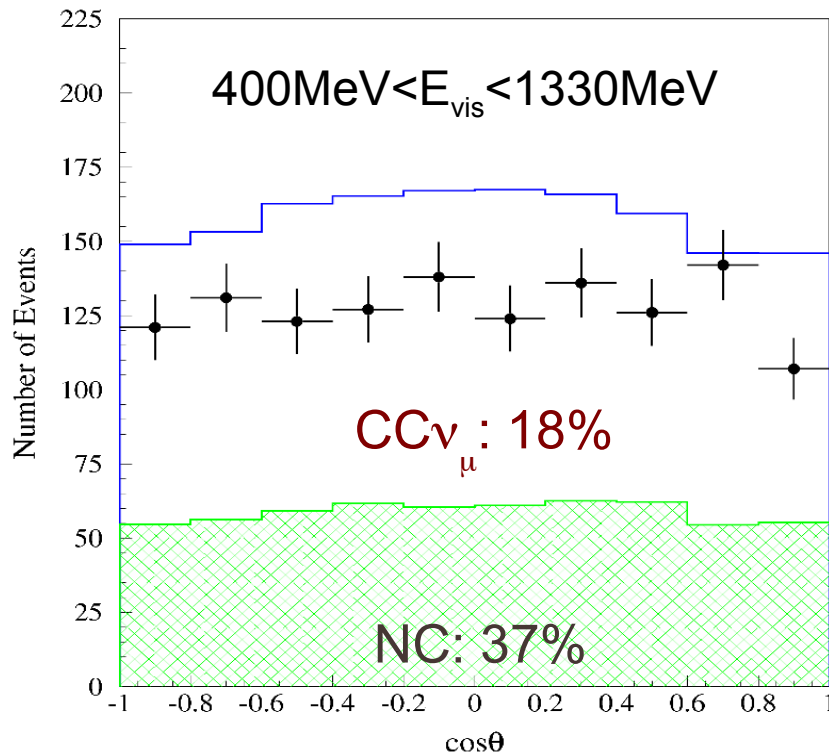


Difference between  $\nu_\mu$ - $\nu_\tau$  oscillation and  $\nu_\mu$ - $\nu_s$  oscillation:

1. Inside the detector: less neutral current events
2. During the propagation: Matter Effect

# 1. NC Events at Super-K

- Multi-ring events: neutral pions are the NC signature at SK
- Brightest ring e-like: to remove CC  $\nu_{\mu}$  events
- $E_{\text{vis}} > 400\text{MeV}$ : low energy events do not point well



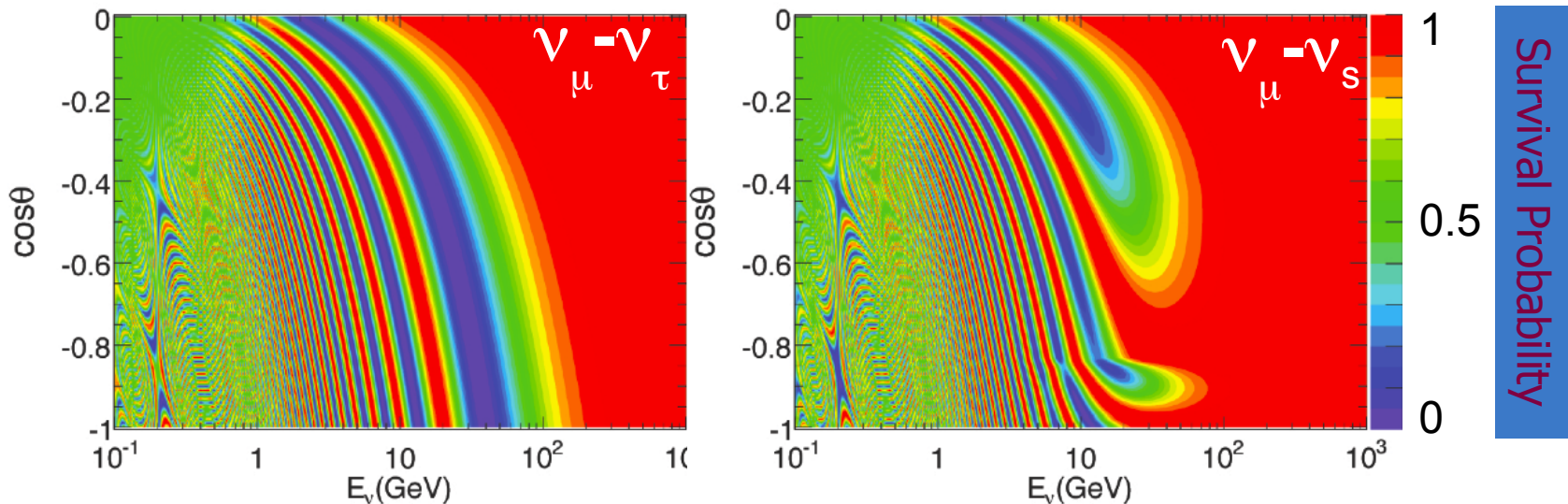
## 2. Matter Effect

- If two neutrino flavors interact differently in matter

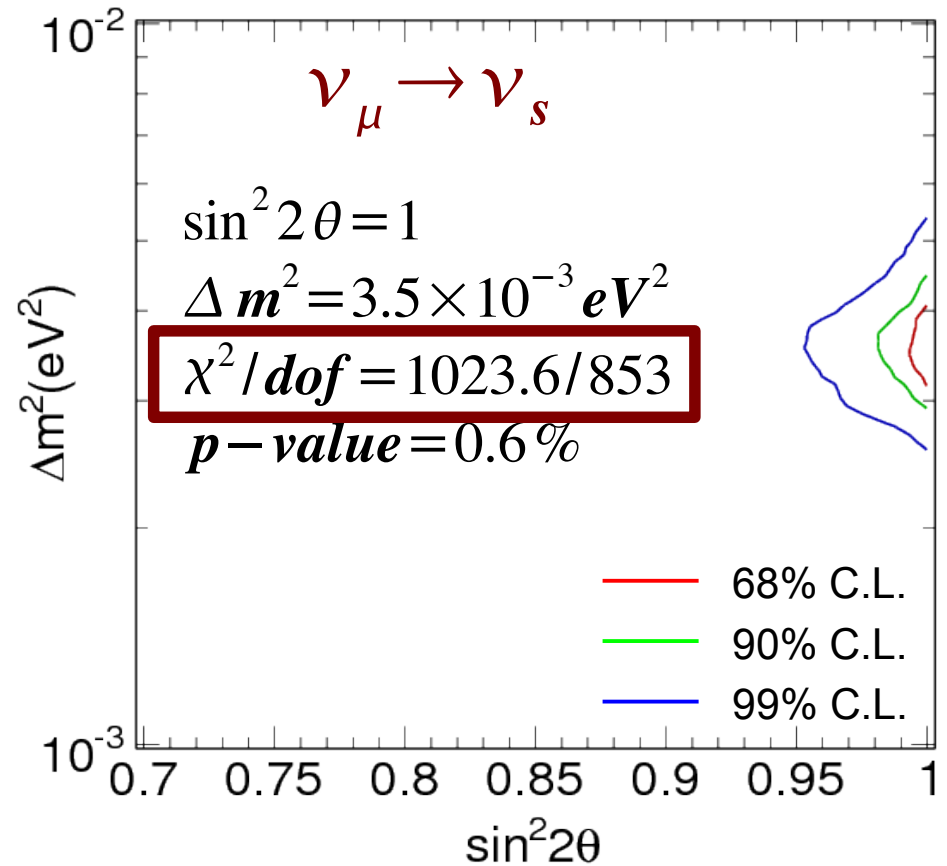
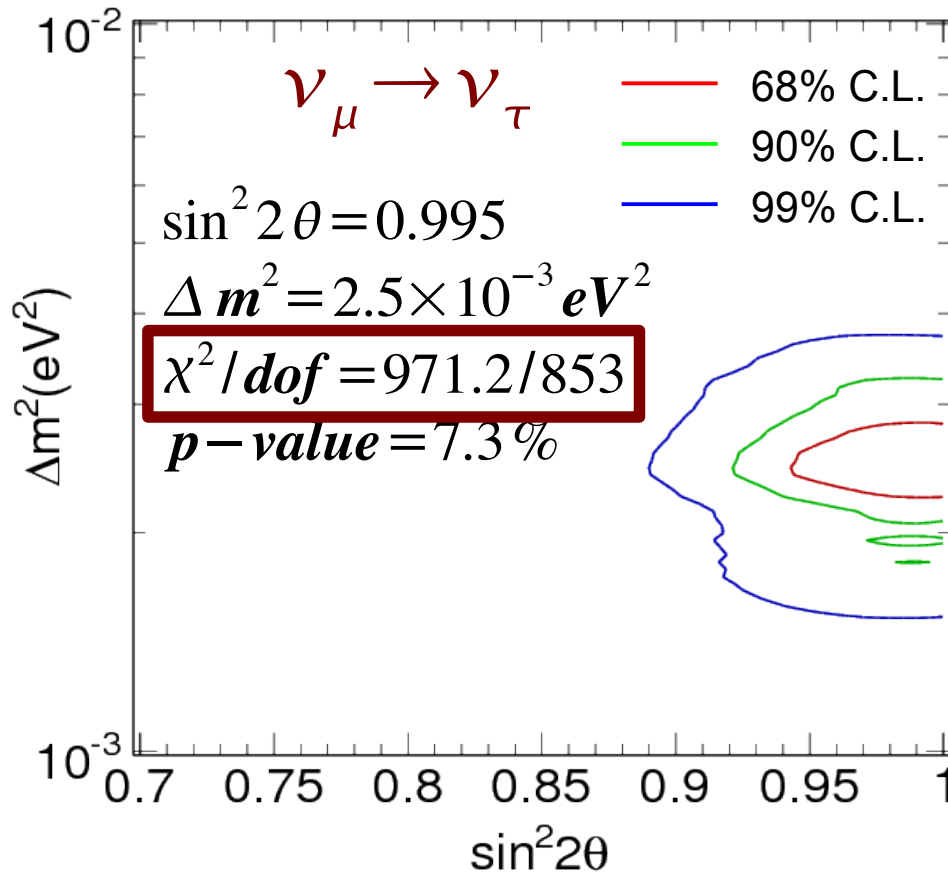
$$P_{osc} = \sin^2 2\theta_M \sin^2 \frac{\Delta m_M^2 L}{4E} \left\{ \begin{array}{l} \sin^2 2\theta_M = \frac{\sin^2 2\theta}{(2E\Delta V/\Delta m^2 - \cos 2\theta)^2 + \sin^2 2\theta} \\ \Delta m_M^2 = \Delta m^2 \sqrt{(2E\Delta V/\Delta m^2 - \cos 2\theta)^2 + \sin^2 2\theta} \end{array} \right.$$

- $\nu_\mu - \nu_s$ :  $\nu_\mu$  and  $\nu_s$  interact with matter differently  $\Delta V = \mp \sqrt{2} G_F \frac{\rho_n}{2}$   
 $\Rightarrow$  matter effect  $\Rightarrow$  oscillation is suppressed

Survival probability of  $\nu_\mu$  crossing Earth:  $\Delta m^2 = 2.5 \times 10^{-3} \text{eV}^2$ ,  $\sin^2 2\theta = 1$



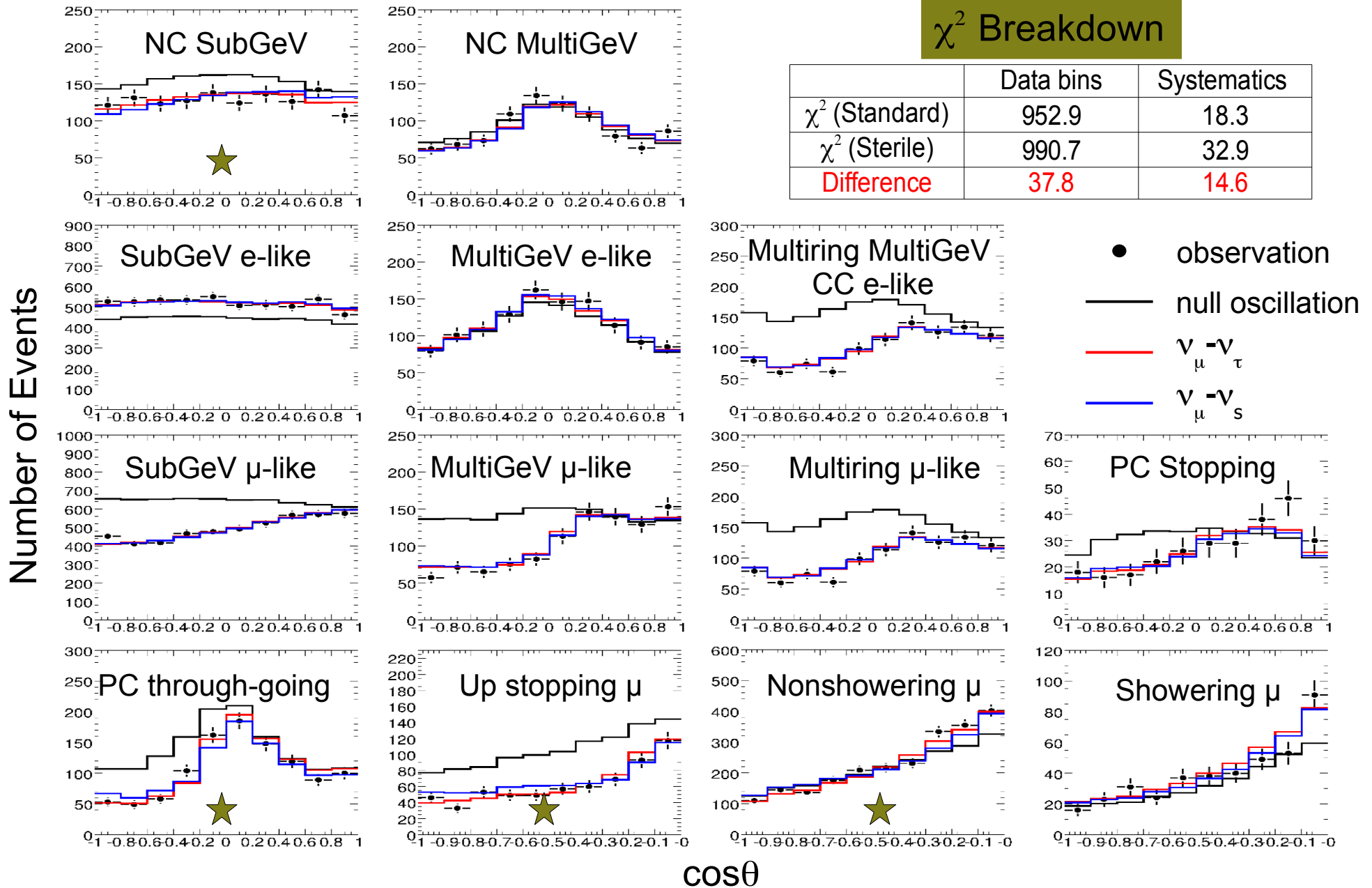
# Tau Neutrino vs Sterile Neutrino



P-values calculated using toy MC method.

- Exclusion Level:  $7.2\sigma$

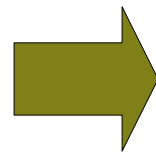
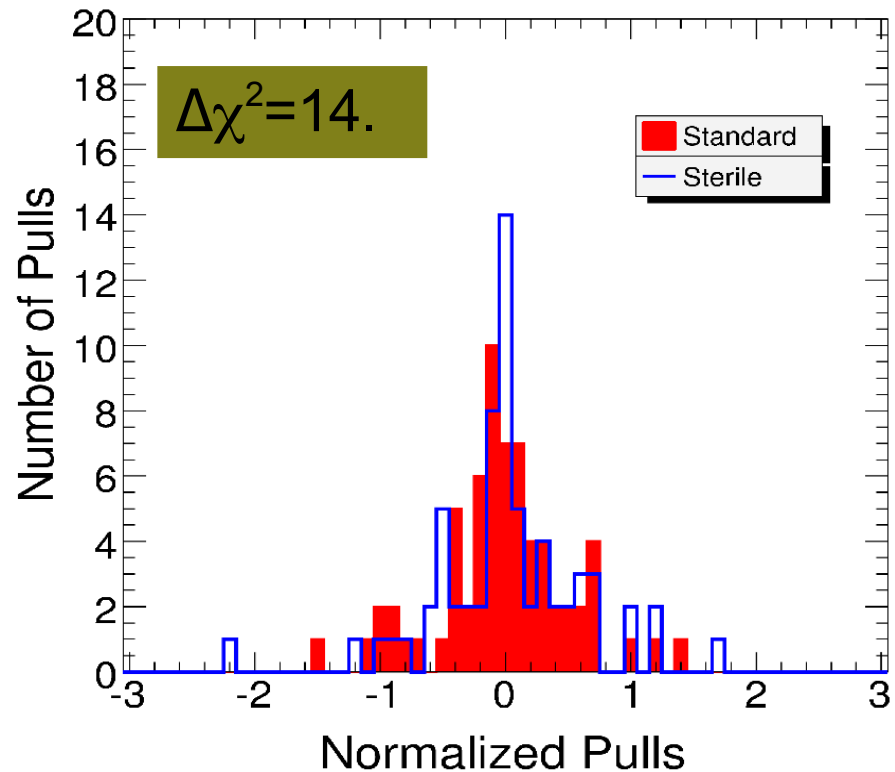
# Comparison of Zenith Distributions





# $\Delta\chi^2$ Contribution Breakdown

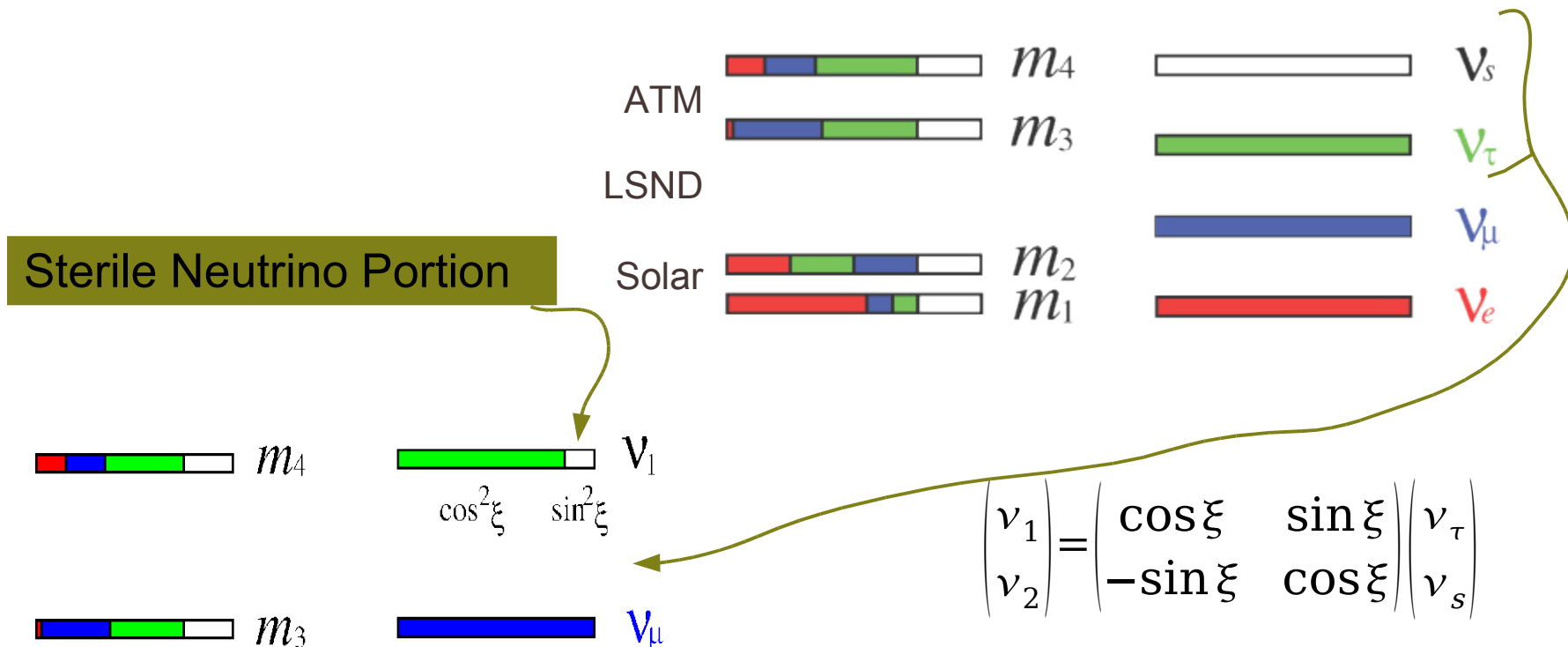
Single Ring SubGeV e-like	0.8
Single Ring MultiGeV e-like	-2.1
Multi-Ring MultiGeV CC e-like	0.8
Single Ring SubGeV $\mu$ -like	-1.3
Single Ring MultiGeV $\mu$ -like	-2
Multi-Ring $\mu$ -like	3.8
<b>NC-Enhanced SubGeV</b>	<b>5</b>
NC-Enhanced MultiGeV	1.2
PC Stopping $\mu$	2.9
<b>PC Through-Going <math>\mu</math></b>	<b>12.3</b>
<b>Upward Stopping <math>\mu</math></b>	<b>7.2</b>
<b>Upward NonShowering <math>\mu</math></b>	<b>11.2</b>
Upward Showering $\mu$	-1.5
TOTAL	37.8



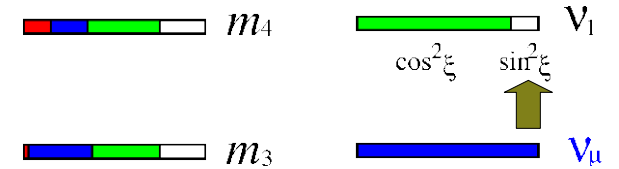
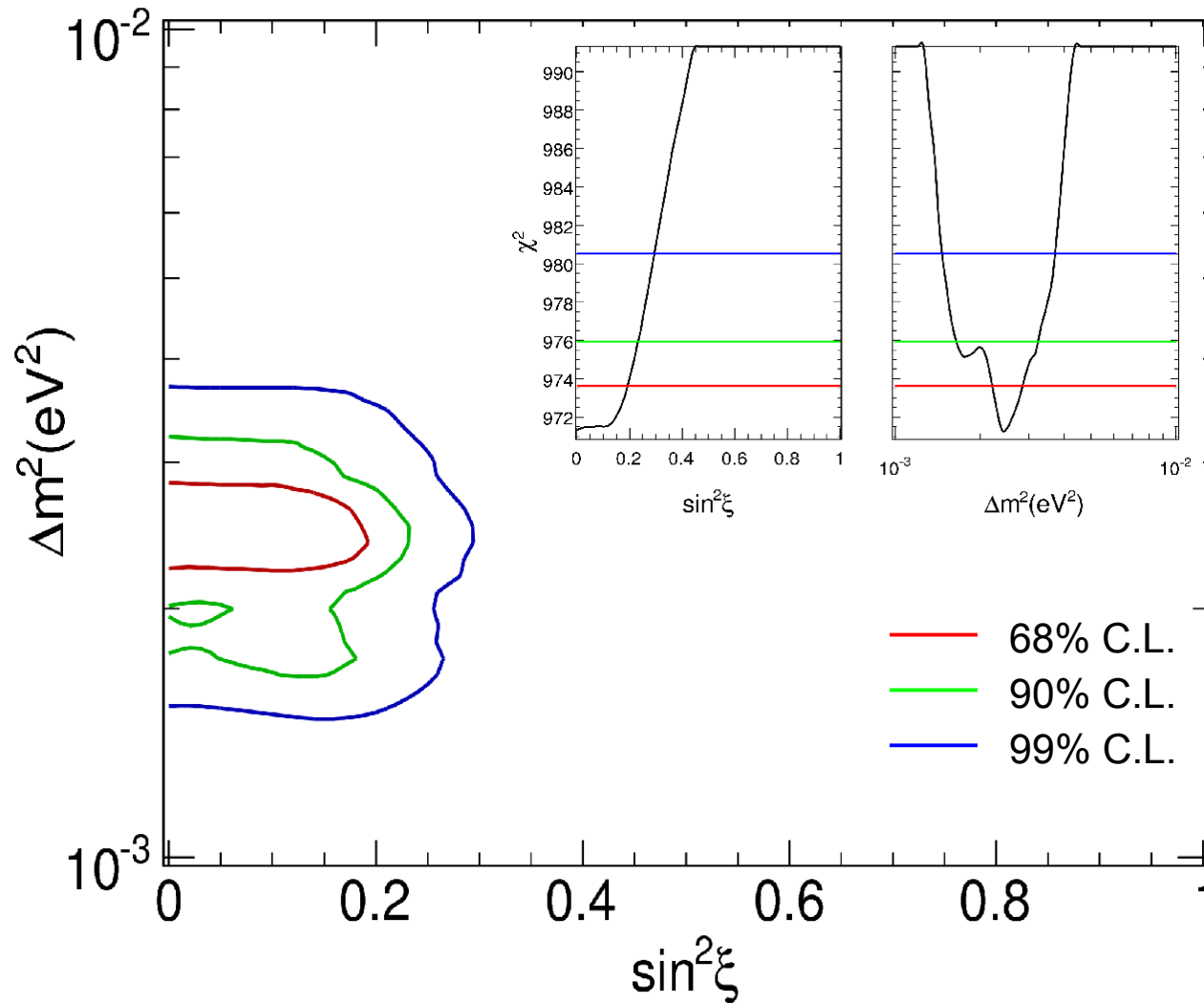
- The right energies and baselines of those events give the strongest matter effects

# An Admixture Case

- Admixtures are model dependent
- This analysis is based on Fogli *et al* PRD 63(053008), 2001
  - A 2+2 mass hierarchy model
  - Constructing two superposition states of  $\nu_s$  and  $\nu_\tau \rightarrow$  two flavor mixing



# Admixture Allowance



• Allowed sterile neutrino admixture limit at 90% C.L.:  
 $\sin^2 \xi < 23\%$

# Why Violations of Lorentz and CPT?

→ The other side of the story: neutrino oscillation without mass

- Recall: mass eigenstate mixing  
→  $E_i = pc + m_i^2/2p \rightarrow$  neutrino oscillation
- Violations of Lorentz invariance and CPT symmetry  
→ modified dispersion relation  
→ different energies for the same momentum  
→ neutrino oscillation

→ Important fundamental symmetries: are they broken at some high energy level? (predicted by some Quantum Gravity theories)

- Not practical to reach  $\sim M_p$  yet  
→ Seek for small effects at low energy  
→ Neutrino oscillation (interferometry) provides a promising ground
- ✓ SK neutrino energy and pathlength coverage has great advantages for this study

# Minimal Standard Model Extension

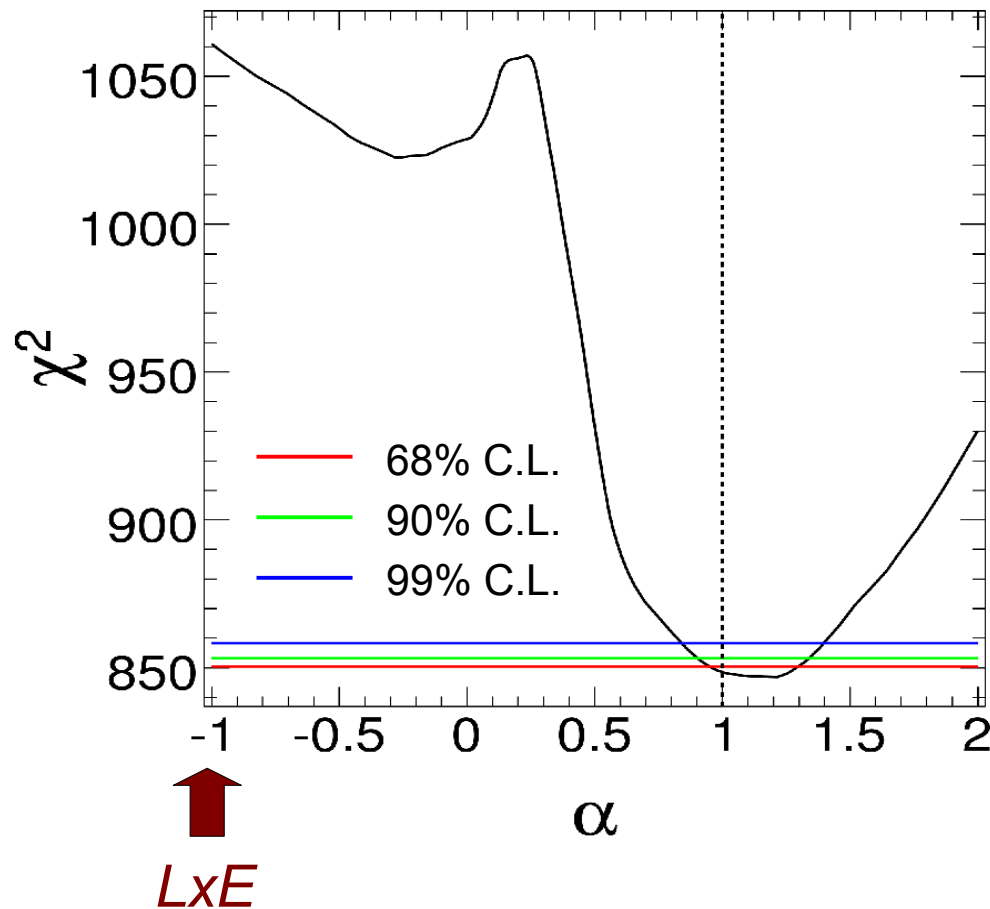
- Minimal Standard Model Extension, Kostelecky et al, hep-ph/0403088
  - $L_{mSME} = \frac{i}{2} c_{AB\mu\nu} (\bar{L}_A \gamma^\mu D^\nu L_B + D^\nu \bar{L}_A \gamma^\mu L_B) - a_{AB\mu} \bar{L}_A \gamma^\mu L_B$
  - The first term only violates Lorentz invariance (LIV); the second term violates both CPT (CPTV) and Lorentz invariance
- Two rotationally invariant cases of LIV and CPTV (only time components are considered)
  - Coleman and Glashow, PRD 59(116008), 1999
    - LIV-induced oscillation
  - Barger *et al*, PRL 85(5055), 2000
    - CPTV-induced oscillation

# Oscillations Induced by LIV and CPTV

- Rotationally invariant cases: keeping only temporal components
  - $H_{\text{int}} = -c_{AB}^{00} (\bar{L}_A \gamma^0 \partial^0 L_B + \partial^0 \bar{L}_A \gamma^0 L_B)$ 
    - The eigenstates by diagonalizing (rotating by  $\theta_v$ )  $c_{AB}$  are defined as “maximum attainable velocity” eigenstates
    - Modified dispersion relation:  $E_i = pc - pc_i$
    - $\Rightarrow P_{\text{osc}} = \sin^2 2\theta_v \sin^2(c^{TT} L E), \quad c^{TT} \equiv c_A - c_B$
  - $H_{\text{int}} = a_{AB}^{00} \bar{L}_A \gamma^0 L_B$ 
    - $\Rightarrow P_{\text{osc}} = \sin^2 2\theta_a \sin^2(\pm \Delta a L), \quad \Delta a \equiv a_A - a_B$
    - Neutrino oscillation does depend on energy

# Lorentz Invariance Violation

Try a more general form:  $P_{\nu_\mu \rightarrow \nu_\mu} = 1 - \sin^2 2\theta_\nu \sin^2 \kappa L/E^\alpha$



- $LxE$  oscillation is strongly disfavored
  - Excluded at  $\sim 14\sigma$
- $L/E$  is within the  $1^{\text{st}}\sigma$ 
  - $1.16+0.14/-0.21$
- A natural question: what is the scale LIV might appear?

# LIV as a Sub-Dominant Effect

- Considering LIV as a sub-dominant effect
- Assuming best-fit parameter values for the standard oscillation

$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - \sin^2 2\Theta \sin^2 \Omega$$

$$\tan 2\Theta = \frac{1 + (E/E_c)^2 \sin 2\theta_v}{(E/E_c)^2 \cos 2\theta_v}$$

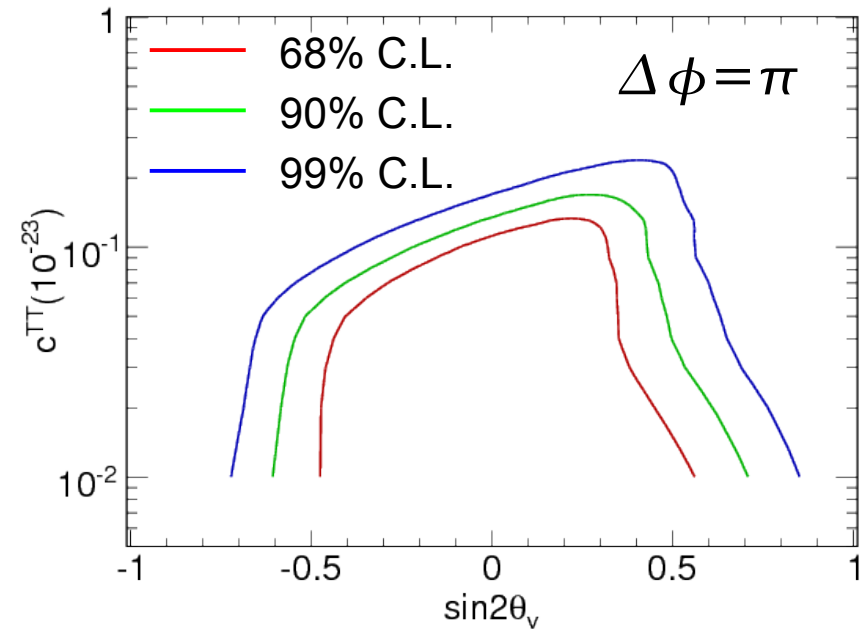
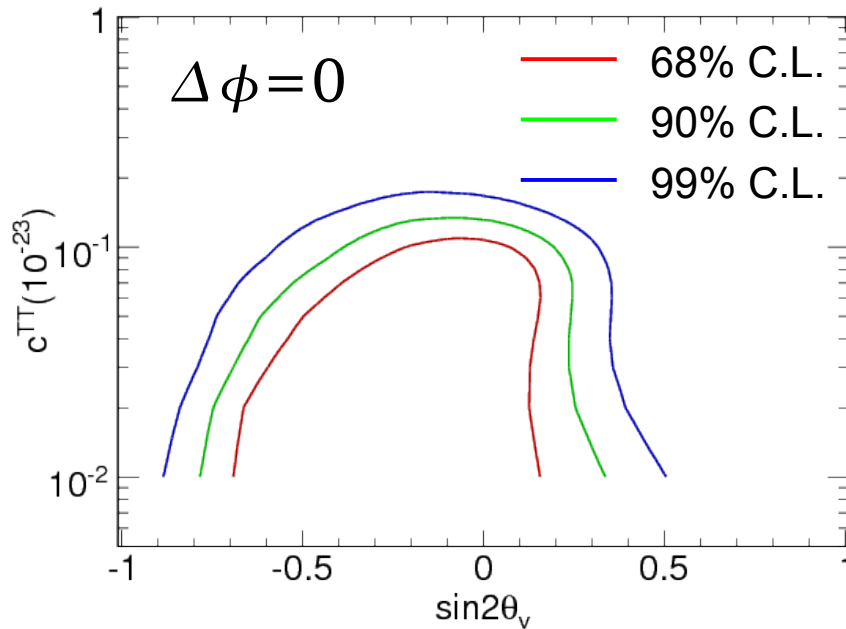
$$\Omega = 1.27 \sqrt{(\Delta m^2 L/E)^2 \pm 4c^{TT} \sin 2\theta_v L E + 4(c^{TT} L E)^2}$$

$$E_c = \sqrt{\frac{\Delta m^2}{2c^{TT}}}$$

- $c^{TT}$ : the difference of maximum attainable velocities
- $\theta_v$ : mixing angle between two different maximal attainable velocity eigenstates
- “+/-”: the  $0/\pi$  phase difference between the mass mixing matrix and the maximum attainable velocity mixing matrix



# Limits on LIV



- $\Delta\phi=0$ :  $c^{\text{TT}} < 1.2 \times 10^{-24}$  at 90% C.L.
  - $\sin 2\theta_v = -0.12$ ;  $c^{\text{TT}} = 0.05 \times 10^{-23}$
- $\Delta\phi=\pi$ :  $c^{\text{TT}} < 1.3 \times 10^{-24}$  at 90% C.L.
  - $\sin 2\theta_v = -0.02$ ;  $c^{\text{TT}} = 0.06 \times 10^{-23}$

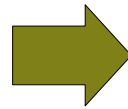
- Limits from other experiments
  - Cosmic ray spectrum:  
 $\sim 10^{-15}(\gamma)$ ,  $\sim 10^{-23}(p)$
  - Nuclear magnetic resonance frequencies:  
 $\sim 10^{-21}(e)$ ,  $\sim 10^{-30}(n)$

# An ad hoc CPT Violation Test

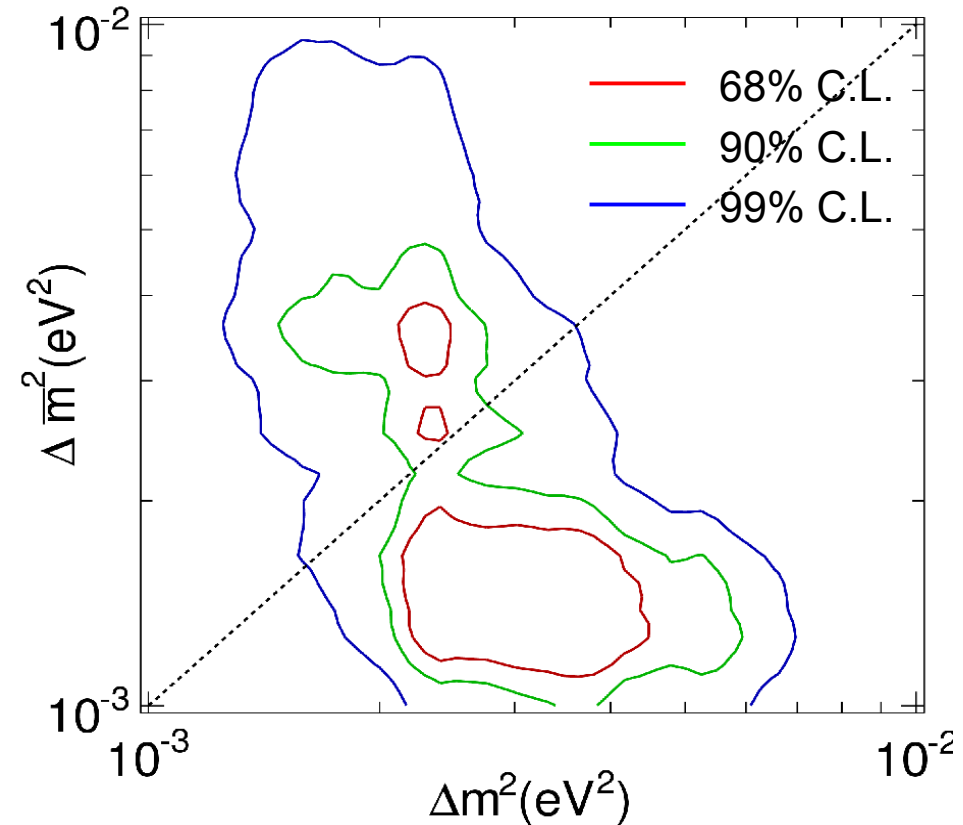
- **Simple assumption:** neutrinos and antineutrinos could have different mass squared splittings

$$P_{\nu_\mu \rightarrow \nu_\mu / \bar{\nu}_\mu \rightarrow \bar{\nu}_\mu} = 1 - \sin^2 2\theta \sin^2 \left( \frac{(\Delta m^2 / \Delta \bar{m}^2) L}{4E} \right)$$

- **Question:** is this allowed by SK?
- **Best-fit:**



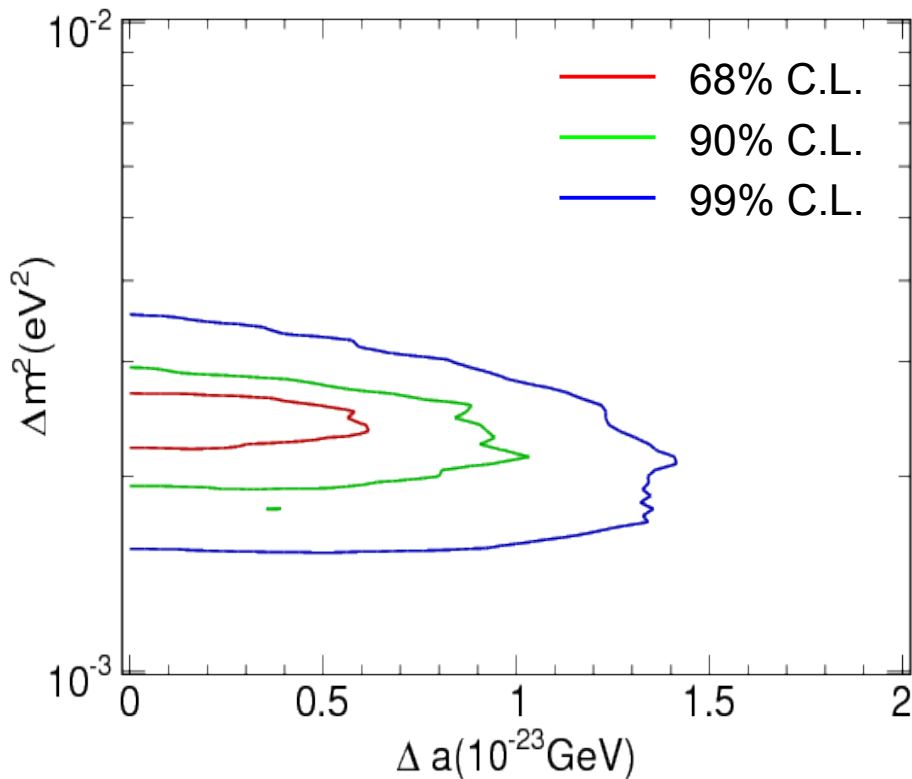
$$\begin{cases} \sin 2\theta = 1 \\ \Delta m^2 = 3.7 \times 10^{-3} \text{ eV}^2 \\ \Delta \bar{m}^2 = 1.5 \times 10^{-3} \text{ eV}^2 \end{cases}$$



Super-K best-fit is far away from the LSND scale  
 → then, what is the limit on CPTV?

# Limit on CPT Violation

- $-a_{AB}L_A\gamma^0L_B \Rightarrow \Delta aL$  oscillation
- **As a sub-dominant effect**  $\Rightarrow P_{\nu_\mu \rightarrow \nu_\mu} = 1 - \sin^2 2\theta \sin^2\left(\frac{\Delta m^2}{4E} \pm \Delta a\right)L$
- Assuming maximal mixing for the mass eigenstates



- At 90% C.L.:  $\Delta a < 1.05 \times 10^{-23}$  GeV

- Limits from other experiments

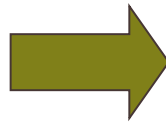
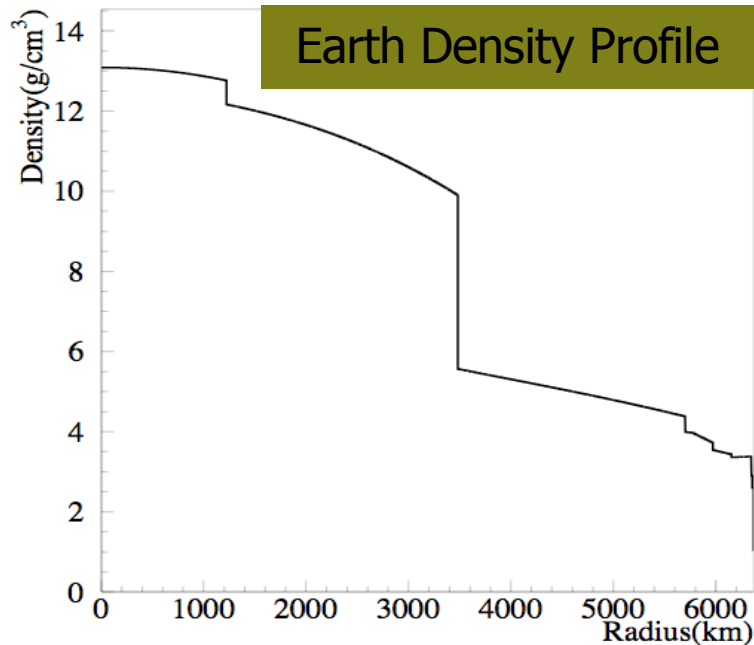
Barger *et al*, PRL 85(5055), 2000

- $g-2$ :  $\sim 10^{-23}$  GeV
- $K^0 - \bar{K}^0$  :  $\sim 0.44 \times 10^{-18}$  GeV

# Summary and Conclusions

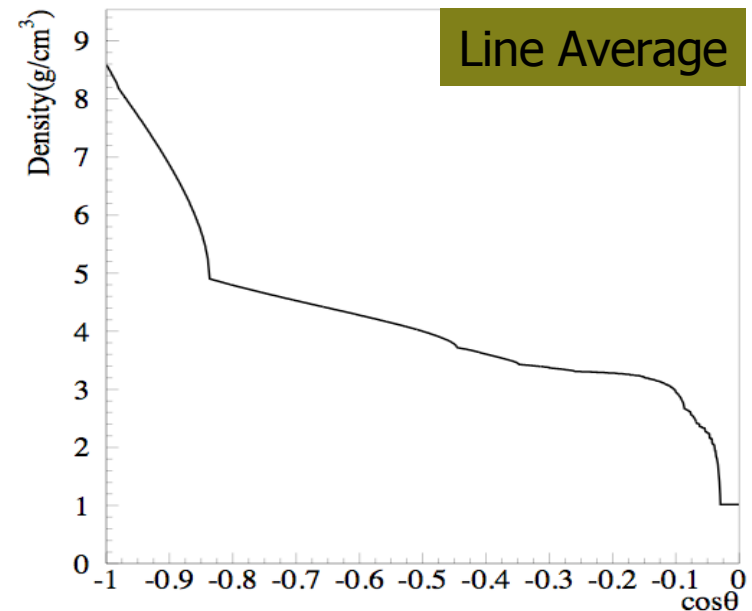
- Neutrino oscillations can happen with or without mass
- $\nu_{\mu}$ - $\nu_{\tau}$  oscillation is compared with 2 kinds of alternatives:  
massive neutrino oscillation and massless neutrino oscillation
  - Mass-induced  $\nu_{\mu}$ - $\nu_{s}$  oscillation: excluded at  $7.2\sigma$
  - Oscillations induced by two isotropic cases of LIV and CPTV are not able to explain Super-K atmospheric observation
- Atmospheric neutrino data provide valuable constraints on the scales of new physics beyond the Standard Model
  - An admixture 23% of  $\nu_s$  is allowed at 90% C.L. (2+2 mass hierarchy)
  - LIV and CPTV limits are set by considering them as sub-dominant effects:
    - $c^{TT} \sim 10^{-24}$  at 90% C.L.
    - $\Delta a \sim 10^{-23}$  GeV at 90% C.L.

# Line Average Approximation



## Oscillation in uniform matter

- A well-defined phase expression
- A well-defined amplitude expression

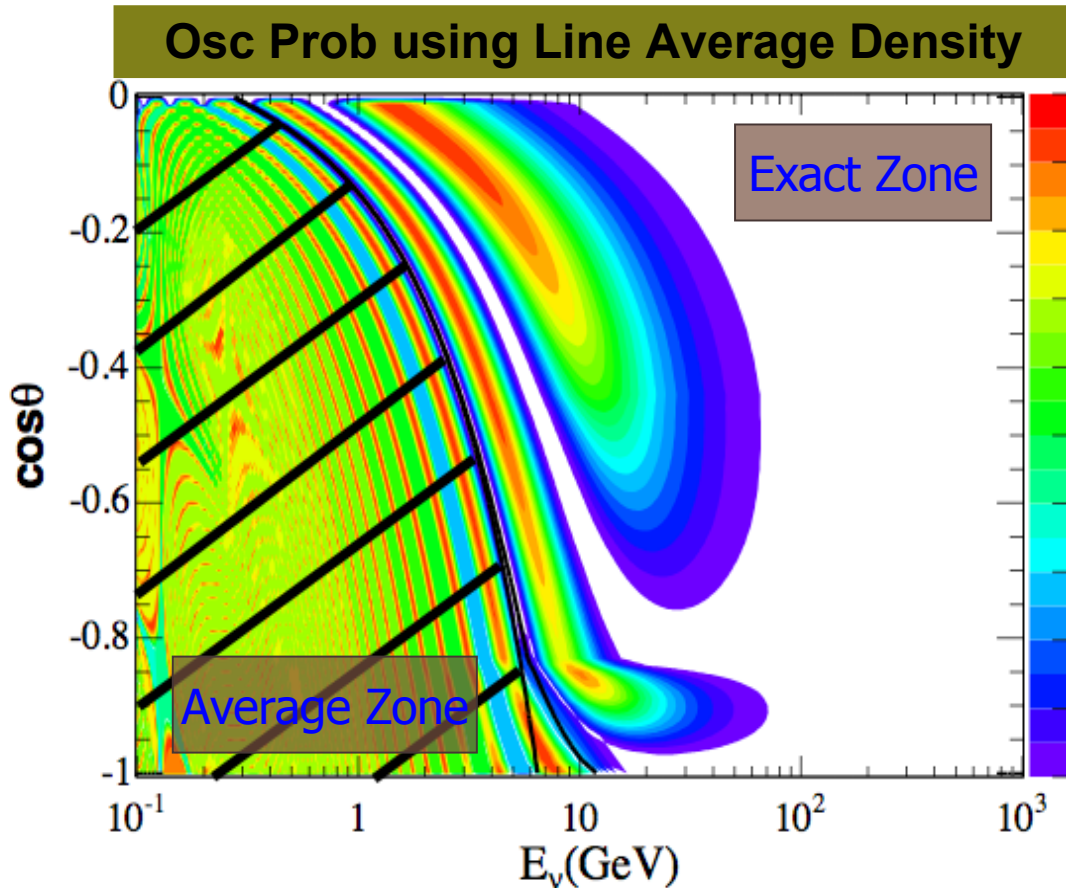


1. Integrate the density along the path
2. Take the average

# Matter Effect Reconsidered

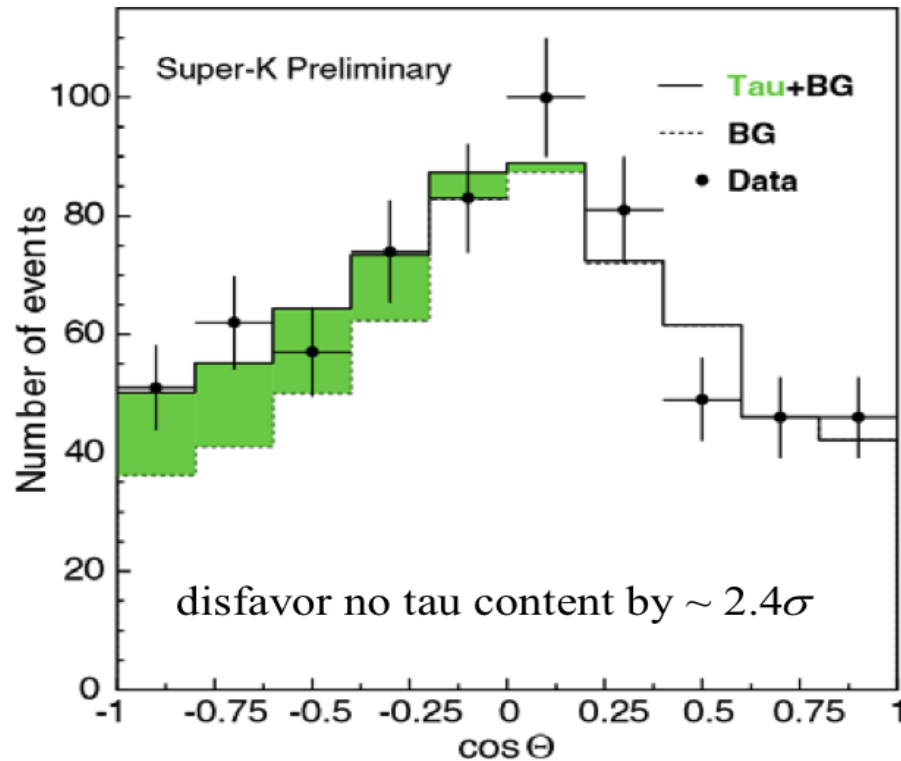
$$P_{osc} = \sin^2 2\theta_M \sin^2 \frac{\Delta m_M^2 L}{4E}$$

$$\left\{ \begin{array}{l} \sin^2 2\theta_M = \frac{\sin^2 2\theta}{(2E \Delta V / \Delta m^2 - \cos 2\theta)^2 + \sin^2 2\theta} \\ \Delta m_M^2 = \Delta m^2 \sqrt{(2E \Delta V / \Delta m^2 - \cos 2\theta)^2 + \sin^2 2\theta} \end{array} \right.$$



- Good approximation for oscillation cycles
- $\Delta m_M^2 L / 4E > 2\pi$  ?
  - YES:
    - osc prob =  $\sin^2 2\theta_M / 2$
  - NO:
    - propagate thru Earth
    - exact osc prob

# Tau Event Searching



- Expected:  $79 \pm 28(\text{sys})$
- Found:
  - Likelihood:  
 $145 \pm 48(\text{stat}) + 15 / -38(\text{sys})$
  - Neural Network:  
 $152 \pm 47(\text{stat}) + 17 / -29(\text{sys})$

Statistically separate (NN & likelihood) tau-like events in high energy sample; look for up-down asymmetry (after accounting for oscillation)

No tau events assumption is disfavored by  $\sim 2.4\sigma$

# Testing MaVaN

• Neutrinos gain mass only in high density matter (not in air or vacuum)

• Best Fit:

$$-\chi^2_{\text{MaVaN}} = 194.4/178 \text{ d.o.f}$$

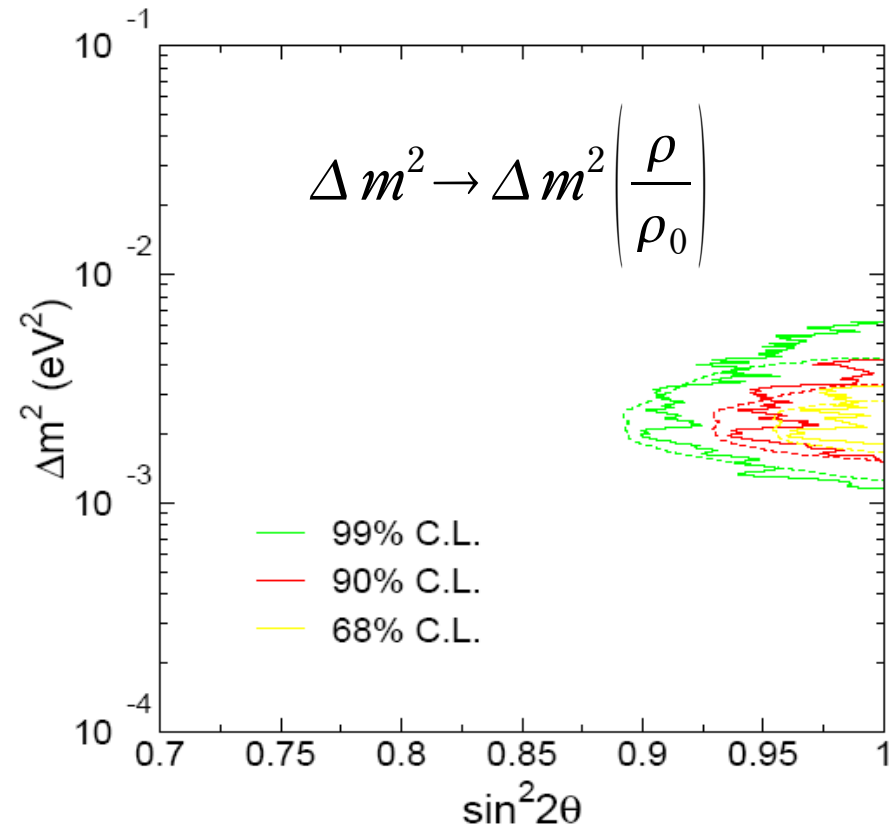
$$(\sin^2 2\theta, \Delta m^2) = (1.00, 2.19 \times 10^{-3} \text{ eV}^2)$$

$$-\chi^2_{\text{Standard}} = 174.97/178 \text{ d.o.f}$$

$$(\sin^2 2\theta, \Delta m^2) = (1.00, 2.11 \times 10^{-3} \text{ eV}^2)$$

• Excluded at  $4.4\sigma$  level

Under study:  $\Delta m^2 \rightarrow \Delta m^2 \left( \frac{\rho}{\rho_0} \right)^n$



----- Standard 2-flavor oscillations  
 — MaVaN oscillations