

Updated Analysis of Solar Neutrino Data

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OUTLINE

- I. The Solar Neutrino Problem.
- II. Two-Neutrino Oscillations.
- III. Three-Neutrino Oscillations.
- IV. *Unifying* Active and Sterile Oscillations:
Four-neutrino Oscillations.
- V. Conclusions.

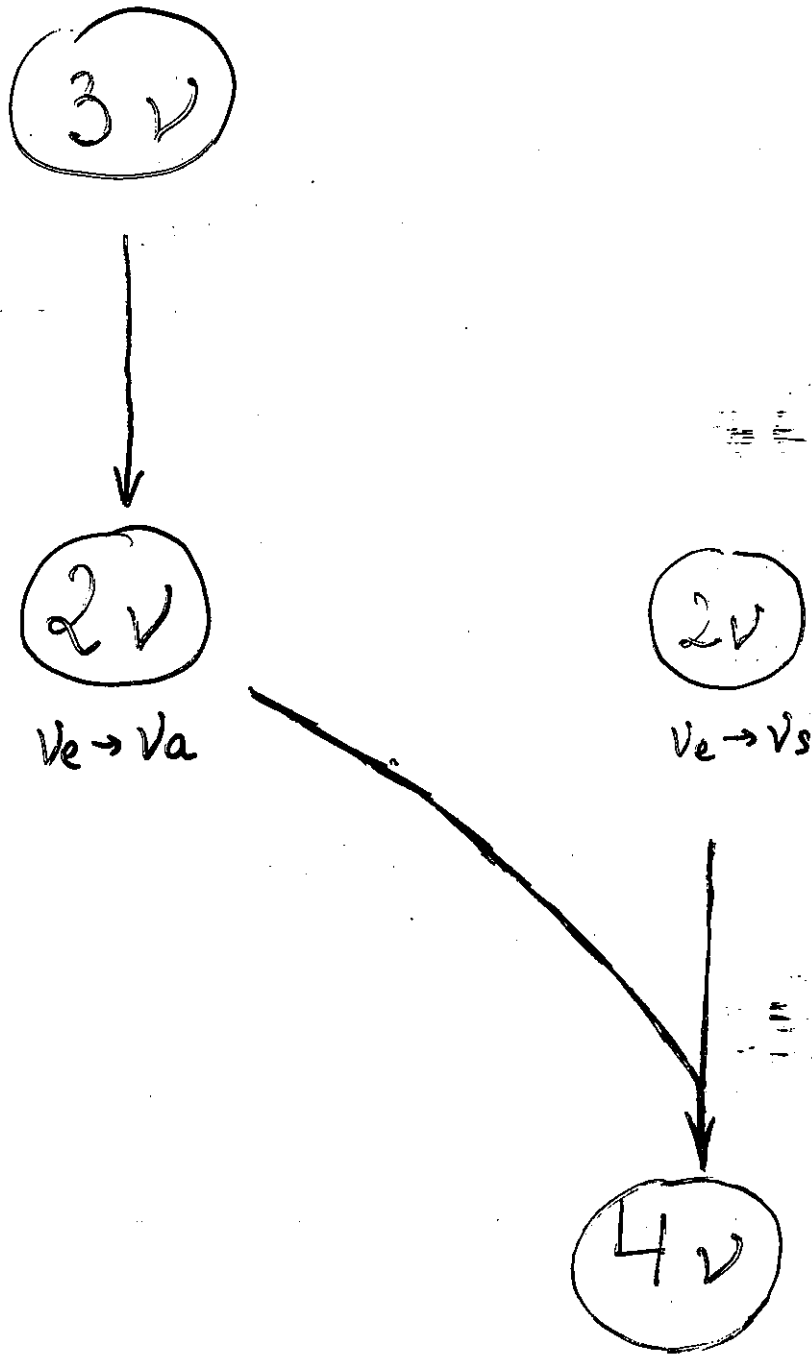
Work done in collaboration with M.C Gonzalez-Garcia.

M.C Gonzalez-Garcia and C. P-G, NPB Proc. Supl. 91 (2000)

M.C Gonzalez-Garcia, M. Maltoni, C. P-G and J.W.F. Valle, PRD63 (2001)

C. Giunti, M.C Gonzalez-Garcia and C. P-G, PRD62 (2001)

OUTLINE



- Principally, Solar neutrino analysis.

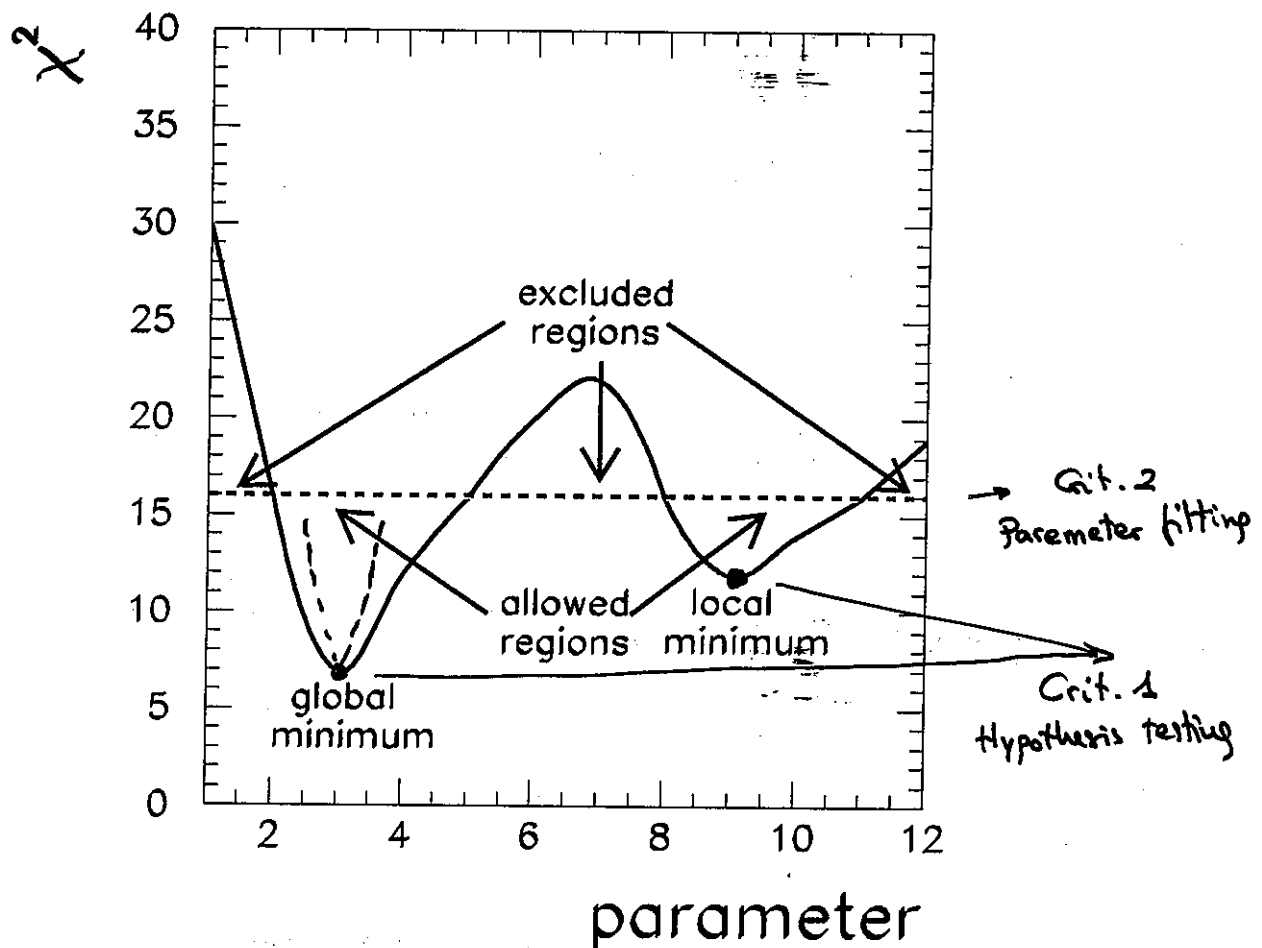
No exotic solutions

No δ ; Only $\Delta m_{ji}^2, \theta_{ij}$

Definition of Allowed regions

- For solar neutrinos χ^2 presents several local minima
- allowed regions at a given CL are defined as regions of parameters where

$$\chi^2(\text{param}) < \chi^2_{\text{global minimum}} + \Delta\chi^2(\text{CL}, \# \text{ of parameters})$$



- The statistical probability of an allowed solution is given by

$$\chi^2_{\text{local minim}} / d.o.f \quad d.o.f = \# \text{ data points} - \# \text{ parameters}$$

3v

Solar : $P_{ee} (\Delta m_{21}^2, \theta_{12}, \theta_{13}, \Delta m_{32}^2)$

$\begin{matrix} \text{L} \\ \text{hierarchy} \\ \Delta m_{32}^2 \gg \Delta m_{21}^2 \end{matrix} \rightarrow P_{ee} (\Delta m_{21}^2, \theta_{12}, \theta_{13})$

Atm : $P_{\alpha\beta} (\Delta m_{21}^2, \theta_{12}, \theta_{13}, \Delta m_{32}^2, \theta_{23})$

$\begin{matrix} \text{L} \\ \Delta m_{32}^2 \gg \Delta m_{21}^2 \end{matrix} \rightarrow P_{\alpha\beta} (\Delta m_{32}^2, \theta_{23}, \theta_{13})$

Solar :
 $P_{ee} = \cos^4 \theta_{13} \cdot P_{ee}^{2\nu} (\Delta m_{21}^2, \theta_{12}; \cos^2 \theta_{13} \cdot A) + \sin^4 \theta_{13}$

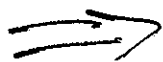
At present, hierarchy is a very good aprox. !

$$\chi^2_{\text{global}} = \chi^2_{\text{solar}} + \chi^2_{\text{atm}} + \chi^2_{\text{chose}}$$

How do you show the analysis:
let's look at the theorems in statistics !

$$\chi^2(a, b, c, d) \longrightarrow \chi^2(a, b, c; \min(a, b, c))$$

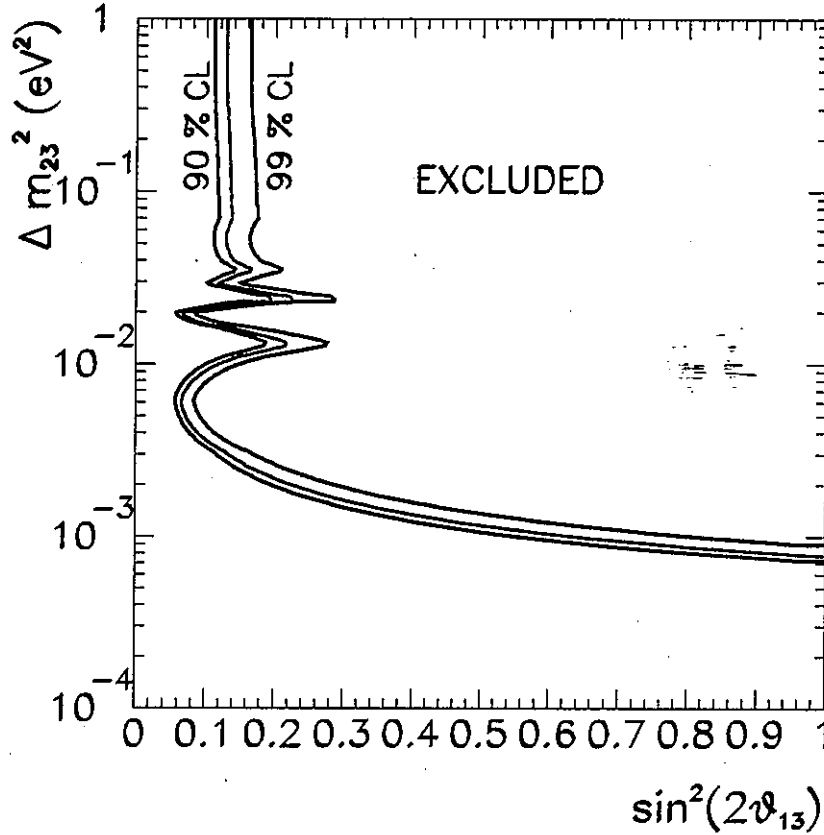
If this is
a χ^2



This remains
as a χ^2 but with -1 param.

Including CHOOZ results:

$$R = 1.01 \pm 2.8 \%(\text{stat}) \pm 2.7 \%(\text{syst})$$

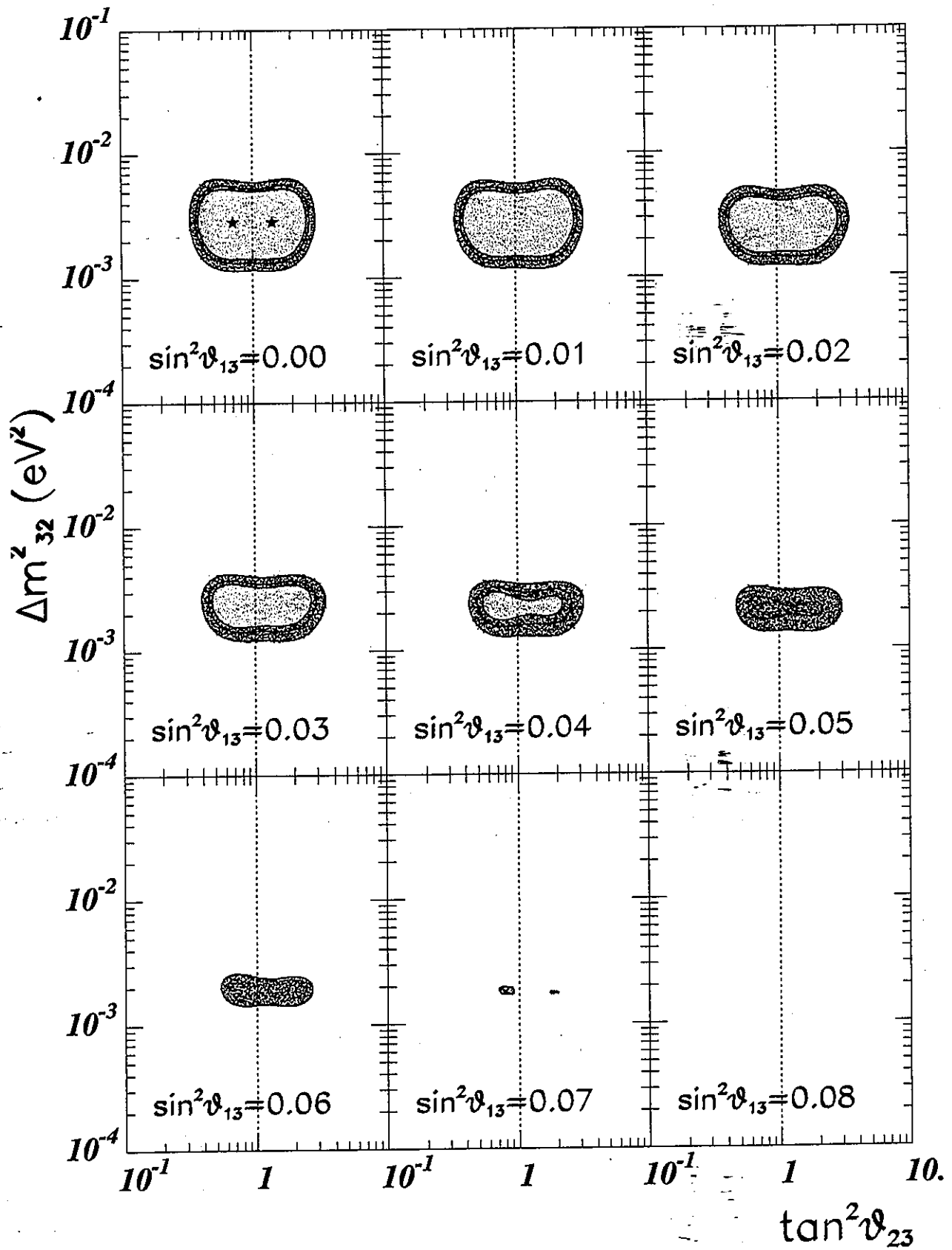


- Two-neutrino and Four-neutrino scenario:

$$P_{ee}^{CHOOZ} = 1 - \sin^2(2\theta_{12}) \sin^2\left(\frac{\Delta m_{12}^2 L}{4E_\nu}\right)$$

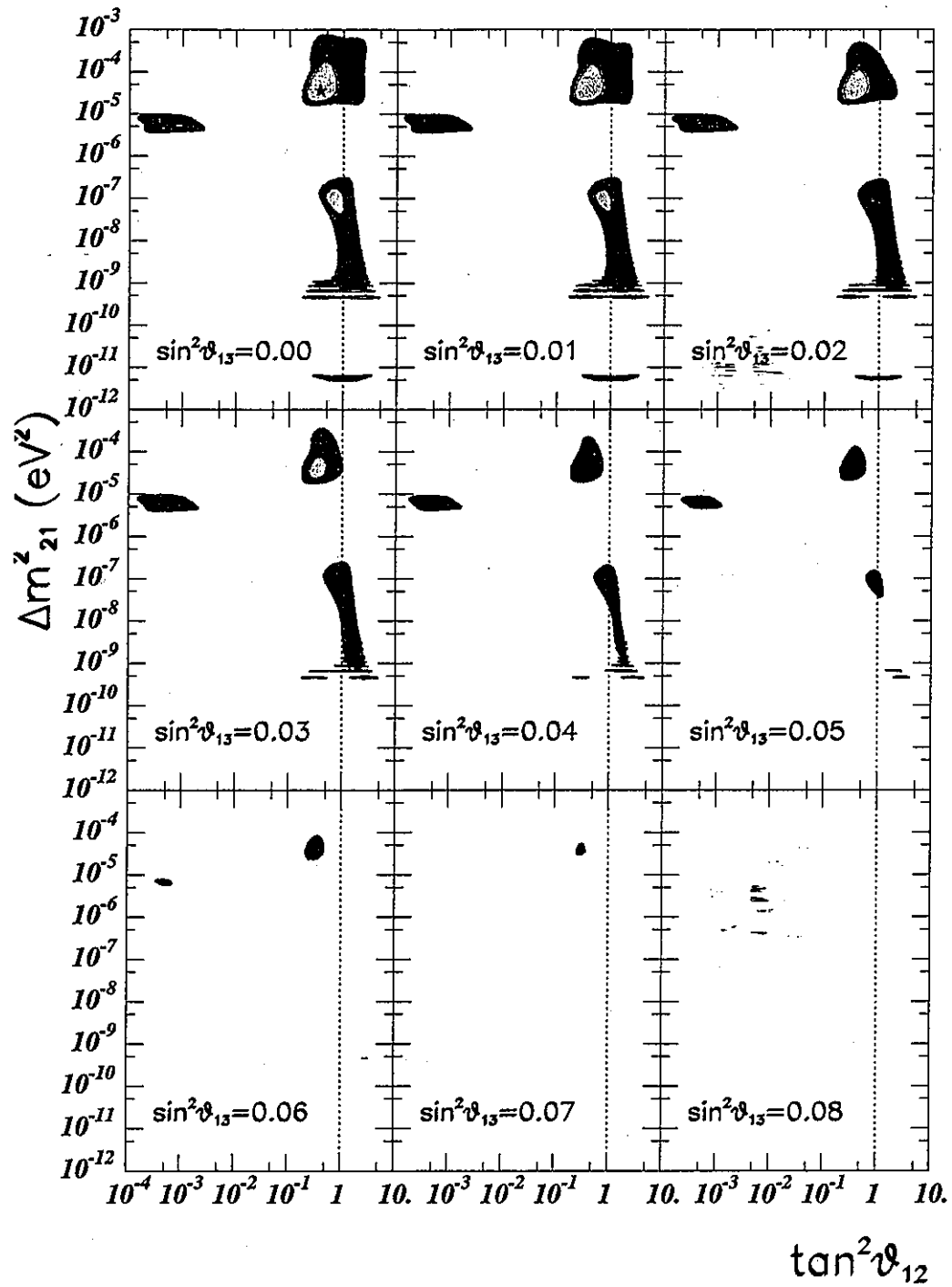
- Three-neutrino scenario:

$$P_{ee}^{CHOOZ} = 1 - \cos^4 \theta_{13} \sin^2(2\theta_{12}) \sin^2\left(\frac{\Delta m_{21}^2 L}{4E_\nu}\right) \\ - \sin^2(2\theta_{13}) \cos^2 \theta_{12} \sin^2\left(\frac{\Delta m_{31}^2 L}{4E_\nu}\right) \\ - \sin^2(2\theta_{13}) \sin^2 \theta_{12} \sin^2\left(\frac{\Delta m_{32}^2 L}{4E_\nu}\right)$$



Solutions for Three-neutrino Oscillations

Solar+Atmospheric+CHOOZ data.



II. Two-Neutrino Oscillations.

– The ν_e survival amplitude after propagation from the Sun to the detector at the Earth:

$$A(\nu_e \rightarrow \nu_e) = A_{Sun}(\nu_e \rightarrow \nu_1) \times A_{vac}(\nu_1 \rightarrow \nu_1) \times A_{Earth}(\nu_1 \rightarrow \nu_e) \\ + A_{Sun}(\nu_e \rightarrow \nu_2) \times A_{vac}(\nu_2 \rightarrow \nu_2) \times A_{Earth}(\nu_2 \rightarrow \nu_e)$$

– Where

$$|A_{Sun}(\nu_e \rightarrow \nu_1)|^2 = P_{e1}^{Sun} = 1 - |A_{Sun}(\nu_e \rightarrow \nu_2)|^2$$

$$|A_{Earth}(\nu_1 \rightarrow \nu_e)|^2 = P_{1e}^{Earth} = 1 - |A_{Earth}(\nu_2 \rightarrow \nu_e)|^2$$

$$A_{vac}(\nu_i \rightarrow \nu_i) = \exp(-i m_i^2 (L - R_{Sun})/2E)$$

– So in general:

$$P_{ee} = \frac{P_{e1}^{Sun} P_{1e}^{Earth} + (1 - P_{e1}^{Sun})(1 - P_{1e}^{Earth})}{2\sqrt{P_{e1}^{Sun}(1 - P_{e1}^{Sun})P_{1e}^{Earth}(1 - P_{1e}^{Earth})}} \cos\left(\frac{\Delta m^2 L}{2E} + \delta\right)$$

– When matter doesn't matter

$$P_{e1}^{Sun} = P_{1e}^{Earth} = \cos^2 \theta \Rightarrow \text{Vacuum Oscillations (VO)}$$

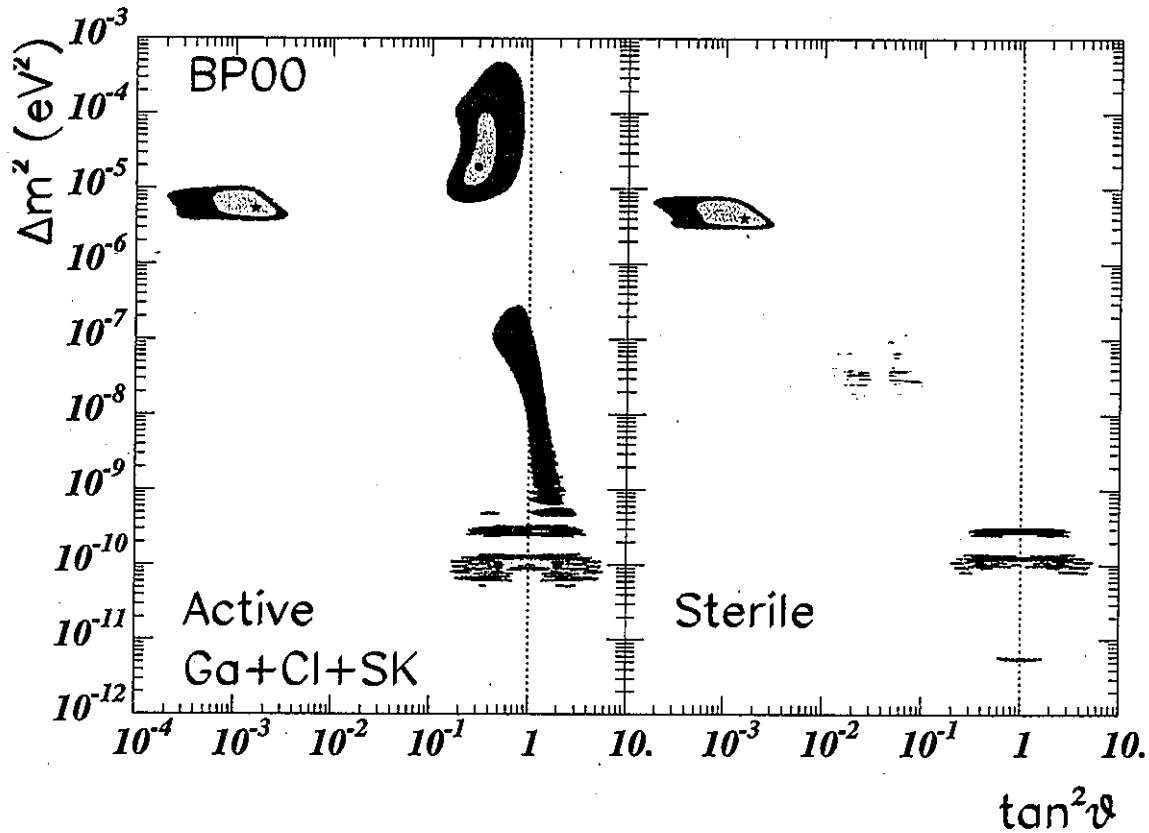
$$\text{For } \Delta m^2 \gtrsim 10^{-8} \text{ eV}^2 \Rightarrow \text{VO averaged, } P_{ee} = 1 - \frac{1}{2} \sin^2 2\theta$$

– When matter does matter ($10^{-8} \lesssim \Delta m^2 \lesssim 10^{-3}$): Matter effects in the Sun (MSW) and in the Earth

Solutions for $\nu_e \rightarrow \nu_{active}$ Or $\nu_e \rightarrow \nu_{sterile}$

Updated with Super-Kamiokande 1258 days, BP00 v2

Allowed regions from Rates (90,95, 99, 99.7 % CL):



Why differences for oscillations into active or sterile?

Main effect is different contribution to event rates in SuperK

$\nu_{\mu(\tau)} + e \rightarrow \nu_{\mu(\tau)} + e \rightarrow$ NC events in SuperK

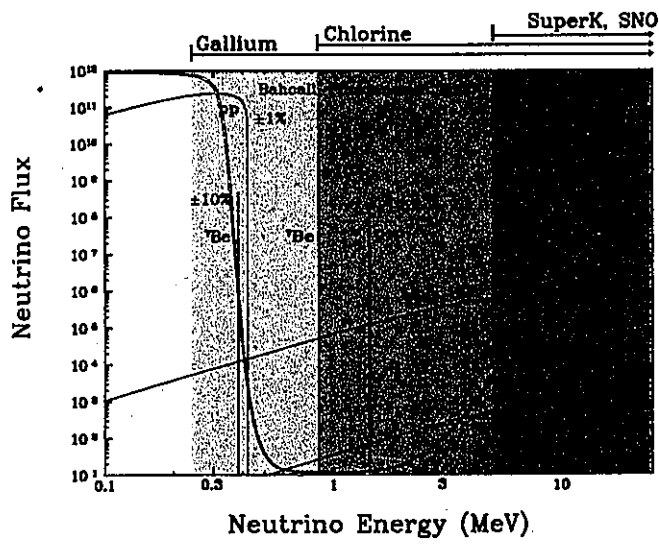
$\nu_s + e \not\rightarrow \nu_s + e \rightarrow$ no NC events in SuperK

Also slightly different survival probabilities in the sun

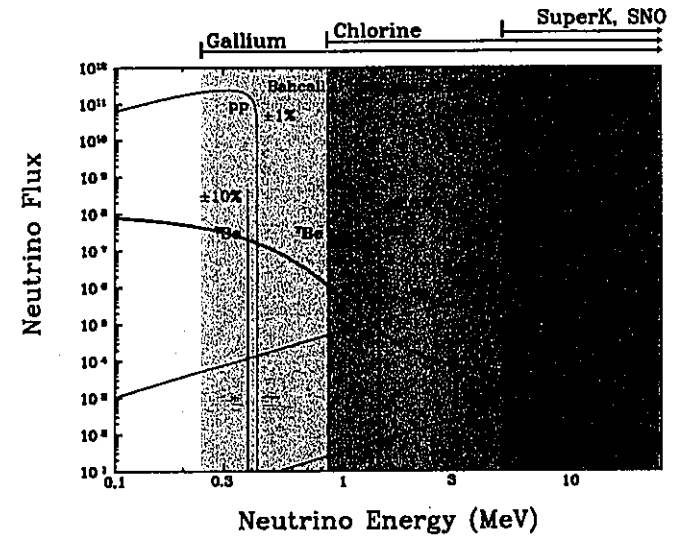
		Active				Sterile
		SMA	LMA	LOW	VAC	SMA
Rates	$\Delta m^2/eV^2$	5.5×10^{-6}	1.9×10^{-5}	9.2×10^{-8}	9.7×10^{-11}	4.1×10^{-6}
	$\tan^2 \theta$	1.6×10^{-3}	0.30	0.67	0.50 (2.0)	1.5×10^{-3}
	Prob (%)	56 %	8 %	0.5 %	2 %	14%

Energy Dependence of P_{ee}^{Day} for Different Solutions

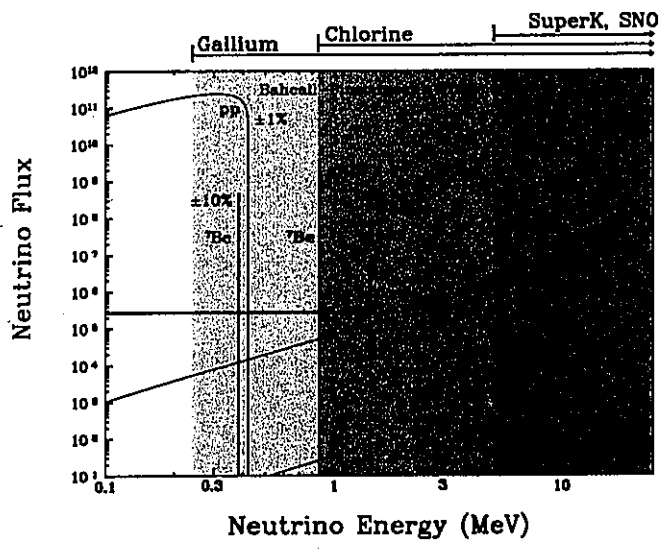
SMA



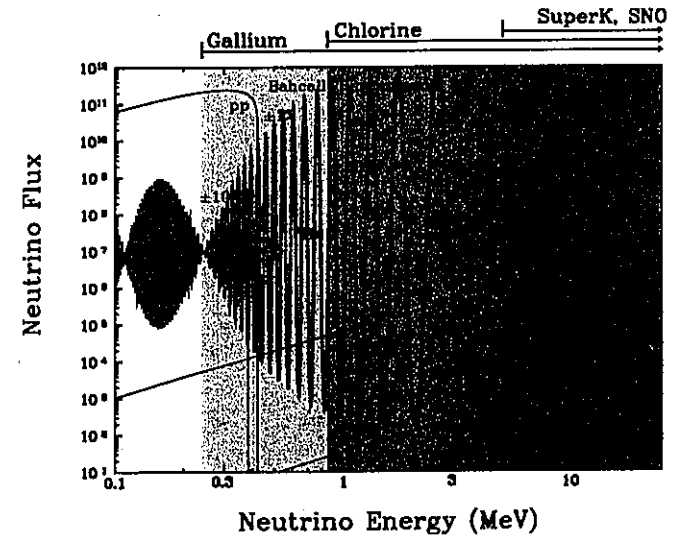
LMA



LOW



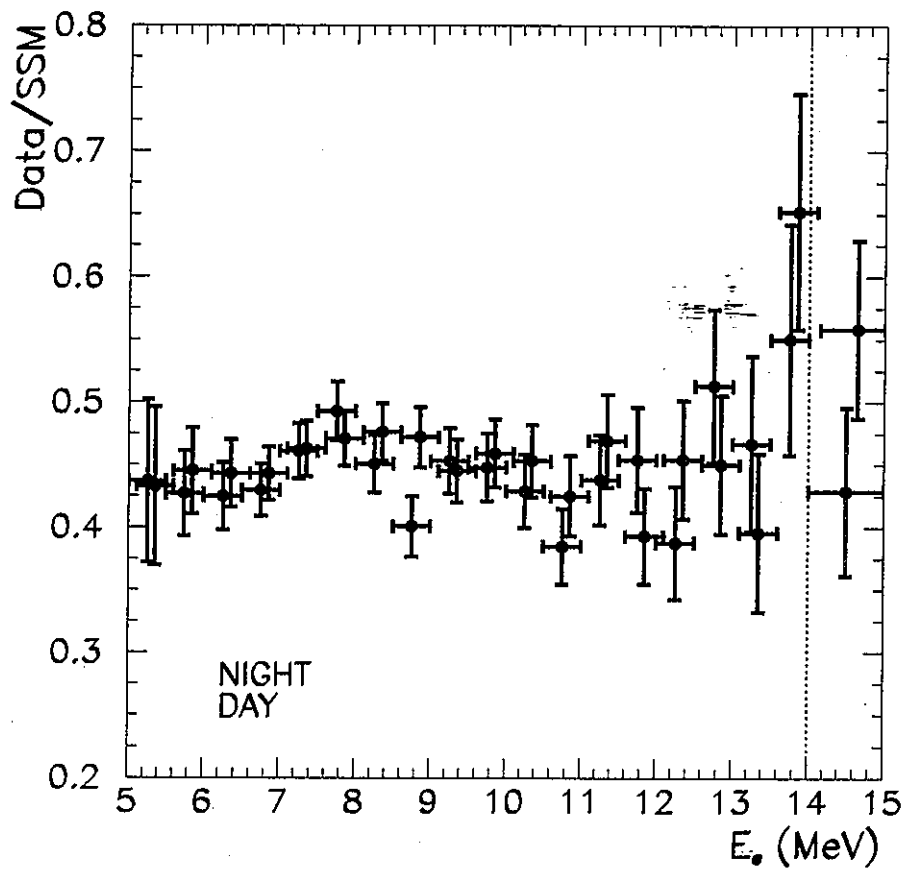
VAC



Other Super-Kamiokande Measurements

Super-Kamiokande 1258 Days

● Recoil Day-Night Electron Energy Spectrum



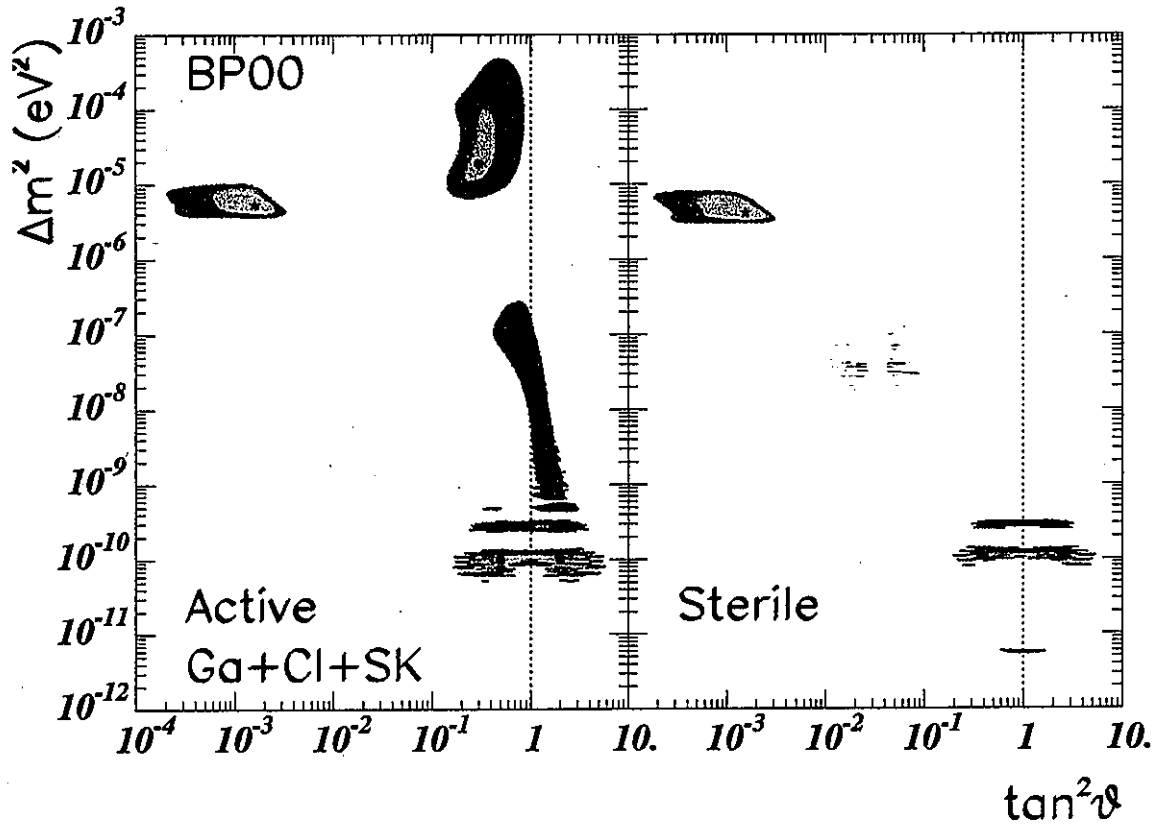
→ Spectrum compatible with flat $\chi^2_{flat} = 33/(37\text{dof})$

→ Few more events at N than D $2 \frac{N-D}{N+D} = 0.033 \pm 0.022 \pm 0.013 (1.3\sigma)$

Solutions for $\nu_e \rightarrow \nu_{active}$ OR $\nu_e \rightarrow \nu_{sterile}$

Updated with Super-Kamiokande 1258 days, BP00 v2

Allowed regions from Rates (90,95, 99, 99.7 % CL):



Why differences for oscillations into active or sterile?

Main effect is different contribution to event rates in SuperK

$$\nu_{\mu(\tau)} + e \rightarrow \nu_{\mu(\tau)} + e \rightarrow \text{NC events in SuperK}$$

$$\nu_s + e \not\rightarrow \nu_s + e \rightarrow \text{no NC events in SuperK}$$

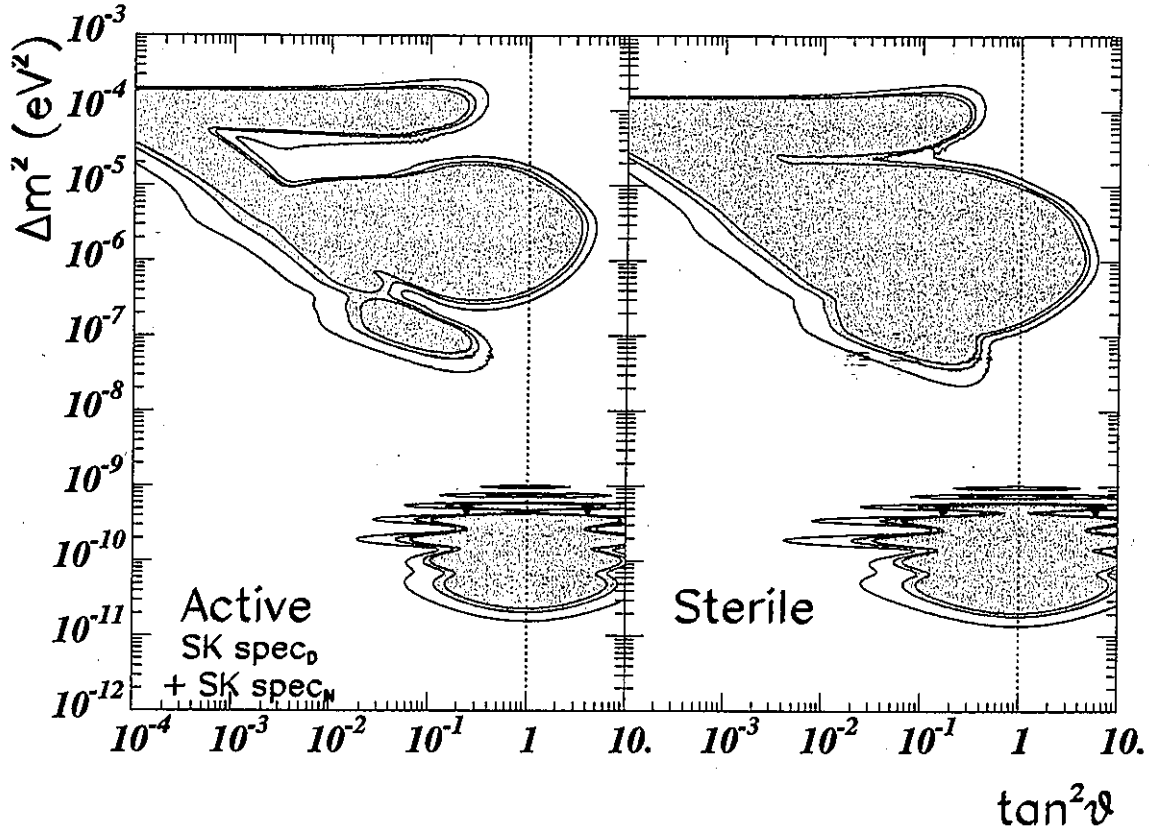
Also slightly different survival probabilities in the sun

		Active				Sterile
		SMA	LMA	LOW	VAC	SMA
Rates	$\Delta m^2 / \text{eV}^2$	5.5×10^{-6}	1.9×10^{-5}	9.2×10^{-8}	9.7×10^{-11}	4.1×10^{-6}
	$\tan^2 \theta$	1.6×10^{-3}	0.30	0.67	0.50 (2.0)	1.5×10^{-3}
	Prob (%)	56%	8%	0.5%	2%	14%

Solutions for $\nu_e \rightarrow \nu_{active}$ or $\nu_e \rightarrow \nu_{sterile}$

Updated with Super-Kamiokande 1258 days, BP00 v2

Excluded from Sp_D and Sp_N :



* Total Rates:

$$\chi_R^2 = \sum_{i,j=1,3} (R_i^{th} - R_i^{exp}) \sigma_{ij}^{-2} (R_j^{th} - R_j^{exp})$$

* Day and Night Recoil Electron Energy Spectra: 2×19 bins

$$\chi_{SpD/SpN}^2 = \sum_{i,j=1,38} (\alpha_{sp} R_i^{th} - R_i^{exp}) \sigma_{ij}^{-2} (\alpha_{sp} R_j^{th} - R_j^{exp})$$

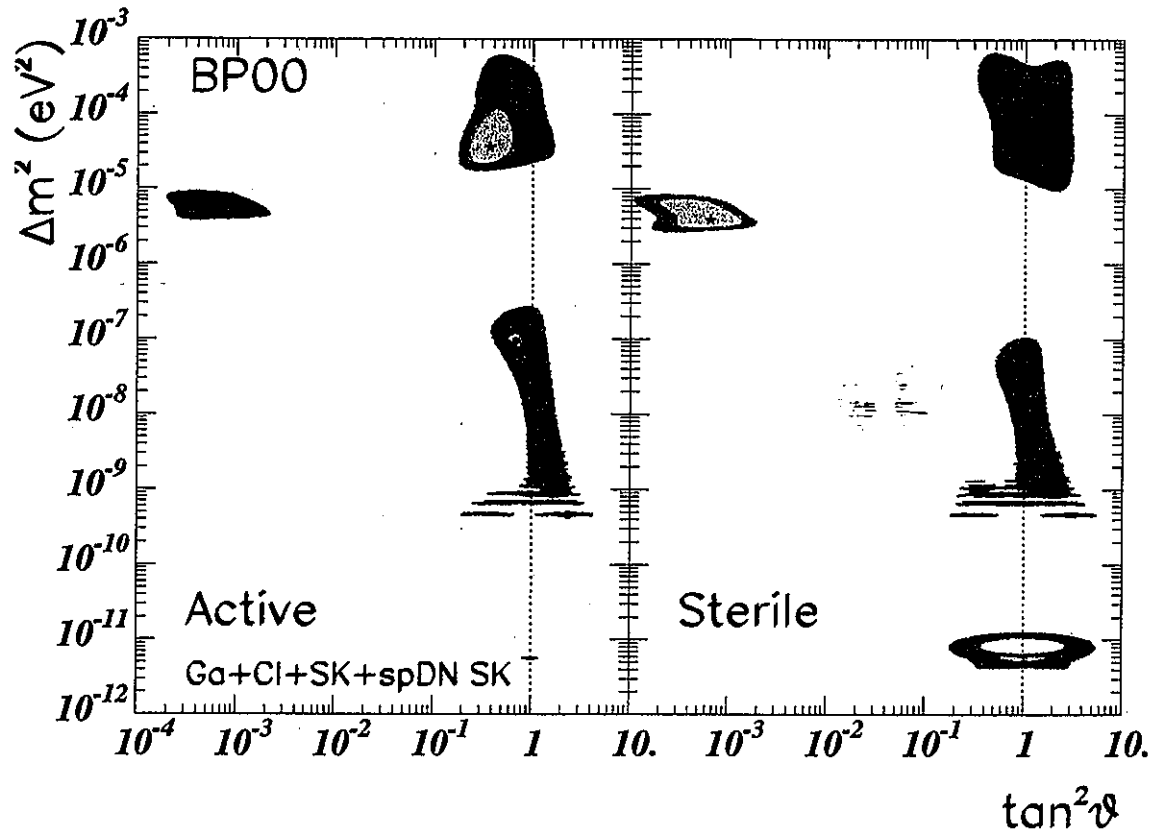
In Global Analysis we use 3 Rates + SK Day and Night spectra:

A total of $3+38-1=40$ independent data points

Solutions for $\nu_e \rightarrow \nu_{active}$ Or $\nu_e \rightarrow \nu_{sterile}$

Updated with Super-Kamiokande 1258 days, BP00 v2

Allowed regions from Global Analysis (Solar+CHOOZ data):



		Active				Sterile
		SMA	LMA	LOW	$\bar{\nu}$ AC	SMA
Rates	$\Delta m^2/eV^2$	5.5×10^{-6}	1.9×10^{-5}	9.2×10^{-8}	9.7×10^{-11}	4.1×10^{-6}
	$\tan^2 \theta$	1.6×10^{-3}	0.30	0.67	0.50 (2.0)	1.5×10^{-3}
	Prob (%)	56 %	8 %	0.5 %	2 %	14%

		Active				Sterile
		SMA	LMA	LOW	QVO	SMA
Global	$\Delta m^2/eV^2$	5.2×10^{-6}	3.7×10^{-5}	1.0×10^{-7}	4.6×10^{-16}	3.9×10^{-6}
	$\tan^2 \theta$	7.5×10^{-4}	0.37	0.67	2.37	6.5×10^{-4}
	Prob (%)	30 %	61 %	42 %	35 %	30%

Allowed regions: How to discriminate them?

- Solar neutrinos oscillate ?
- Scenario : 3, 4 ?
- Regime : LHA, LOW, SMA, SAC, J^2 ?



Details in natural sources of neutrinos !

- Solar interior
- ...

(b) Oscillations in the Earth Matter :

$$P_{ee}^{Night} = P_{ee}^{Day} - (1 - 2P_c) \cos(2\theta_{m,0}) f_{reg}$$

with

$$f_{reg} = P_{2e}^{Earth} - \sin^2 \theta$$

In the case of crossing the distance L inside a constant V_e :

$$f_{reg} = \frac{V_e}{\frac{\Delta m^2}{4E}} \sin^2 2\theta_m \sin^2 \frac{\pi L}{l_m} = \frac{V_e}{\frac{\Delta m^2}{4E}} \sin^2 2\theta_m \sin^2 \frac{\phi}{2}$$

with the oscillation length in matter l_m

$$l_m = \frac{\pi}{\frac{\Delta m^2}{4E}} \frac{\sin 2\theta_m}{\sin 2\theta}$$

• As a result:

- f_{reg} is ALWAYS positive.

- $P_{ee}^{Night} - P_{ee}^{Day}$ positive or negative depending on P_c .

The adiabatic case, $P_c = 0$, implies maximal $P_{ee}^{Night} > P_{ee}^{Day}$.

• Matter effects in the Earth are maximal when

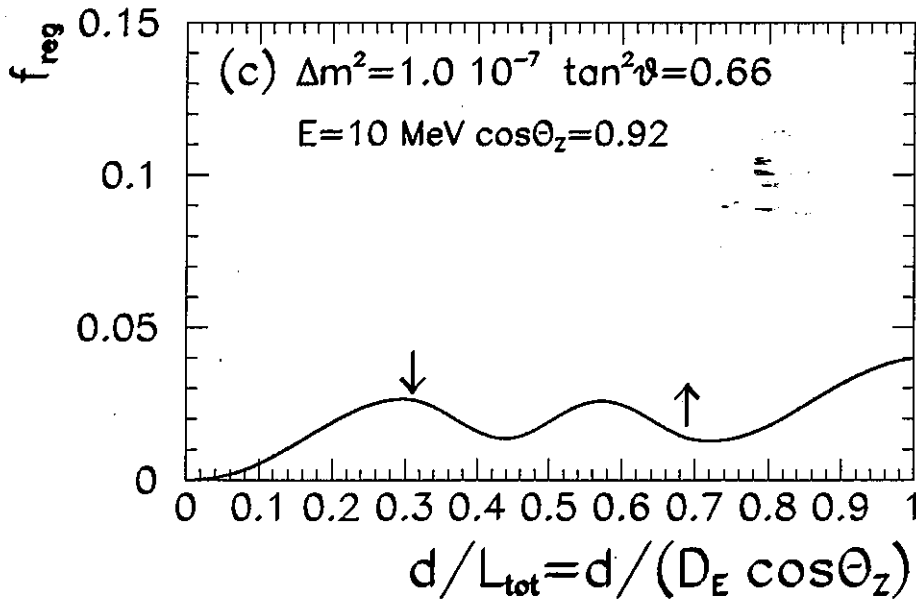
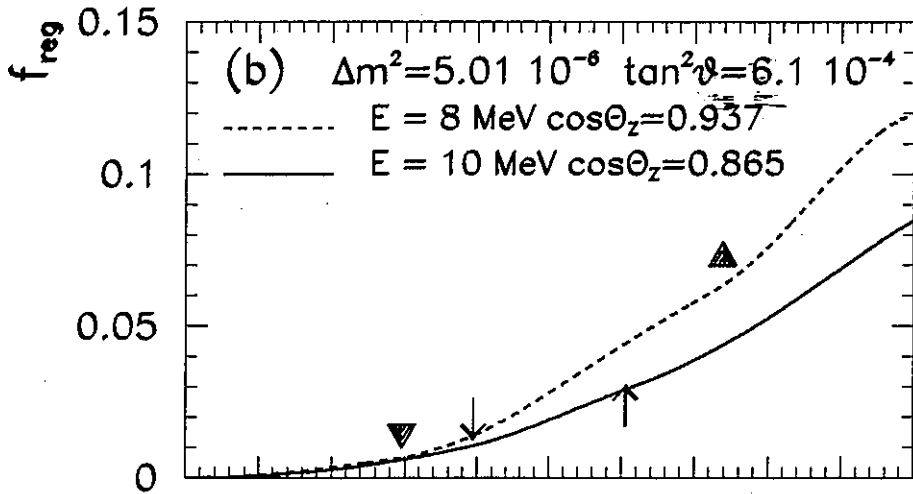
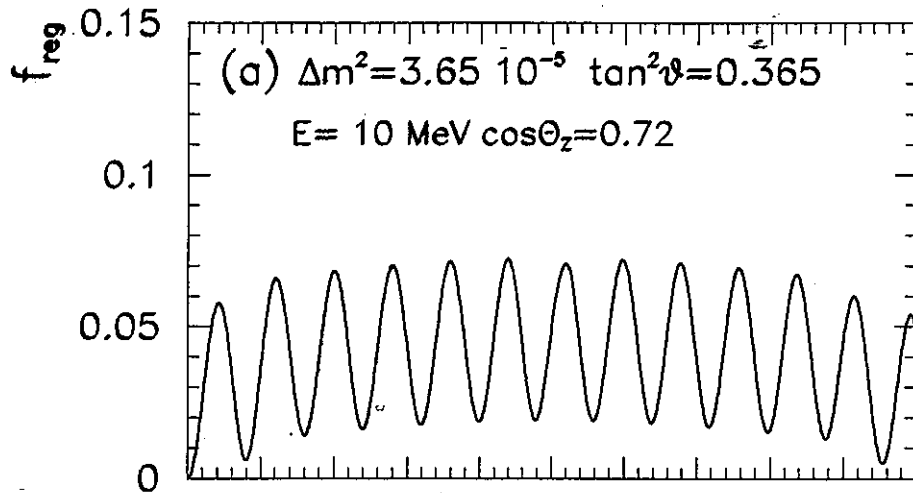
$$\Delta m^2 \cos(2\theta) \sim 2EV_e$$

Since $\langle V_{e,Earth} \rangle = 2 - 5 \text{ g cm}^{-3}$ and $E \sim 10 \text{ MeV}$.

Effect is most important for $\Delta m^2 \cos(2\theta) \sim 10^{-6} - 10^{-7} \text{ eV}^2$

Earth matter effects generally represented by the day-night asymmetry

$$A_{DN} = 2 \frac{D-N}{D+N}$$



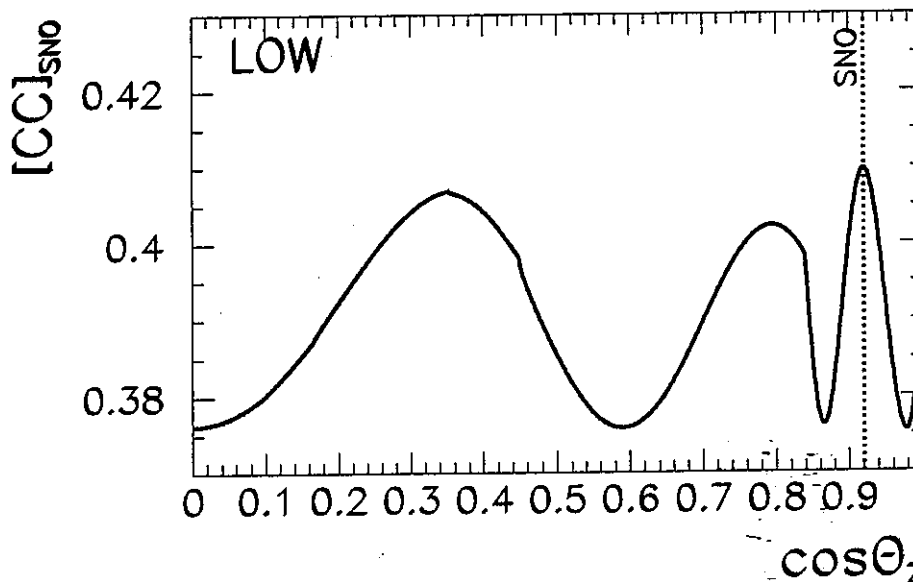
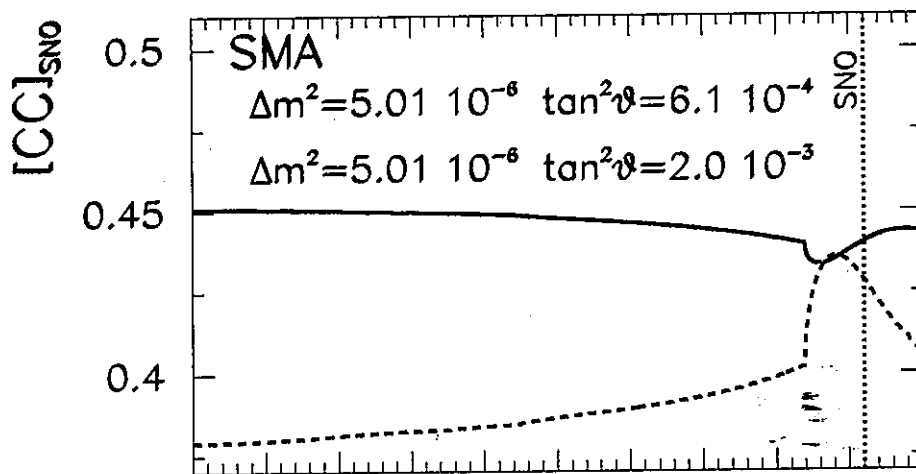
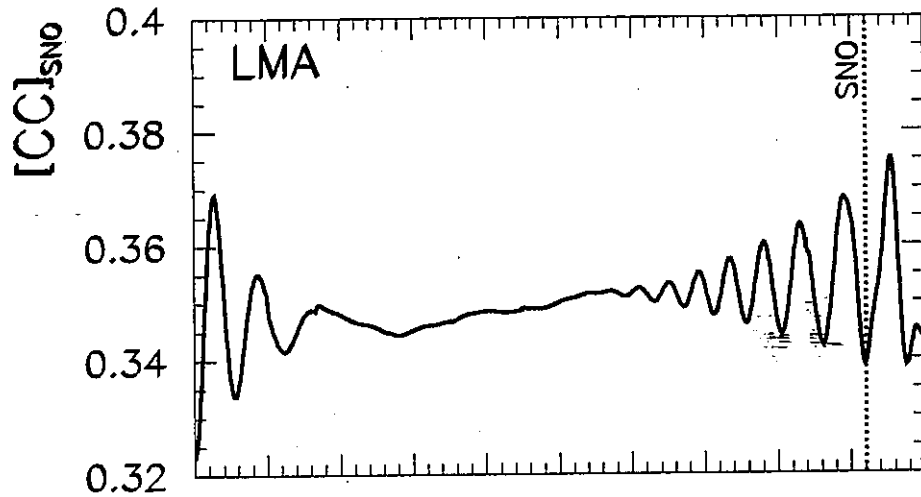
$$f_{\text{reg}} = \frac{V_e}{\frac{\Delta m^2}{4E}} \sin^2 2\theta_m \sin^2 \frac{\pi L}{l_m} \quad l_m = \frac{\pi}{\frac{\Delta m^2}{4E}} \frac{\sin 2\theta_m}{\sin 2\theta}$$

$$\sin(2\theta_m) = \frac{\Delta m^2 \sin(2\theta)}{\sqrt{(\Delta m^2 \cos(2\theta) - A)^2 + (\Delta m^2 \sin(2\theta))^2}}$$

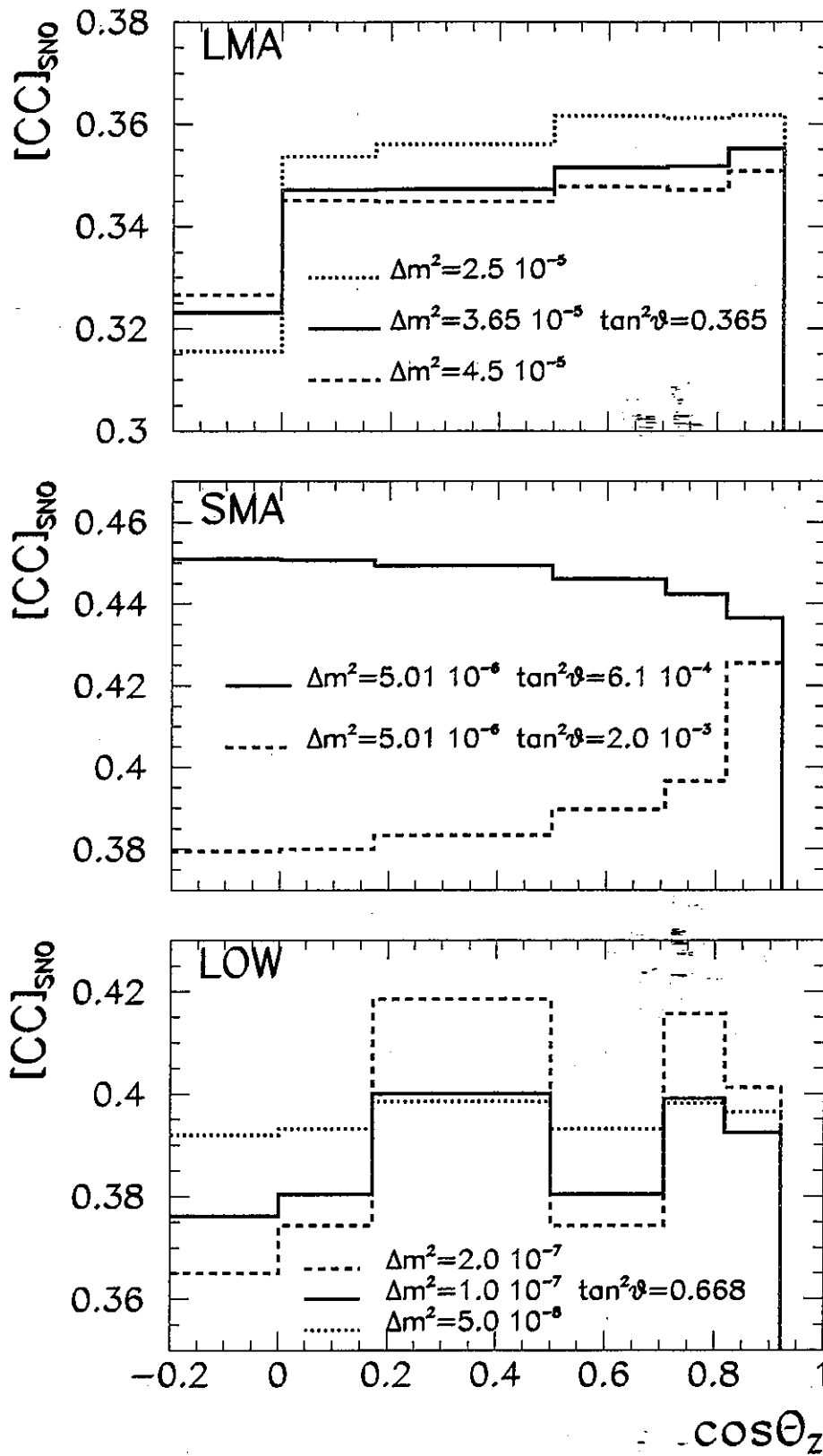
IV. Predictions for SK and SNO.

• Zenith Angle Distributions at SNO.

M.C. Gonzalez-Garcia, C.P.G and A.Yu. Smirnov, PRD 63 (2001)

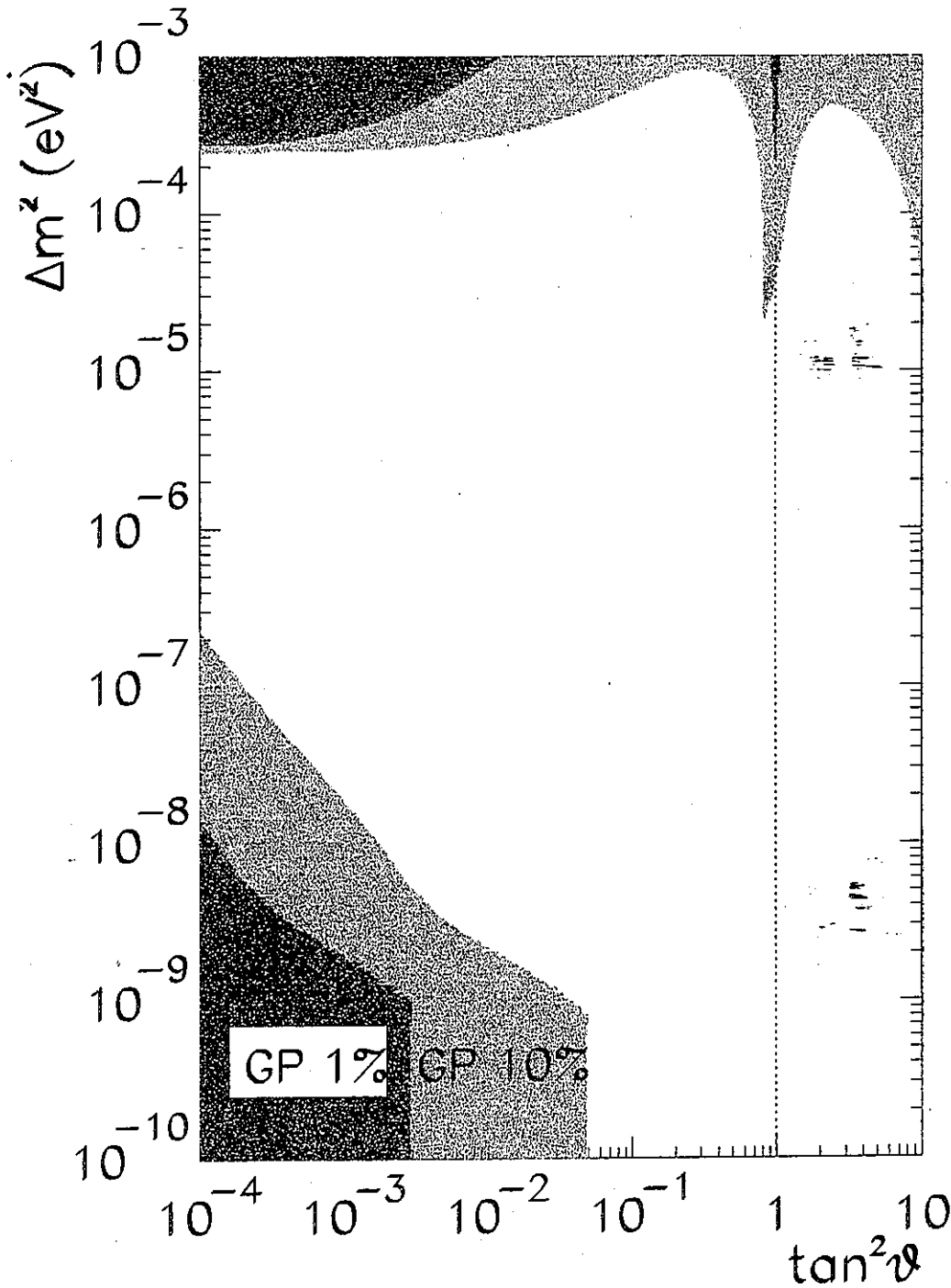


● Optimum Binning for Zenith Angle Distributions at SNO.

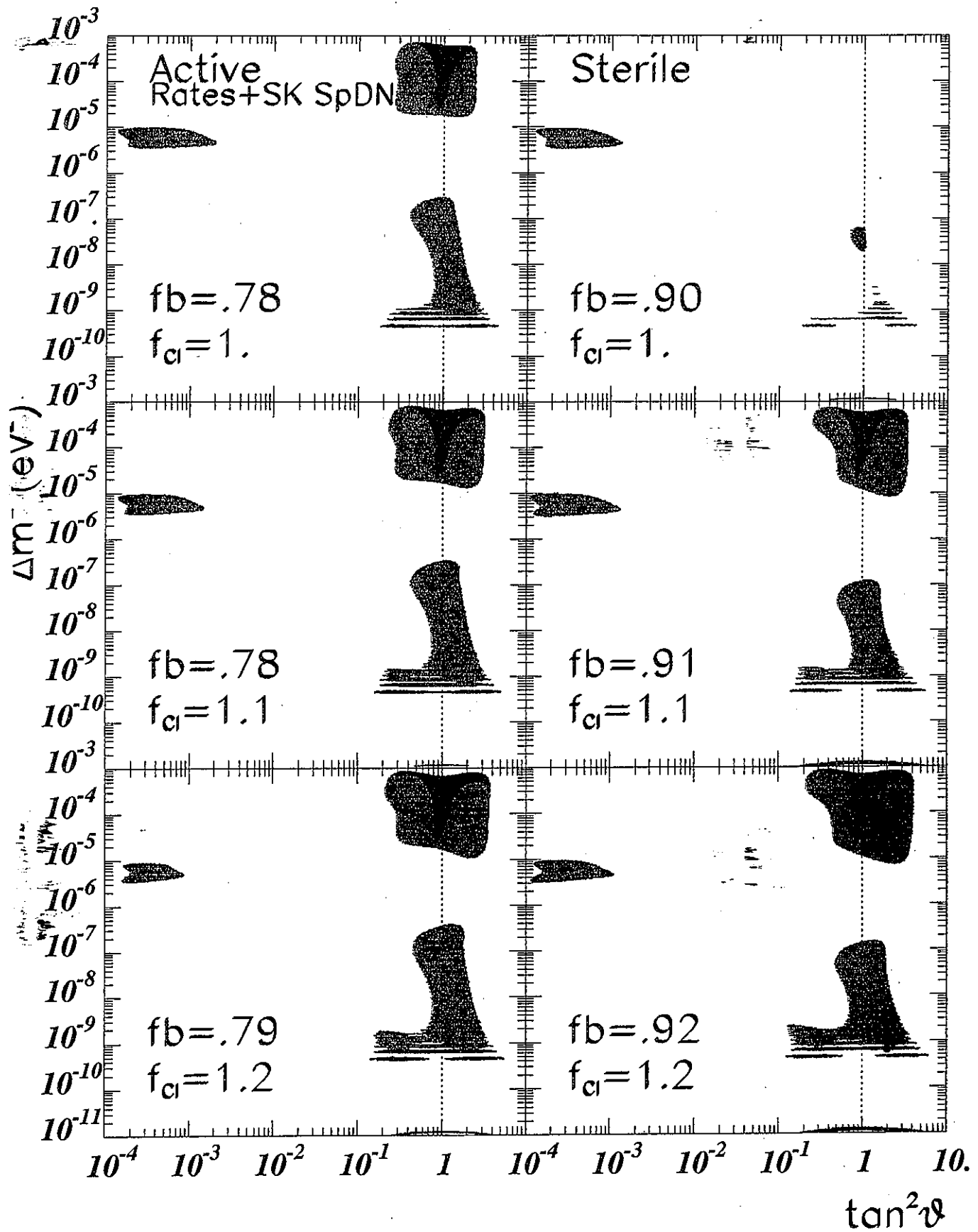


$$P_{GP} = 1 - \frac{1}{2} \sin^2 2\theta$$

$$\frac{|P_{GP} - P_{ee}|}{P_{GP}} < 10\% \quad (1\%)$$



Berezinsky, V., Gonzalez-Garcia and C.P.P., hep-ph/0105294



U. Beretinsky, M.C. Gonzalez-Garcia and C.P.G., hep-ph/0105294

IV. Unifying Active and Sterile Oscillations: Four-neutrino Oscillations.

- To fit solar, atmospheric and LSND

$$\rightarrow 3 \Delta m^2 : \Delta m_{LSND}^2 \gg \Delta m_{atm}^2 \gg \Delta m_{sun}^2$$

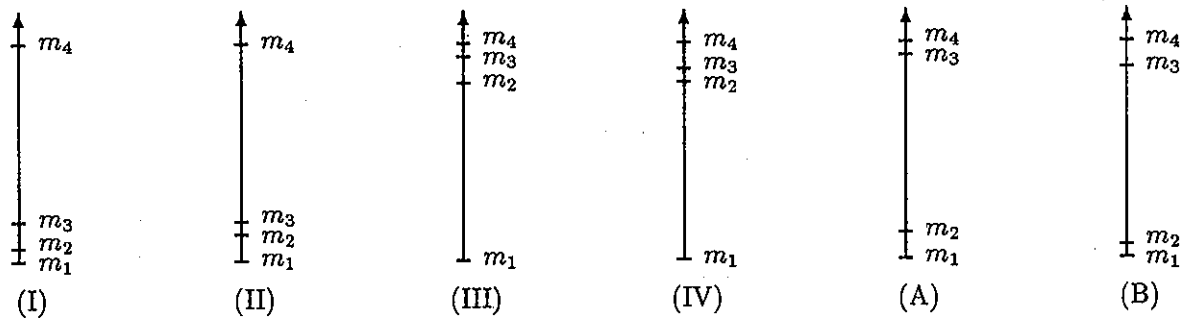
$$\rightarrow 4 \nu' s$$

- But LEP data implies only 3 neutrino flavours

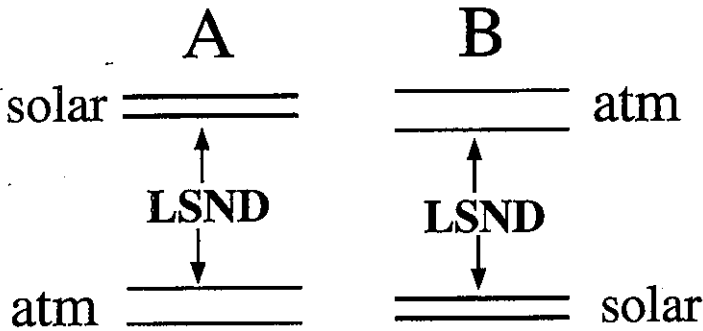
$$\rightarrow 4\text{th } \nu \text{ must be sterile}$$

- U : 6 mixing angles and 3 CP phases

- Possible mass spectra:



- Limits from Accelerator and Reactor: \rightarrow A or B favoured



In B for example:

$$\text{Atm: } \nu_\mu \rightarrow \nu_+$$

$$\text{Solar: } \nu_e \rightarrow \nu_-$$

$$\nu_- \simeq c_\chi \nu_s + s_\chi \nu_\tau$$

$$\nu_+ \simeq -s_\chi \nu_s + c_\chi \nu_\tau$$

χ function of angles in U

- Open Question: is ν_s part of Atm or Solar Oscillation?

* In 2- ν oscillations we have seen the limiting cases:

$$c_\chi = 0 \rightarrow \left\{ \begin{array}{l} \text{Atm: } \nu_\mu \rightarrow \nu_s \\ \text{Solar: } \nu_e \rightarrow \nu_\tau \end{array} \right.$$

$$c_\chi = 1 \rightarrow \left\{ \begin{array}{l} \text{Atm: } \nu_\mu \rightarrow \nu_\tau \\ \text{Solar: } \nu_e \rightarrow \nu_s \end{array} \right.$$

* But intermediate cases $0 < s_\chi < 1$ also possible

Bugey

$$\Delta m_{LSD}^2$$

$$P_{ee} \approx 0.99$$



$$c_{14}^2 s_{13}^2 + s_{14}^2 \leq 10^{-2}$$

$$\begin{aligned} \theta_{13} &\rightarrow 0 \\ \theta_{14} &\rightarrow 0 \end{aligned}$$

CDHS, CCFR

$$\Delta m_{LSD}^2 \Rightarrow s_{23}^2 \approx 0.2$$

$$U = U_{24} U_{23} U_{14} U_{13} U_{34} U_{12} \approx U_{24} U_{23} U_{34} U_{12}$$

Solar: U_{34} is not appearing

$$\nu_e \rightarrow c_{23} c_{24} \nu_s + \sqrt{1 - c_{23}^2 c_{24}^2} \nu_a$$

$$U = \underbrace{U_{24} U_{23}}_{c_{24} c_{23}} \cdot U_{12}$$

$$A \approx A_{cc} + c_{23}^2 c_{24}^2 A_{nc}$$

Atmos: hierarchy

$$U = U_{24} U_{23} U_{34}$$

$$s_{23}^2 c_{24} \nu_s + c_{23} \nu_\mu - s_{23} s_{34} \nu_\tau$$

enter independently =

$$\downarrow$$

$$s_{24} \nu_s + c_{24} \nu_\tau$$

but! only U_{24}, U_{23} enter in the dynamics!

$$A \approx (s_{24}^2 - s_{23}^2 c_{24}^2) A_{nc}$$

U_{34} only appear in the last step: χ^2 !

$$0 < \theta_{12} < \pi/2$$

$$0 < \theta_{23} < \pi/2$$

$$0 < \theta_{24} < \pi/2$$

$$-\pi/2 < \theta_{34} < \pi/2$$

$$\theta_{1320} \rightarrow 0 < \theta_{13} < \pi/2$$

- The mixing matrix $U = U_{24}U_{23}U_{34}U_{12}$

$$\begin{pmatrix} c_{12} & s_{12} & 0 & 0 \\ -s_{12}c_{23}c_{24} & c_{12}c_{23}c_{24} & s_{23}c_{24}c_{34} - s_{24}s_{34} & s_{23}c_{24}s_{34} + s_{24}c_{34} \\ s_{12}s_{23} & -c_{12}s_{23} & c_{23}c_{34} & c_{23}s_{34} \\ s_{12}c_{23}s_{24} & -c_{12}c_{23}s_{24} & -s_{23}s_{24}c_{34} + c_{24}s_{34} & -s_{23}s_{24}s_{34} + c_{24}c_{34} \end{pmatrix}$$

- For solar neutrinos:

- * $c_{23}^2 c_{24}^2 = 0 \rightarrow$ pure $\nu_e \rightarrow \nu_{active}$ oscillations with mixing θ_{12}
- * $c_{23}^2 c_{24}^2 = 1 \rightarrow$ pure $\nu_e \rightarrow \nu_{sterile}$ oscillations with mixing θ_{12}
- * Intermediate cases $0 < c_{23}^2 c_{24}^2 = |U_{s1}|^2 + |U_{s2}|^2 < 1$ also possible: $\nu_e \rightarrow \nu_X$ with mixing θ_{12}

$$\nu_X = c_{23}^2 c_{24}^2 \nu_s + \sqrt{1 - c_{23}^2 c_{24}^2} \nu_a.$$

- In this general case of simultaneous $\nu_e \rightarrow \nu_s$ and $\nu_e \rightarrow \nu_a$:

$$P_{ee}^{4\nu} = P_{ee}^{2\nu}$$

$$P_{es}^{4\nu} = c_{23}^2 c_{24}^2 (1 - P_{ee}^{2\nu})$$

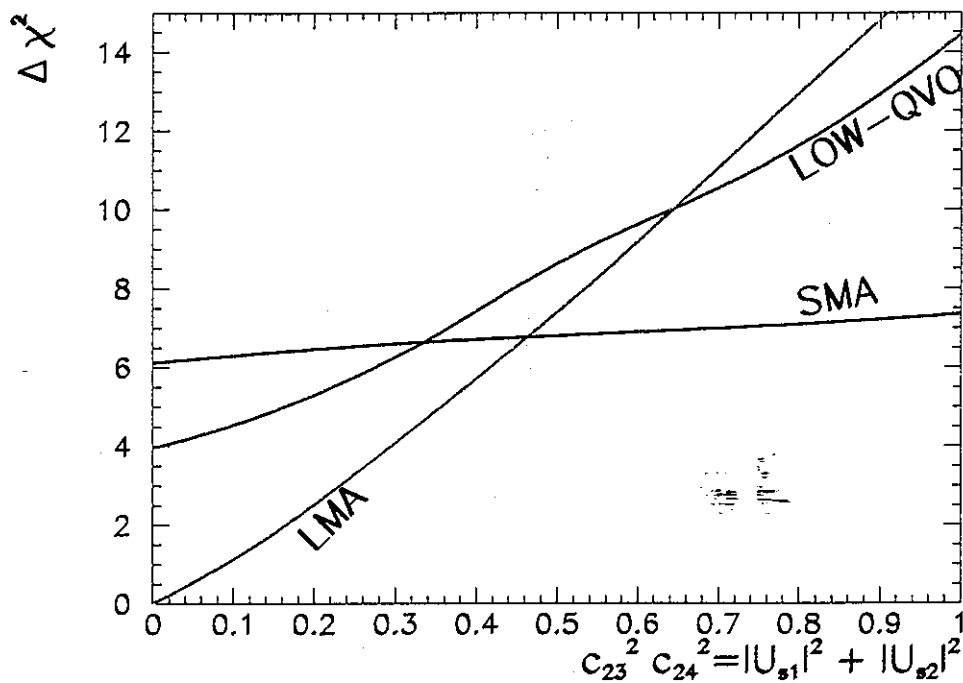
$$P_{ea}^{4\nu} = (1 - c_{23}^2 c_{24}^2) (1 - P_{ee}^{2\nu})$$

but $P_{ee}^{2\nu}$ is computed for a matter potential

$$A \equiv A_{CC} + c_{23}^2 c_{24}^2 A_{NC}$$

- Analysis with the 3 parameters: $\Delta m_{12}^2, \theta_{12}$, and $c_{23}^2 c_{24}^2$

Solutions for Four-neutrino Oscillations



- LMA, LOW-QVO can have a subdominant $\nu_e \rightarrow \nu_s$ component
- SMA allowed at 95% CL for all mixtures active-sterile

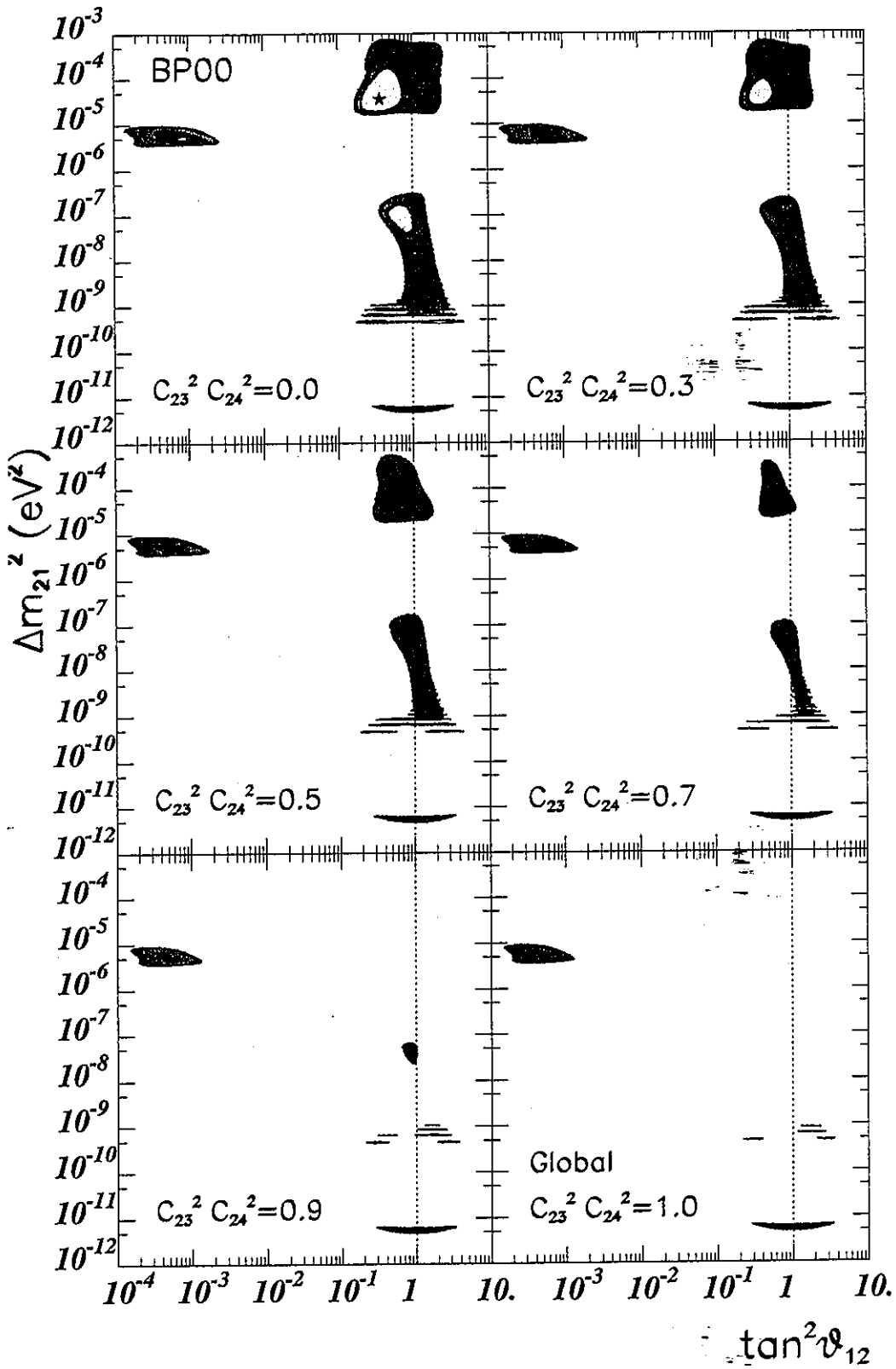
Three dimensional disappearing for $c_{23}^2 c_{24}^2$

% CL	SMA	LMA	LOW-QVO
90	< 0.08	< 0.44	< 0.30
99	all	< 0.67	< 0.77

- Impact of atmospheric data:

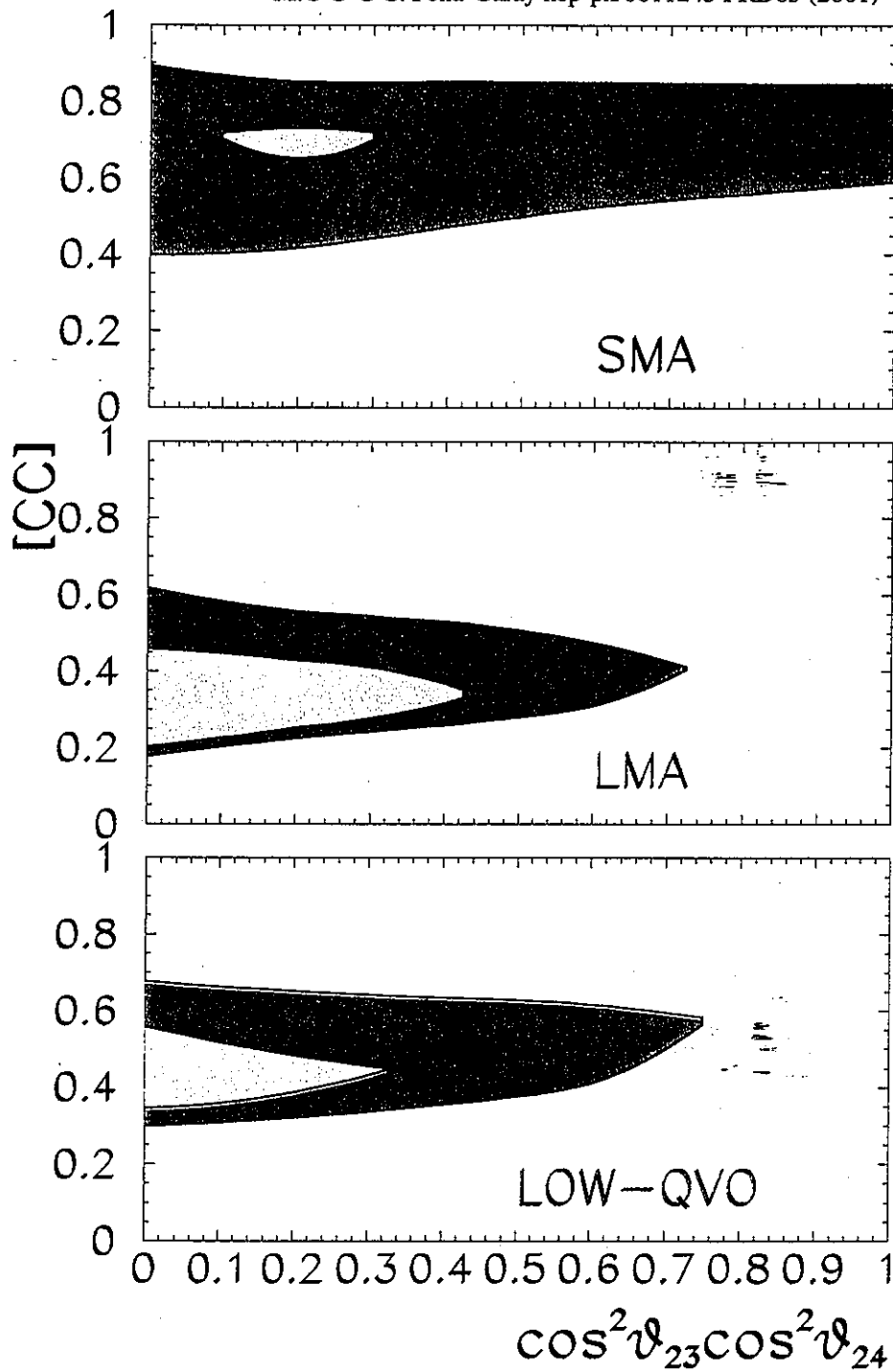
Solutions for Four-neutrino Oscillations

Solar+CHOOZ data.



What will we learn from SNO?

M.C G-G C. Peña-Garay hep-ph/0011245 PRD63 (2001)



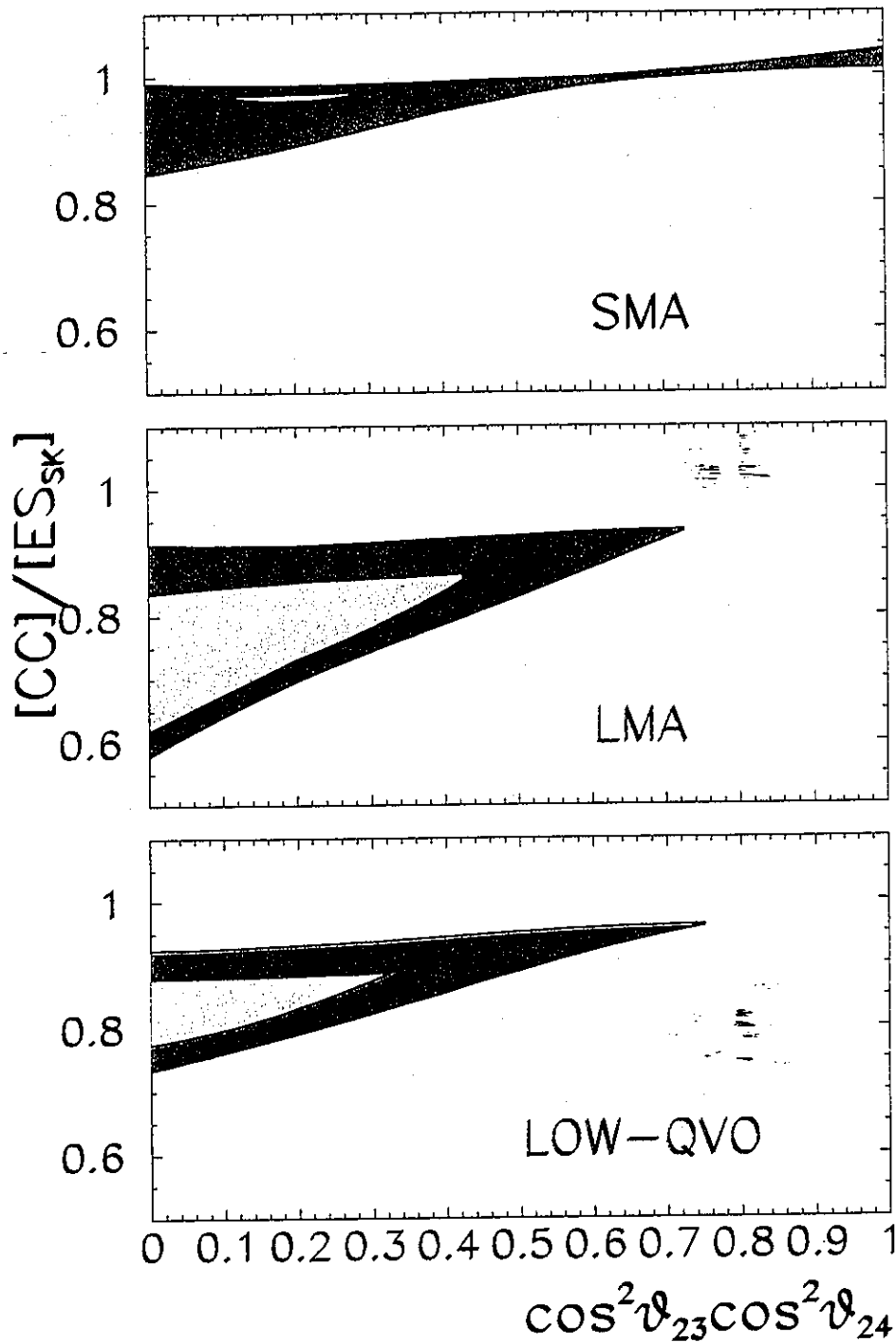
$$[CC] \sim \langle P_{\nu_e \rightarrow \nu_e} \rangle$$

- Dependence of [CC] on $c_{23}^2 c_{24}^2$ only via modification of the matter potential \Rightarrow weak limits

- Dependence in figure due to variation of size of allowed regions

What will we learn from SNO?

M.C G-G C. Peña-Garay hep-ph/0011245 PRD (2001)



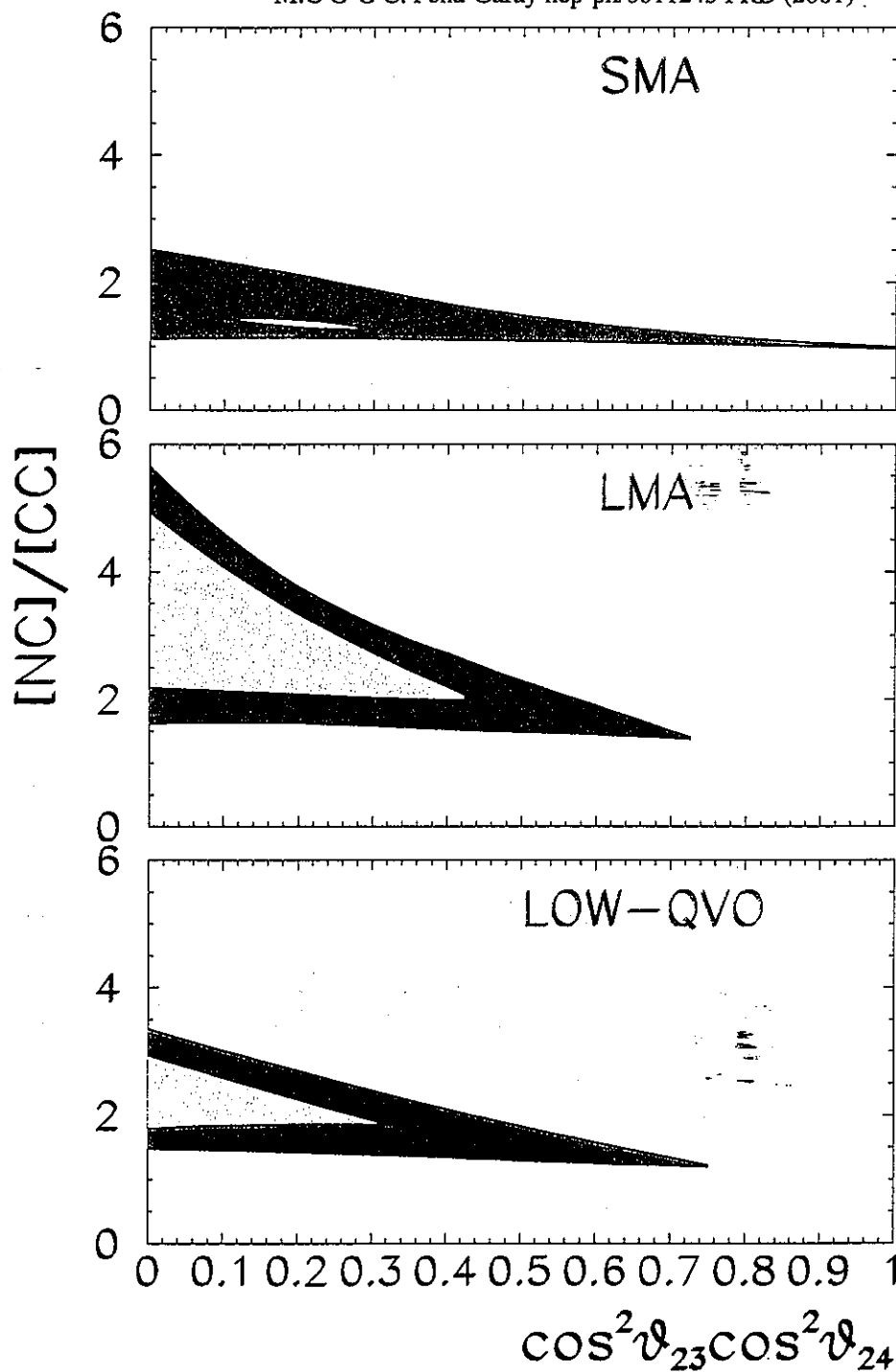
$$\frac{[CC]}{[ES]_{SK}} \sim \frac{6 \langle P_{\nu_e \rightarrow \nu_e} \rangle_{SNO}}{1 + 5 \langle P_{\nu_e \rightarrow \nu_e} \rangle_{SK} - c_{23}^2 c_{24}^2 (1 - \langle P_{\nu_e \rightarrow \nu_e} \rangle_{SK})}$$

- Explicit dependence on $c_{23}^2 c_{24}^2$

⇒ Better sensitivity but still large error bars

What will we learn from SNO?

M.C G-G C. Peña-Garay hep-ph/0011245 PRD (2001)

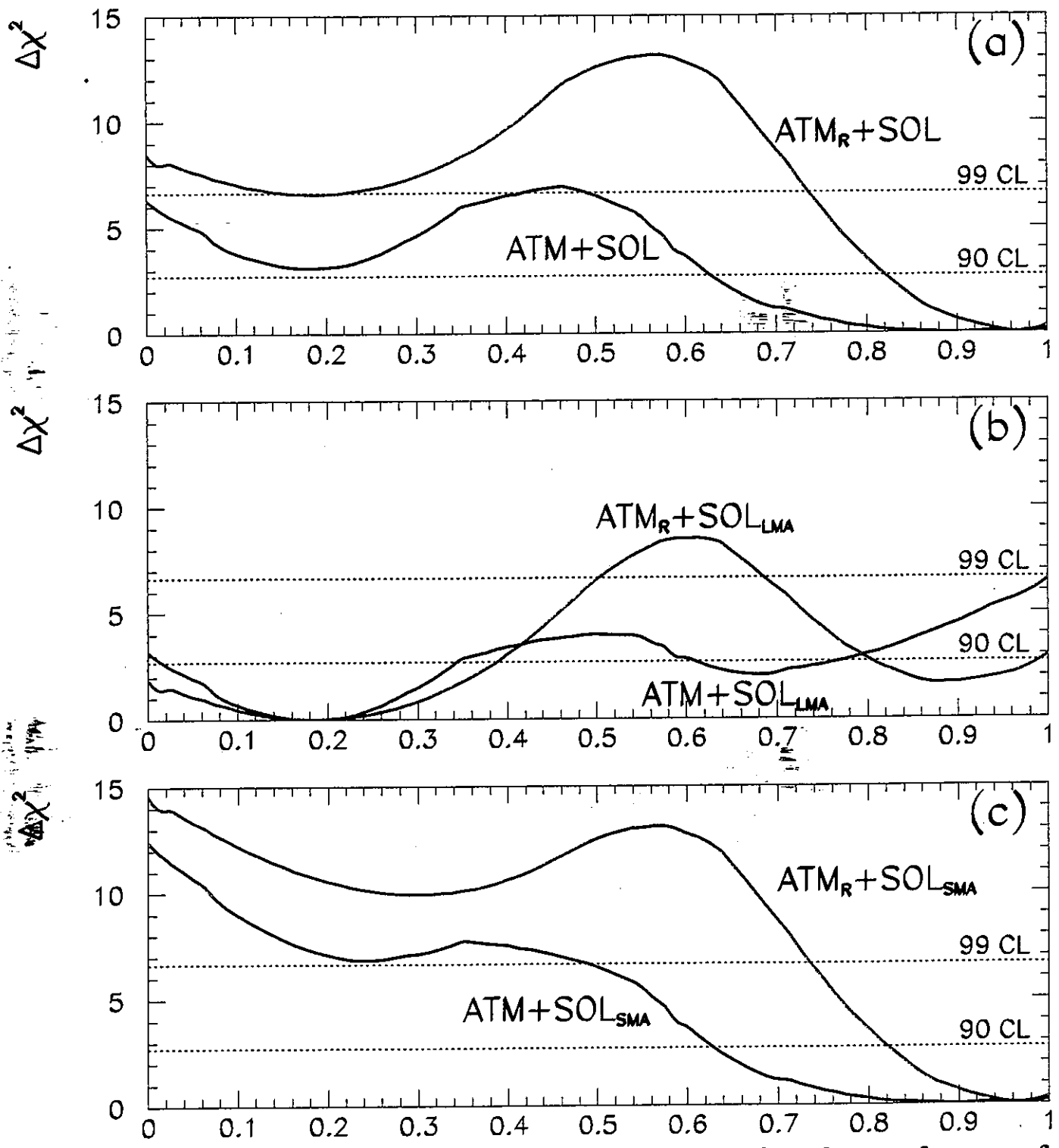


$$\frac{[NC]}{[CC]} \sim \frac{1 - c_{23}^2 c_{24}^2 (1 - \langle P_{\nu_e \rightarrow \nu_e} \rangle)}{\langle P_{\nu_e \rightarrow \nu_e} \rangle}$$

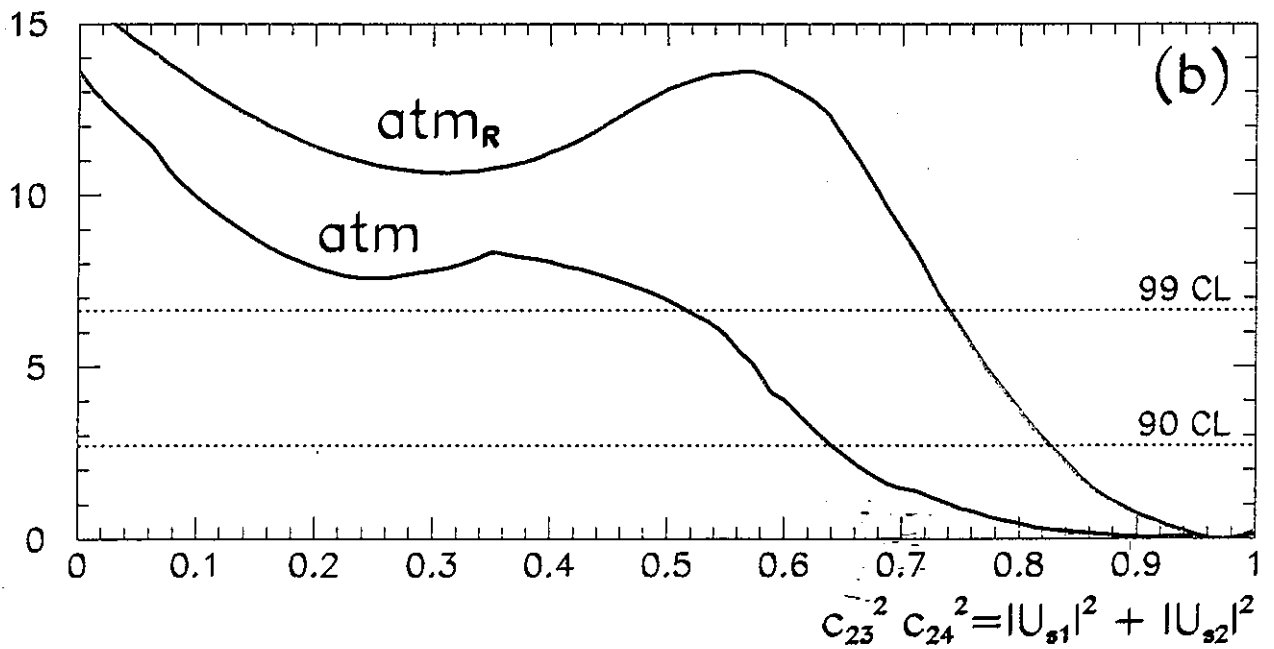
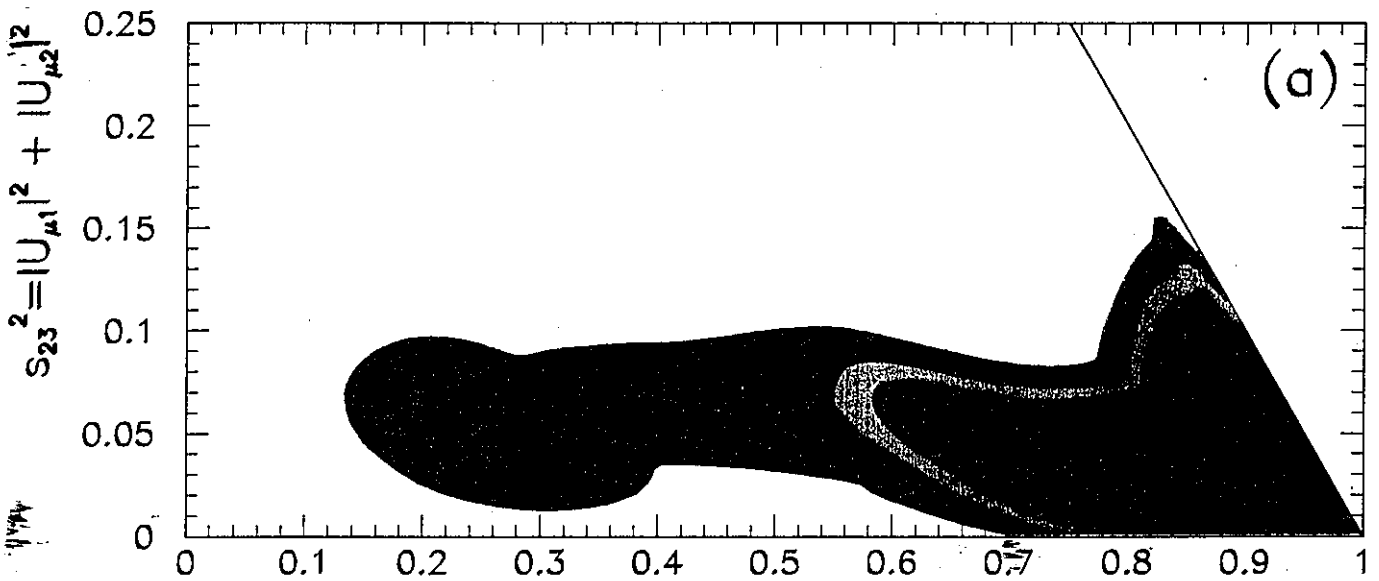
- Explicit dependence on $c_{23}^2 c_{24}^2$

- Best sensitivity:

for instance $\frac{[NC]}{[CC]} = 1 \Rightarrow c_{23}^2 c_{24}^2 > 0.44$ at 99% CL



$$c_{23}^2 c_{24}^2 = |U_{s1}|^2 + |U_{s2}|^2$$



V. Summary.

- Solar neutrino data is explained in terms of neutrino oscillations.
- Two-Neutrino Oscillations:
 - ⇒ Several regimes remain allowed : LMA, SMA, LOW-QVO.
 - ⇒ Global fit is not a superposition of partial analysis.
 - ⇒ Sterile worse than Active scenario.
 - ⇒ Small impact from SNO CC rate on the solutions.
- Three-Neutrino Oscillations:
 - ⇒ Full agreement between CHOOZ result and solar and atmospheric data.
 - ⇒ Values of θ_{13} around zero preferred, although the stronger bound comes from CHOOZ result.
- Four-Neutrino Oscillations:
 - ⇒ Unified picture of Active and Sterile scenarios.
 - ⇒ Pure Sterile oscillations are allowed at 95 % CL when compared with pure Active oscillations.