

# **Models of Baryon Number Violation**

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Why is proton decay so important?

→ Window to (very) high energy physics

Does proton really decay?

Are the next generation experiments worth being pursued?

Important to understand (precisely)  
what we “know” and what we can “expect”

→ interplay between theory and experiment

Try to illustrate this using (relatively) recent  
models of unification (proton decay)

# Proton will decay

The baryon ( $B$ ) and lepton ( $L$ ) numbers in the SM

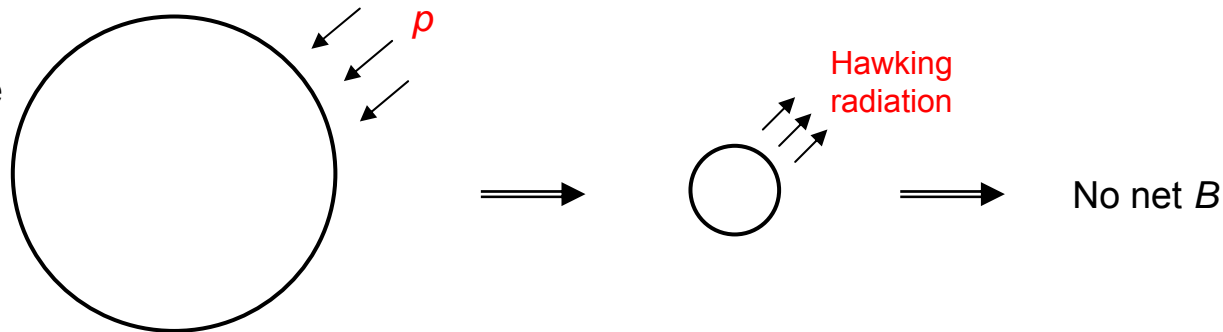
→ accidental symmetries at low energies

(write down the most general Lagrangian →  $B$  and  $L$ )

$B$  and  $L$  are not the “fundamental” symmetries

Consider

Black hole



→ Baryon number is violated

In quantum gravity, this process is occurring virtually

→ Proton does decay at some level

(unless killed by an additional symmetry “by hand”)

# Importance of “Models”

The proton is expected to decay anyway

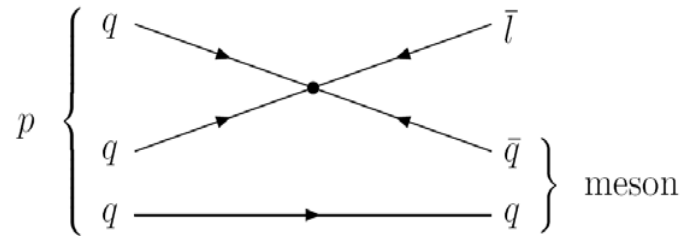
→ Who cares models?

(Just go out and look for  $p$  decay ... it is already well motivated)

## What is the rate?

In the SM,

$$\mathcal{L}_{p\text{-decay}} \sim \frac{1}{M^2} q q q l$$



The scale  $M \sim$  (reduced) Planck scale  $M_{\text{Pl}} = 2 \times 10^{18} \text{ GeV}$

The lifetime is

$$\Gamma \approx \frac{1}{8\pi} \frac{m_p^5}{M_{\text{Pl}}^4} \sim 10^{-75} \text{ GeV} \implies \tau \sim 10^{43} \text{ years}$$

→ Yes, the proton decays,

but at the rate is outside the expected reach

# Proton decay from grand unification

Proton decay will be out of reach

**unless there is new physics below  $M_{\text{PI}}$**

**Is there a well-motivated candidate?**

## Grand Unification

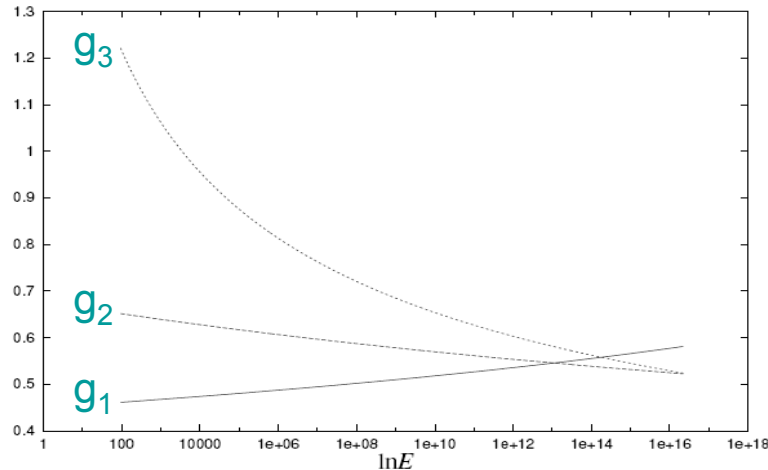
$$\begin{array}{ccc} SU(3)_C \times SU(2)_L \times U(1)_Y & SU(5) & SO(10) \\ \left. \begin{array}{l} q(\mathbf{3}, \mathbf{2})_{1/6} \\ u^c(\mathbf{3}^*, \mathbf{1})_{-2/3} \\ e^c(\mathbf{1}, \mathbf{1})_1 \\ d^c(\mathbf{3}^*, \mathbf{1})_{1/3} \\ l(\mathbf{1}, \mathbf{2})_{-1/2} \\ n^c(\mathbf{1}, \mathbf{1})_0 \end{array} \right\} \begin{array}{l} T(\mathbf{10}) \\ \\ F(\mathbf{5}^*) \\ \\ N(\mathbf{1}) \end{array} & \left. \begin{array}{l} \\ \\ \\ \\ \end{array} \right\} \psi(\mathbf{16}) \end{array}$$

## Predictions:

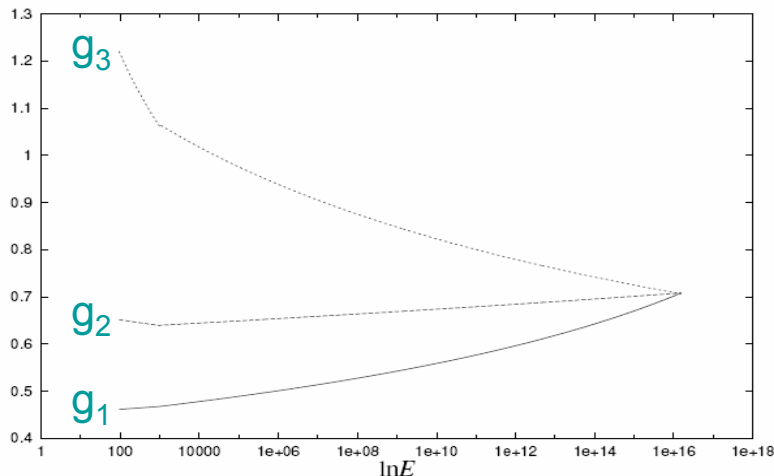
- 3 forces of the SM unified at a high energy scale  $M_{\text{GUT}}$
- Proton decay caused by exchange of GUT bosons:  
 $M \sim M_{\text{GUT}} \rightarrow \text{For } M_{\text{GUT}} < M_{\text{PI}}, p \text{ decay may be within reach}$

# Grand unification works (only) with supersymmetry

Non-SUSY



SUSY



Supersymmetry (SUSY)

Superparticle at  $\sim \text{TeV}$

- stabilizes the weak scale
- change the RGEs for  $g_{1,2,3}$

$R$  parity

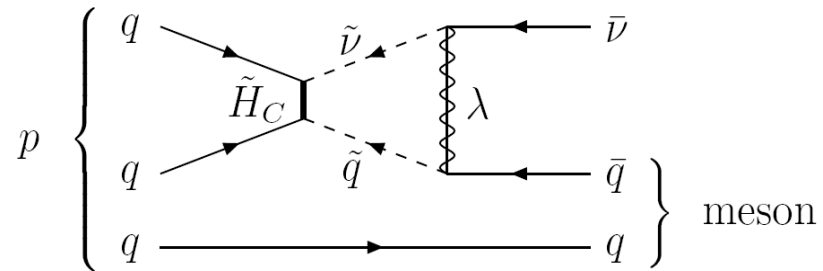
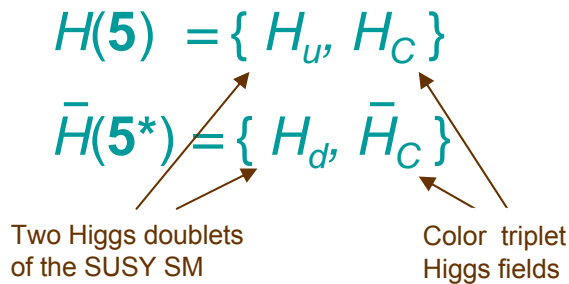
- the existence of dark matter

$$M_{\text{GUT}} \sim 2 \times 10^{16} \text{ GeV}$$

# Proton decay in SUSY GUTs

## Dimension five ( $d=5$ ):

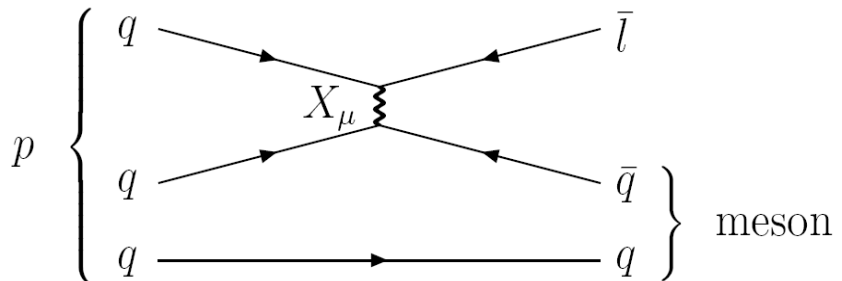
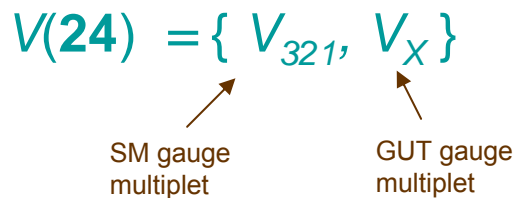
color triplet Higgsino exchange



dominantly  $p \rightarrow K^+ \bar{\nu}$

## Dimension six ( $d=6$ ):

GUT gauge boson exchange



dominantly  $p \rightarrow e^+ \pi^0$

# Dilemma after Super-K

The minimal SUSY SU(5) GUT is “excluded”

Gauge coupling unification  $\rightarrow 3.5 \times 10^{14} \text{ GeV} < M_{H_C} < 3.6 \times 10^{15} \text{ GeV}$

$d=5$  proton decay  $\rightarrow M_{H_C} > 9.0 \times 10^{17} \text{ GeV} \left( \begin{array}{l} \tau_{p \rightarrow K^+ \bar{\nu}} > 2.3 \times 10^{33} \text{ years} \\ m_{\text{SUSY}} \sim \text{TeV} \end{array} \right)$

$\rightarrow$  contradicting

e.g. Murayama, Pierce

## Does this exclude SUSY GUTs?

... certainly not, but it leads to a dilemma for  $p$  decay exp.

Suppose  $d=5$  proton decay is absent for some reason

The proton then decays by  $d=6$  (gauge boson exchange)

$$M_{\text{GUT}} \sim 2 \times 10^{16} \text{ GeV} \implies \tau \sim 10^{35} - 10^{36} \text{ years}$$

...  $p$  decay may be out of reach

## Is it reasonable to “expect” $p$ decay in future exp.?

(should we go to “exotics”?)



# Minimal SUSY GUT had problems

$$SU(5) \supset SU(3)_C \times SU(2)_L \times U(1)_Y$$

$$T(\mathbf{10}) = \{ Q, U, E \} \quad H(\mathbf{5}) = \{ H_u, H_C \}$$

$$F(\mathbf{5}^*) = \{ D, L \} \quad \bar{H}(\mathbf{5}^*) = \{ H_d, \bar{H}_C \}$$

Dimopoulos, Georgi; Sakai

- Gauge breaking & Doublet-triplet splitting

Why  $M_{H_C} \gg M_{H_{u,d}}$ ?

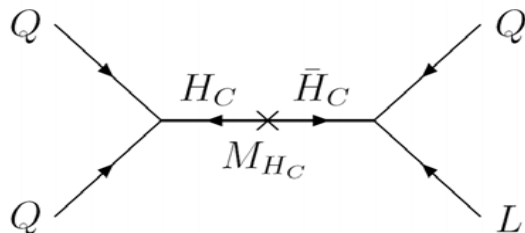
$$W = H (M_H + \lambda \Sigma) \bar{H}$$

$$\langle \Sigma \rangle = \begin{pmatrix} 2 & & & & \\ & 2 & & & \\ & & 2 & & \\ & & & -3 & \\ & & & & -3 \end{pmatrix} V_\Sigma$$

$$\Rightarrow \begin{cases} M_{H_C} &= M_H + 2\lambda V_\Sigma \sim M_{\text{GUT}} \\ M_{H_{u,d}} &= M_H - 3\lambda V_\Sigma \ll M_{\text{GUT}} \end{cases}$$

... extreme fine-tuning

- $d=5$  proton decay



$$\Rightarrow W \approx \frac{1}{M_{H_C}} QQQ L$$

... excluded by Super-Kamiokande

- Fermion mass relations

$$W = y T F \bar{H} \begin{cases} \nearrow y Q D H_d \\ \searrow y L E H_d \end{cases} \Longrightarrow \begin{array}{l} m_b/m_\tau: \text{good} \\ m_s/m_\mu: \text{bad} \end{array}$$

... The minimal SUSY GUT is not “fully realistic”

Predictions/expectations for proton decay must be considered in the context of “realistic” models

Possible to do in the framework of 4D SUSY GUTs

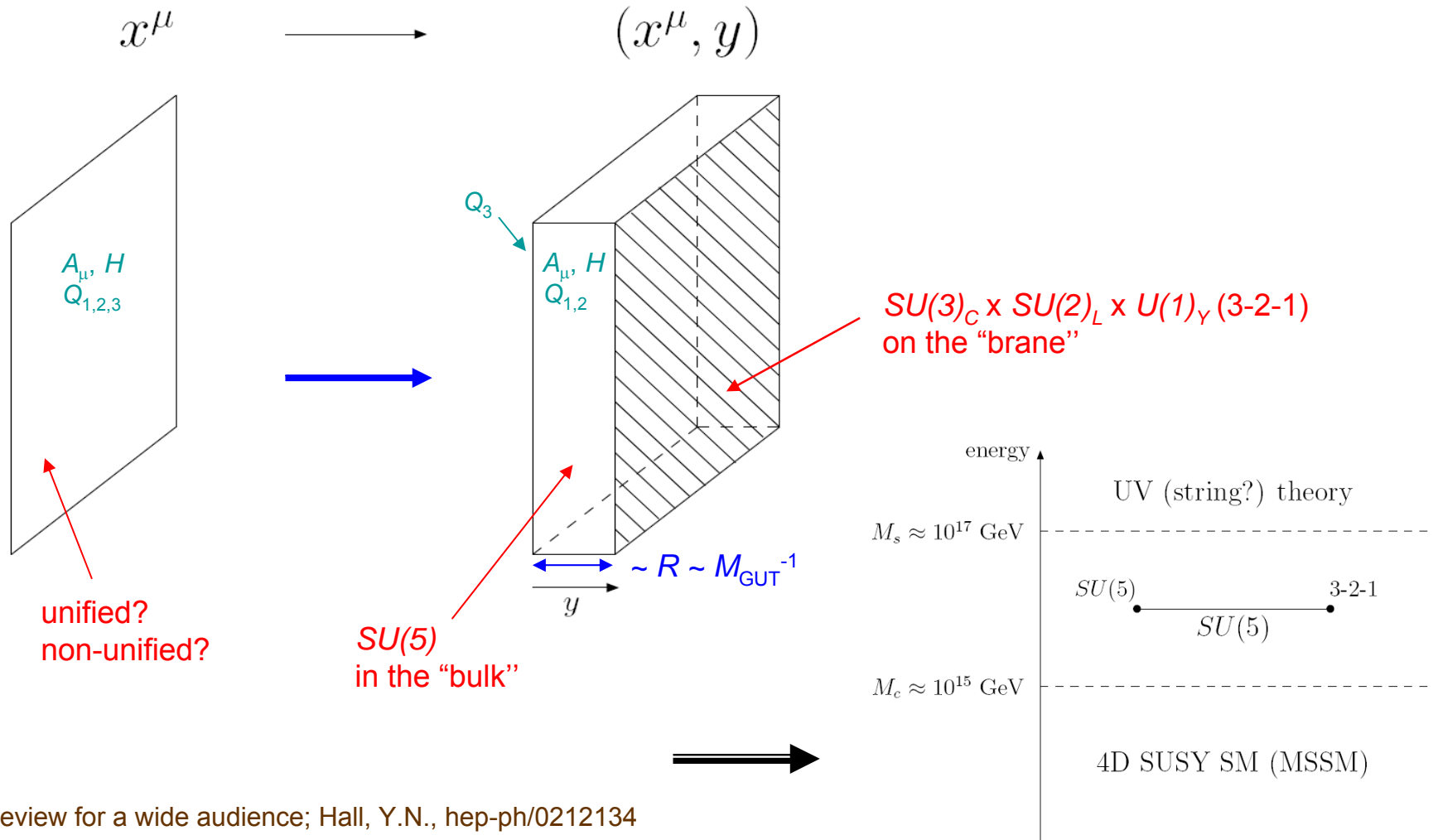
typically very complicated --- large representations, special potentials, ...  
(although some recent progresses)

Something crucial seems to be missing

# Grand unification in higher dimensions

Hall, Y.N.; Kawamura ('00 - '02)

## The basic framework

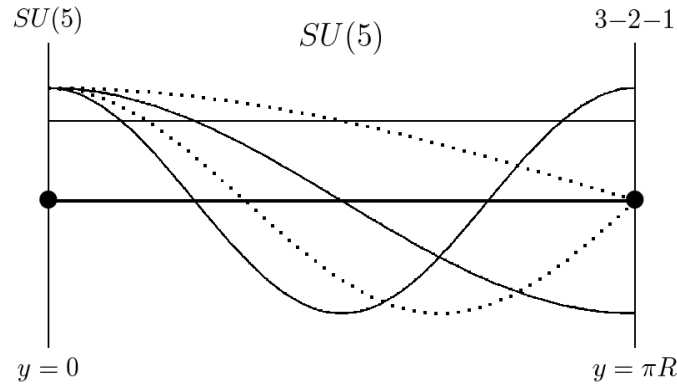


# Consistent quantum theory

“boundary condition”

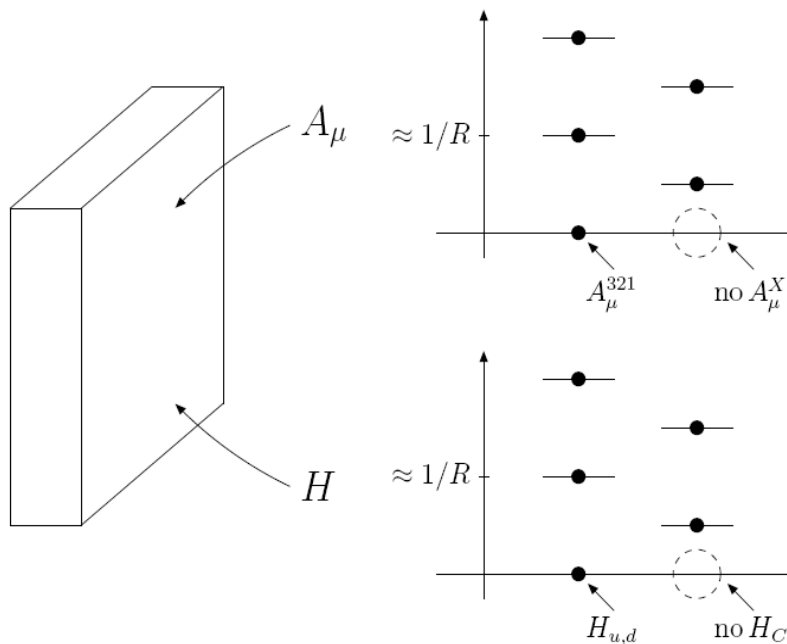
$A_\mu^{321} (+,+)$ : —

$A_\mu^X (+,-)$ : ...



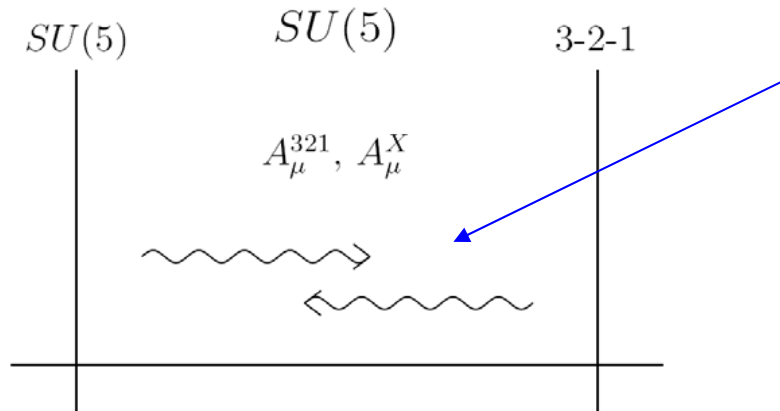
(compactified on  
an  $S^1/Z_2$  orbifold)

From 4 dimensional point of view,



Gauge breaking  
& doublet-triplet splitting  
... automatic

# Gauge coupling unification preserved



Gauge bosons propagate in the bulk

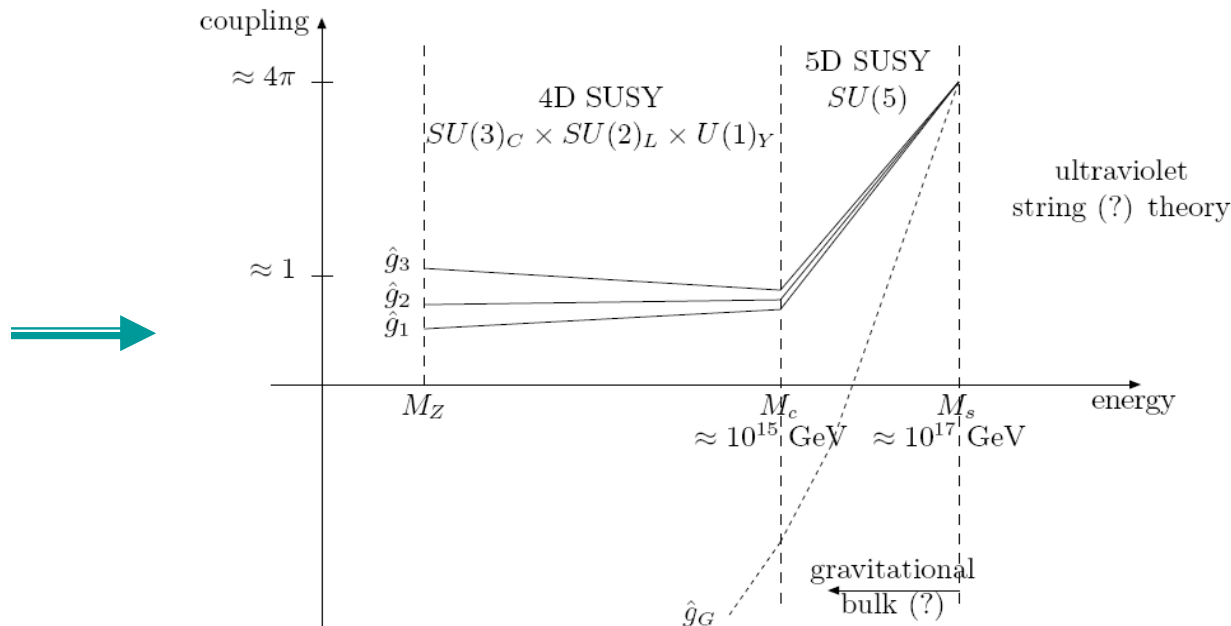
→ feel symmetry breaking defect at  $y=\pi R$

$$\frac{1}{g_{4D,i}^2} = \frac{\pi R}{g_5^2} + \frac{1}{g_{4,i}^2} \Rightarrow \frac{M_s R}{16\pi^2} + \frac{1}{16\pi^2} \Big|_i$$

$\uparrow$   $SU(5)$   $\uparrow$   $\uparrow$   $\uparrow$   
 symmetric  $SU(5)$   $O(1)$   $O(10^{-2})$   
 violating

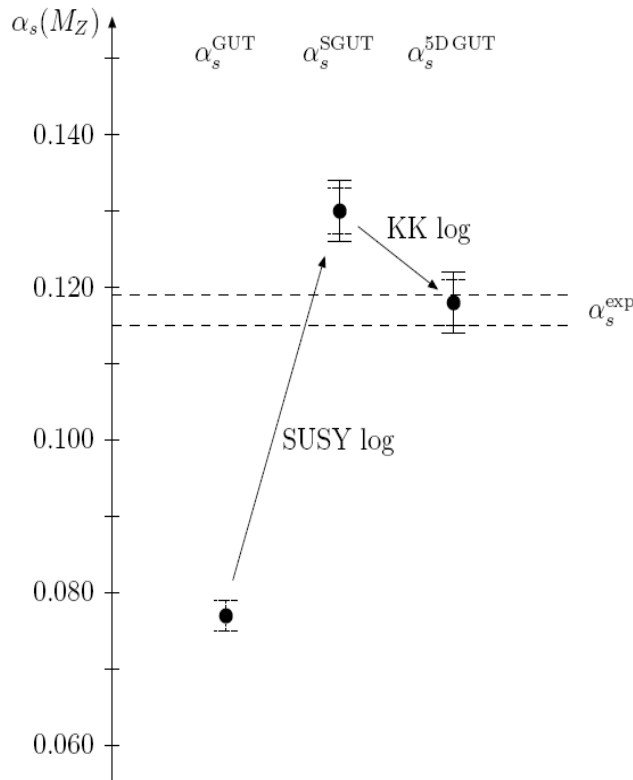
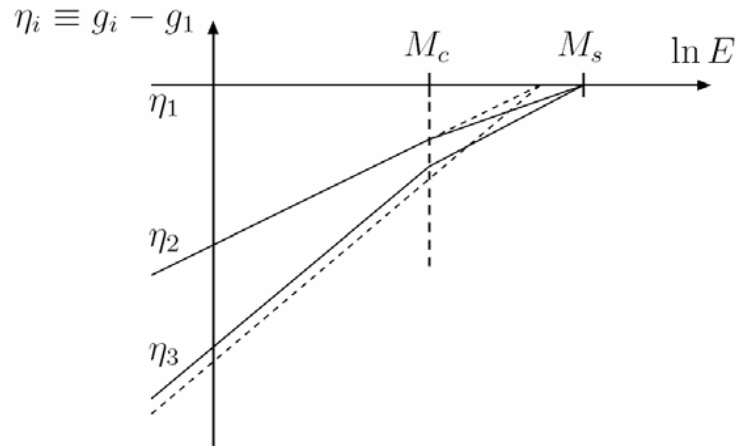
$$M_s R \approx O(100) \Rightarrow g_{4D,i} \approx O(1)$$

... Volume can dilute the effect of the defect



# Precision unification prediction

No arbitrary parameters (masses)  
... threshold corrections are calculable



Precise predictions for  
 $\alpha_s(M_Z)$ ,  $M_c=1/R$ ,  $M_s$

$$\alpha_s(M_Z) = 0.118 \pm 0.005$$

$$M_c \equiv 1/R \approx 10^{15} \text{ GeV}$$

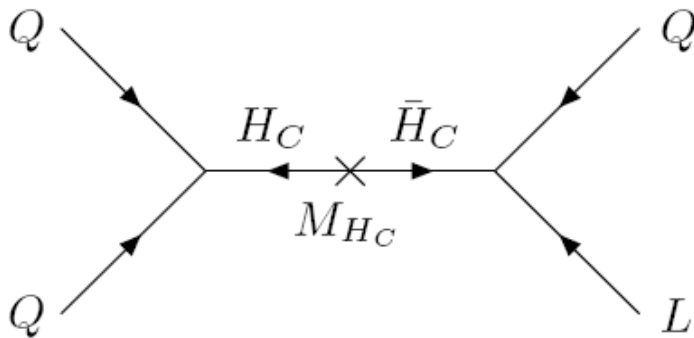
$$M_s \approx 10^{17} \text{ GeV}$$

... improved prediction for  $\alpha_s(M_Z)$

# Suppressed $d=5$ proton decay

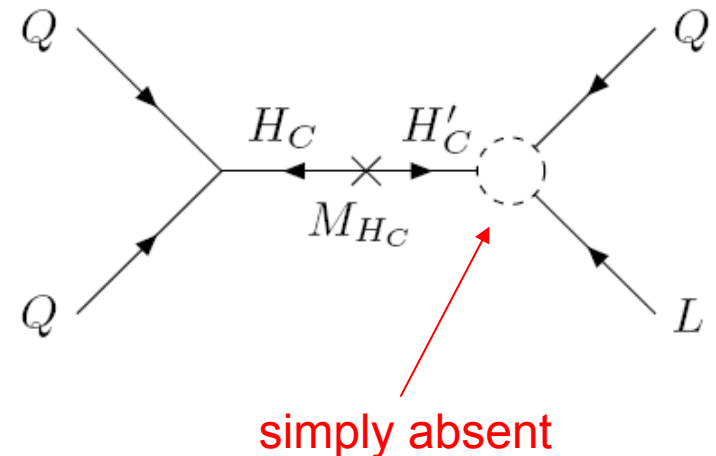
## • 4D

$$W = M_{H_C} H_C \bar{H}_C$$



## • 5D

$$W = M_{H_C} (H_C H'_C + \bar{H}_C \bar{H}'_C)$$



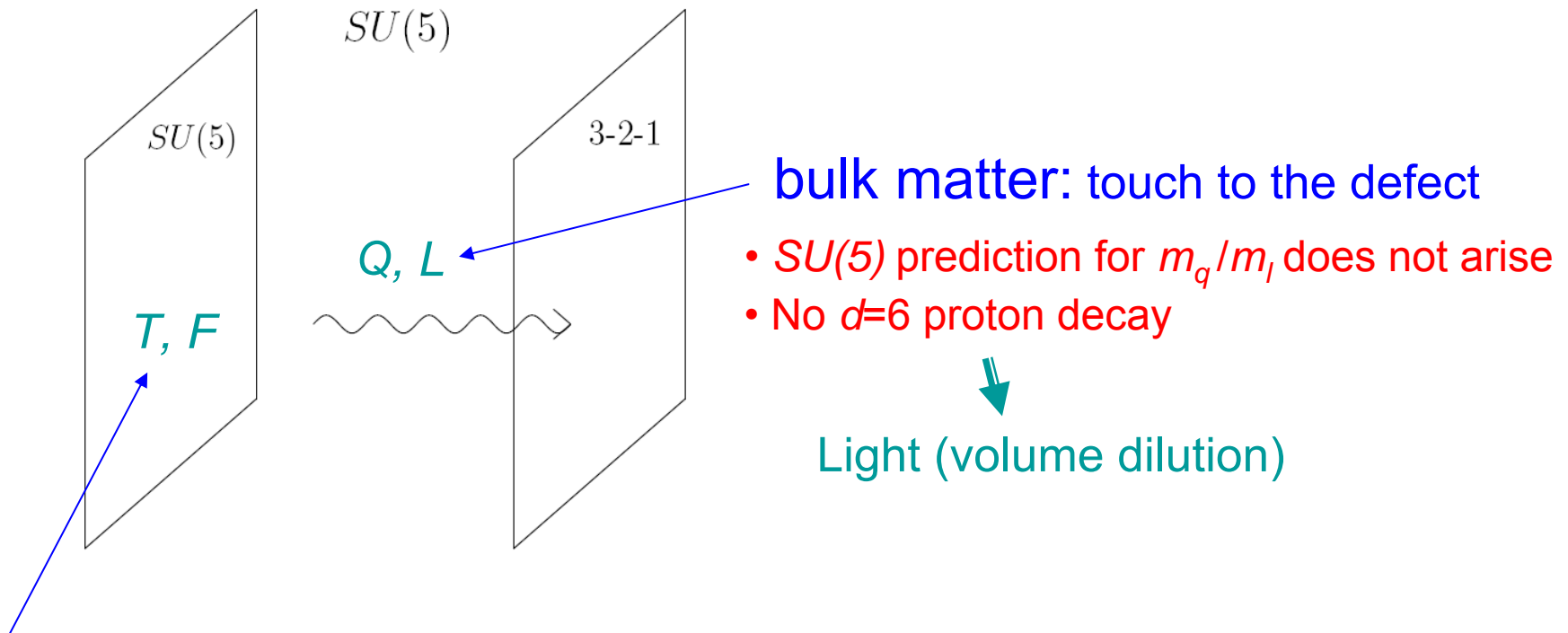
## • $U(1)_R$ symmetry

$$T(1), F(1), H(0), \bar{H}(0), H'(2), \bar{H}'(2), \dots$$

...  $d=5$  proton decay does not arise

# Matter fields

- Matter fields can be either on a brane or in the bulk



brane matter: locally  $SU(5)$  symmetric

- $SU(5)$  prediction for  $m_q/m_l$  holds

Heavy (no volume dilution)

bulk matter: touch to the defect

- $SU(5)$  prediction for  $m_q/m_l$  does not arise
- No  $d=6$  proton decay

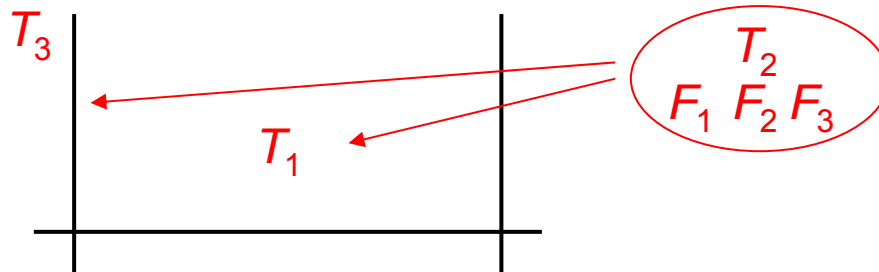
Light (volume dilution)

... Successful correlation



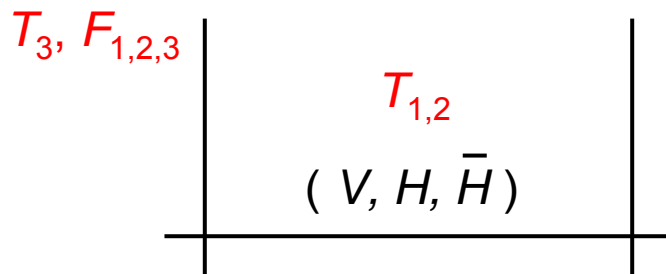
# Flavor physics: matter geography

- $T_1$  in the bulk ( $M_X = 1/2R \sim 10^{15}$  GeV)
- $T_3$  on the brane (top Yukawa coupling)



- $b/\tau$  unification  $\longrightarrow F_3$  on the brane
- $s/\mu$ ,  $d/e$  mass ratio  $\longrightarrow$  either  $T_2$  or  $F_2$  in the bulk

Example)



... realistic fermion masses

$$M_u \sim \begin{pmatrix} \epsilon^4 & \epsilon^3 & \epsilon^2 \\ \epsilon^3 & \epsilon^2 & \epsilon \\ \epsilon^2 & \epsilon & \underline{1} \end{pmatrix}, \quad M_d \sim M_l^T \sim \begin{pmatrix} \epsilon^2 & \epsilon^2 & \epsilon^2 \\ \epsilon & \epsilon & \epsilon \\ \underline{1} & \underline{1} & \underline{1} \end{pmatrix}$$

$\epsilon \sim O(0.1)$

$SU(5)$   $\nearrow$  (pointing to the bottom row of the  $M_d$  matrix)

# Implications on proton decay

- No  $d=4$  or  $d=5$  proton decay
- No  $d=6$  proton decay at leading order ( $T_1$  in the bulk)

$d=6$  proton decay occurs through flavor mixing / brane op.

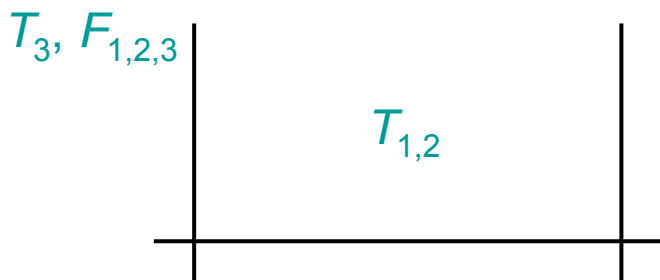
Y.N.; Hebecker, March-Russell

CKM / volume suppressed, but

$$M_X = 1/(2R) \sim 10^{15} \text{ GeV} < M_{\text{GUT}} \sim 2 \times 10^{16} \text{ GeV}$$

→ A variety of final states with the rates within reach

Example)



$$p \rightarrow e^+ \pi^0, \mu^+ \pi^0, e^+ K^0, \mu^+ K^0, \pi^+ \bar{\nu}, K^+ \bar{\nu}$$

comparable rates  
calculable branching ratios

$$\tau \sim 10^{34} \text{ years} \quad \frac{\Gamma(p \rightarrow \mu^+ \pi^0)}{\Gamma(p \rightarrow e^+ \pi^0)} \simeq \frac{\Gamma(p \rightarrow e^+ K^0)}{\Gamma(p \rightarrow \mu^+ K^0)}, \dots$$

Hall, Y.N.

Proton decay as a probe of geometry at the unification scale!

# Conclusions

- Proton decay --- window for extremely high energies
- Despite the non-discovery at Super-K (so far),  
there are possibilities of discovery in “near” future  
(Prospect for  $p$  decay should be discussed in realistic models)
- Grand unification in higher dimensions  
 $p$  decay final states  $\longleftrightarrow$  geometry of extra dim.  $R \sim M_{\text{GUT}}^{-1}$
- Important to push limits on all possible  
decay modes:  $p \rightarrow e^+\pi^0, \mu^+\pi^0, e^+K^0, \mu^+K^0, \pi^+\bar{\nu}, K^+\bar{\nu}, \dots$
- Next generation nucleon decay experiments ---  
“unique” opportunity to explore super-high energies  
(cf. superparticle masses in gravity mediation)